

Firsthand Technology Value Fund, Inc.
Form 8-K
January 20, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report: January 19, 2017
(Date of earliest event reported)

Firsthand Technology Value Fund, Inc.
(Exact name of registrant as specified in its charter)

| | | |
|---------------------------------------------------------------|---------------------------------------|-------------------------------------------------------|
| Maryland (State or other jurisdiction of incorporation) | 814-00830 (Commission File Number) | 27-3008946 (IRS Employer Identification Number) |
|---------------------------------------------------------------|---------------------------------------|-------------------------------------------------------|

| | |
|-------------------------------------------------------------------------------------------|---------------------|
| 150 Almaden Blvd., Suite 1250 San Jose, CA (Address of principal executive offices) | 95113 (Zip Code) |
|-------------------------------------------------------------------------------------------|---------------------|

(800)
976-8776
(Registrant's
telephone
number,
including
area code)

Not
Applicable
(Former
Name or
Former
Address, if
changed
since last
report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

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- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
 - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
 - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
 - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 8.01. Other Events

On January 19, 2017, Firsthand Technology Value Fund, Inc. issued a press release announcing its top portfolio holdings at the end of December 2016. The top holdings include IntraOp Medical, Pivotal Systems, QMAT, Nutanix, and Wrightspeed. The press release also announced that, as of December 31, 2016, the Fund's top holdings constituted 58.9% of the Fund's estimated net assets.

Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release dated January 19, 2017.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: January 19, 2017
FIRSTHAND
TECHNOLOGY
VALUE FUND,
INC.

By: /s/ Kevin Landis
Kevin Landis
President

Exhibit Index

Exhibit No. Description

99.1 Press release dated January 19, 2017