#### GEORGE EDWARD S

Form 4 May 03, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* GEORGE EDWARD S

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol

COLUMBIA SPORTSWEAR CO

(Check all applicable)

[COLM]

05/01/2019

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner Other (specify Officer (give title

C/O COLUMBIA SPORTSWEAR COMPANY, 14375 NW SCIENCE PARK DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PORTLAND, OR 97229

| (City)                               | (State) (Z                           | Zip) Table  | e I - Non-Do   | erivative S | Securi           | ties Ac   | quired, Disposed                | of, or Beneficia  | lly Owned |
|--------------------------------------|--------------------------------------|---|--|-------------|------------------|---|---------------------------------|---|-----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | n Date, if TransactionAcquired (A) or Code Disposed of (D) |             | ))               | Securities Form: Direct Indi<br>Beneficially (D) or Ben<br>Owned Indirect (I) Own |                                 | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |           |
|                                      |                                      |   | Code V   | Amount      | (A)<br>or<br>(D) | Price   | Transaction(s) (Instr. 3 and 4) |   |           |
| Common<br>Stock                      | 05/01/2019                           |   | M  | 365         | A                | (1)   | 29,998                          | I   | By Trust  |
| Common<br>Stock                      | 05/01/2019                           |   | M  | 362         | A                | (1)   | 30,360                          | I   | By Trust  |
| Common<br>Stock                      | 05/01/2019                           |   | M  | 361         | A                | (1)   | 30,721                          | I   | By Trust  |
| Common<br>Stock                      | 05/01/2019                           |   | M  | 774         | A                | <u>(1)</u>  | 31,495                          | I   | By Trust  |
|                                      |                                      |   |  |             |                  |   | 2,000                           | D   |           |

# Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) |  |        | 6. Date Exercisab<br>Expiration Date<br>(Month/Day/Year |                  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 | 8                                      |  |
|---|---|---|--|--------|---|------------------|---|-----------------|--|--|
|   |   |   |  | Code V | (A) (D)   | Date Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of<br>Shares |  |
| Restricted<br>Stock<br>Units                        | (1)   | 05/01/2019                              |  | M      | 365   | 07/01/2017(3)    | <u>(7)</u>  | Common<br>Stock | 365                                    |  |
| Restricted<br>Stock<br>Units                        | (1)   | 05/01/2019                              |  | M      | 362   | 05/01/2018(4)    | <u>(7)</u>  | Common<br>Stock | 362                                    |  |
| Restricted<br>Stock<br>Units                        | (1)   | 05/01/2019                              |  | M      | 361   | 05/01/2018(5)    | <u>(7)</u>  | Common<br>Stock | 361                                    |  |
| Restricted<br>Stock<br>Units                        | (1)   | 05/01/2019                              |  | M      | 774   | 05/01/2019(6)    | <u>(7)</u>  | Common<br>Stock | 774                                    |  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| . 8   | Director      | 10% Owner | Officer | Other |  |  |
| GEORGE EDWARD S<br>C/O COLUMBIA SPORTSWEAR COMPANY<br>14375 NW SCIENCE PARK DRIVE<br>PORTLAND, OR 97229 | X             |           |         |       |  |  |

Reporting Owners 2

### **Signatures**

Peter J. Bragdon, Attorney-in-Fact

05/02/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a right to receive one share of COLM common stock.
- (2) Shares held by Edward S. George and Vilora Lynn George, Trustees of the Amended and Restated George Family Trust, dated May 15, 2006.
  - The reported transaction involved an amendment to the outstanding restricted stock unit award, resulting in accelerated vesting of 365 shares. On 6/10/2016 the reporting person was granted 1,095 restricted stock units, one-third of the restricted stock units vest annually (a) on 7/1/2017 (the "Vest Date"), and (b) on each of the two subsequent anniversaries of the Vest Date. One share of Common Stock will be automatically delivered to the reporting person for each restricted stock unit that vests. If a vesting date falls on a weekend or any other
- (3) on 7/1/2017 (the Vest Date ), and (b) on each of the two subsequent anniversaries of the Vest Date. One share of Common Stock will be automatically delivered to the reporting person for each restricted stock unit that vests. If a vesting date falls on a weekend or any other day on which the Nasdaq Stock Market ("NSM") or any national securities exchange on which the Common Stock then is principally traded (the "Exchange") is not open, affected RSUs shall vest on the next following NSM or Exchange business day, as the case may be.
  - On 6/13/2017 the reporting person was granted 1,085 restricted stock units, one-third of the restricted stock units vest annually (a) on 5/1/2018 (the "Vest Date"), and (b) on each of the two subsequent anniversaries of the Vest Date. One share of Common Stock will be
- (4) automatically delivered to the reporting person for each restricted stock unit that vests. If a vesting date falls on a weekend or any other day on which the Nasdaq Stock Market ("NSM") or any national securities exchange on which the Common Stock then is principally traded (the "Exchange") is not open, affected RSUs shall vest on the next following NSM or Exchange business day, as the case may be.
  - The reported transaction involved an amendment to the outstanding restricted stock unit award, resulting in accelerated vesting of 361 shares. On 6/13/2017 the reporting person was granted 1,085 restricted stock units, one-third of the restricted stock units vest annually (a) on 5/1/2018 (the "Vest Date"), and (b) on each of the two subsequent anniversaries of the Vest Date. One share of Common Stock will be automatically delivered to the reporting person for each restricted stock unit that vests. If a vesting date falls on a weekend or any other day on which the Nasdaq Stock Market ("NSM") or any national securities exchange on which the Common Stock then is principally
- traded (the "Exchange") is not open, affected RSUs shall vest on the next following NSM or Exchange business day, as the case may be.

  On 6/6/2018 the reporting person was granted 774 restricted stock units, 100% of the restricted stock units vested on 5/1/2019. One share of Common Stock will be automatically delivered to the reporting person for each restricted stock unit that vested.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3