

INTROGEN THERAPEUTICS INC
Form 4
September 06, 2006

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Aventis Inc.

2. Issuer Name and Ticker or Trading Symbol
INTROGEN THERAPEUTICS INC
[INGN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3711 KENNETT PIKE, SUITE 200
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/01/2006

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
10% owner and beneficial owner

GREENVILLE, DE 19807

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common | 09/01/2006 | | S | | 20,100 | D | \$ 3.94 |
| | | | | | | | 3,757,441 |
| | | | | | | | I |
| | | | | | | | SFN ⁽¹⁾ |
| Common | 09/05/2006 | | S | | 13,000 | D | \$ 3.99 |
| | | | | | | | 3,744,441 |
| | | | | | | | I |
| | | | | | | | SFN ⁽²⁾ |
| Common | 09/06/2006 | | S | | 20,439 | D | \$ 4.04 |
| | | | | | | | 3,724,002 |
| | | | | | | | I |
| | | | | | | | SFN ⁽³⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Aventis Inc.
3711 KENNETT PIKE, SUITE 200
GREENVILLE, DE 19807

10% owner and beneficial owner

Signatures

Kathleen A. Winter,
President 09/06/2006

**Signature of Reporting Person Date

Kathleen A. Winter,
President 09/06/2006

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

For Reporting Person Aventis Holdings Inc., reflects ownership of (i) 1,413,720 shares of common stock owned directly by Aventis Holdings inc. and (ii) 2,343,721 shares of common stock by its subsidiary Aventis Pharmaceuticals Inc. For Reporting Person Aventis Inc., reflects ownership of shares owned directly by Aventis Holdings Inc. and Aventis Pharmaceuticals Inc.

For Reporting Person Aventis Holdings Inc., reflects ownership of (i) 1,400,720 shares of common stock owned directly by Aventis Holdings inc. and (ii) 2,343,721 shares of common stock by its subsidiary Aventis Pharmaceuticals Inc. For Reporting Person Aventis Inc., reflects ownership of shares owned directly by Aventis Holdings Inc. and Aventis Pharmaceuticals Inc.

For Reporting Person Aventis Holdings Inc., reflects ownership of (i) 1,380,281 shares of common stock owned directly by Aventis Holdings inc. and (ii) 2,343,721 shares of common stock by its subsidiary Aventis Pharmaceuticals Inc. For Reporting Person Aventis Inc., reflects ownership of shares owned directly by Aventis Holdings Inc. and Aventis Pharmaceuticals Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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