#### ALIGN TECHNOLOGY INC

Form 4

November 04, 2005

| FORM 4, |
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Check this box

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Number:

Expires:

Washington, D.C. 20549

3235-0287 January 31,

2005

subject to Section 16. Form 4 or

if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

**OMB APPROVAL** 

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Arjomand Hossein

2. Issuer Name and Ticker or Trading

Issuer

Symbol

ALIGN TECHNOLOGY INC

(Check all applicable)

5. Relationship of Reporting Person(s) to

[ALGN]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

C/O ALIGN TECHNOLOGY.

11/01/2005

(Month/Day/Year)

VP of Research & Development

INC., 881 MARTIN AVE.

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

SANTA CLARA, CA 95050

Security

(Instr. 3)

(City) (State) (Zip) 1. Title of

2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Ownership Owned (Instr. 4) (Instr. 4) Following

> Reported Transaction(s)

or Code V Amount (D) Price

(A)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount Underlying Securiti

#### Edgar Filing: ALIGN TECHNOLOGY INC - Form 4

Code

Securities

(Month/Day/Year)

(Instr. 3 and 4)

| (Instr. 3)                           | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8) | or Dispos<br>(D)<br>(Instr. 3, and 5) | ed of |                  |                    |                 |                              |
|--------------------------------------|------------------------------------|------------|------------------|------------|---------------------------------------|-------|------------------|--------------------|-----------------|------------------------------|
|                                      |                                    |            |                  | Code V     | / (A)                                 | (D)   | Date Exercisable | Expiration<br>Date | Title           | Amou<br>or<br>Numb<br>of Sha |
| Right to<br>buy<br>(Common<br>Stock) | \$ 6.95                            | 11/01/2005 | 11/01/2005       | A          | 50,000                                |       | 11/01/2006(1)    | 11/01/2015         | Common<br>Stock | 50,0                         |

## **Reporting Owners**

or Exercise

Reporting Owner Name / Address Relationships

any

Director 10% Owner Officer Other

Arjomand Hossein C/O ALIGN TECHNOLOGY, INC. 881 MARTIN AVE. SANTA CLARA, CA 95050

VP of Research & Development

### **Signatures**

Security

Hossein Arjomand

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents an option in which 1/4th of the shares underlying the option become vested and exercisable one year after the vesting

(1) commencement date set forth in the option agreement and 1/48th of the shares underlying the option shall become vested and exercisable

(1) commencement date set forth in the option agreement and 1/48th of the shares underlying the option shall become vested and exercisable each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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