Wimbrow Dawne H Form 4 August 20, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wimbrow Dawne H

(First)

C/O ALBANY INTERNATIONAL

(Street)

2. Issuer Name and Ticker or Trading

Symbol

ALBANY INTERNATIONAL

CORP /DE/ [AIN]

(Check all applicable)

5. Relationship of Reporting Person(s) to

Director 10% Owner Other (specify \_X\_\_ Officer (give title

(Month/Day/Year)

08/16/2018

Vice President GIS & CIO

CORP., 216 AIRPORT DRIVE

(Middle)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

#### ROCHESTER, NH 03867

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	08/17/2018		I	599	D	\$ 76.9	0	I	By 401(k)
Class A Common Stock	08/16/2018		M	300	A	\$ 20.45	300	D	
Class A Common Stock	08/16/2018		S	300	D	\$ 75.905	0	D	
Class A	08/16/2018		M	600	A	\$ 20.63	600	D	

#### Edgar Filing: Wimbrow Dawne H - Form 4

Common Stock

Stock

Class A Common 08/16/2018

S 600 D \$ 75.5

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onof Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Share
Employee Stock Option (1)	\$ 20.45	08/16/2018		M	300	<u>(2)</u>	11/06/2021	Class A Common	300
Employee Stock Option (1)	\$ 20.63	08/16/2018		M	600	<u>(2)</u>	11/07/2022	Class A Common	600
Phantom Stock Units (3)	<u>(3)</u>					03/01/2015(3)(4)	(3)(4)	Class A Common Stock	454
Phantom Stock Units (5)	<u>(5)</u>					03/01/2016(5)(6)	(5)(6)	Class A Common Stock	1,01
Phantom Stock Units (7)	<u>(7)</u>					03/01/2017(7)(8)	(7)(8)	Class A Common Stock	1,88
Phantom Stock Units (9)	<u>(9)</u>					03/01/2018(9)(10)	(9)(10)	Class A Common Stock	1,70

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Wimbrow Dawne H C/O ALBANY INTERNATIONAL CORP. 216 AIRPORT DRIVE ROCHESTER, NH 03867

Vice President GIS & CIO

### **Signatures**

Kathleen M. Tyrrell, Attorney-in-Fact

08/20/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option granted pursuant to Company's 1998 Stock Option Plan as incentive to remain in employ of Company.
- (2) Fully exercisable.
- (3) Phantom Stock Units granted on February 27, 2015 pursuant to the Phantom Stock Plan. Each Phantom Stock Unit entitles the holder to receive the cash equivalent of one share of Class A Common Stock at the time of vesting.
- (4) 453 Phantom Stock Units will be settled and payable each year on or about March 1, beginning March 1, 2015.
- (5) Phantom Stock Units granted on February 25, 2016 pursuant to the Phantom Stock Plan. Each Phantom Stock Unit entitles the holder to receive the cash equivalent of one share of Class A Common Stock at the time of vesting.
- (6) 505 Phantom Stock Units will be settled and payable each year on or about March 1, beginning March 1, 2016.
- (7) Phantom Stock Units granted on February 23, 2017 pursuant to the Phantom Stock Plan. Each Phantom Stock Unit entitles the holder to receive the cash equivalent of one share of Class A Common Stock at the time of vesting.
- (8) 628 Phantom Stock Units will be settled and payable each year on or about March 1, beginning March 1, 2017.
- (9) Phantom Stock Units granted on February 22, 2018 pursuant to the Phantom Stock Plan. Each Phantom Stock Unit entitles the holder to receive the cash equivalent of one share of Class A Common Stock at the time of vesting.
- (10) 426 Phantom Stock Units will be settled and payable each year on or about March 1, beginning March 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3