

APPLIED OPTOELECTRONICS, INC.
Form SC 13G/A
February 01, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G
Under the Securities Exchange Act of 1934

(Amendment No. 1)*

Applied Optoelectronics, Inc.

(Name of Issuer)

Common Stock, \$0.001 Par Value Per Share

(Title of Class of Securities)

03823U102

(CUSIP Number)

December 31, 2015

(Date of Event Which Requires Filing of this Statement)

.. Rule 13d-1(b)

ý Rule 13d-1(c)

.. Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(1) Names of Reporting Persons.		Whale Rock Capital Management LLC	
(2) Check the Appropriate Box if a Member of a Group (See Instructions)		(a) ..	
		(b) ..	
(3) SEC Use Only			
(4) Citizenship or Place of Organization		DELAWARE	
NUMBER OF	(5)	Sole Voting Power	1,002,684
	(6)	Shared Voting Power	0
SHARES	(7)	Sole Dispositive Power	1,002,684

BENEFICIALLY (8) Shared Dispositive Power 0

OWNED BY EACH

REPORTING

PERSON WITH

(9)	Aggregate Amount Beneficially Owned by Each Reporting Person	1,002,684
(10)	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)	..
(11)	Percent of Class Represented by Amount in Row (9)	5.96%
(12)	Type of Reporting Person (See Instructions)	OO

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(1)	Names of Reporting Persons.	Alexander Sacerdote
(2)	Check the Appropriate Box if a Member of a Group (See Instructions)	(a) ..
		(b) ..

(3)	SEC Use Only	
(4)	Citizenship or Place of Organization	UNITED STATES
	NUMBER OF (5) Sole Voting Power	0
	(6) Shared Voting Power	1,002,684
	SHARES (7) Sole Dispositive Power	0
	(8) Shared Dispositive Power	1,002,684

BENEFICIALLY

OWNED BY EACH

REPORTING

PERSON WITH

(9)	Aggregate Amount Beneficially Owned by Each Reporting Person	1,002,684
(10)	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)	..
(11)	Percent of Class Represented by Amount in Row (9)	5.96%
(12)	Type of Reporting Person (See Instructions)	IN

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Item 1(a). Name of Issuer:

Applied Optoelectronics, Inc.

Item 1(b). Address of Issuer's Principal Executive Offices:

East Tower, Jing Yan Building

No. 29 Shijingshan Road, Shijingshan District

Beijing 100043

People's Republic of China

Item 2(a). Names of Persons Filing:

Whale Rock Capital Management LLC ("Whale Rock")

Alexander Sacerdote ("Alex Sacerdote")

The principal business address of each reporting person is: Two International Place, 24th Floor, Boston, MA 02110.

Item 2(c). Citizenship:

Reference is made to Item 4 of pages 2–3 of this Schedule 13G (this "Schedule"), which Items are incorporated by reference herein.

Item 2(d). Title of Class of Securities:

American Depositary Receipt, each representing two Class A ordinary shares, par value \$0.01 per share

Item 2(e). CUSIP Number:

03823U102

Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- .. (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- .. (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- .. (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- .. (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).
- .. (e) An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- .. (f) An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);

Other than as reported in this Schedule, no investment limited partnership's holdings exceed five percent of the Issuer's common stock.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company

Not Applicable.

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Item 8. Identification and Classification of Members of the Group

Not Applicable.

Item 9. Notice of Dissolution of Group

Not Applicable.

Item 10. Certification

By signing below the undersigned certify that, to the best of their knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

Signature

After reasonable inquiry and to the best of my knowledge and belief, the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: February 1, 2016

WHALE ROCK CAPITAL MANAGEMENT LLC

By: /s/ Alexander Sacerdote

Alexander Sacerdote

Managing Member