## Edgar Filing: ADVANCED ENERGY INDUSTRIES INC - Form 4

#### ADVANCED ENERGY INDUSTRIES INC

Form 4 April 04, 2005

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** BECK RICHARD P			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			ADVANCED ENERGY INDUSTRIES INC [AEIS]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	_X_ Director 10% Owner Officer (give title below) Other (specify below)		
1625 SHARP POINT DRIVE			04/01/2005			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
EODT COLL	INIC CO O	0505		roini med by wide than One Reporting		

## FORT COLLINS, CO 80525

(State)

(Zip)

(City)

(- 3)	(	1 able	1 - Non-De	erivative s	securi	ties Ac	quirea, Disposea (	oi, or Beneficia	lly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	nAcquired	l (A) o	r	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed	of (D	)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(A)		Reported		
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
C			Code v	Amount	(D)	Titte			
Common Stock	04/01/2005		S	3,000	D	\$ 10	65,574 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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(In

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year	ion Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Option (right to buy)	\$ 17.85				07/18/2002(2)	07/18/2012	Common Stock	7,500	
Director Stock Option (right to buy)	\$ 10.67				05/07/2003(3)	05/07/2013	Common Stock	5,000	
Director Stock Option (right to buy)	\$ 14.4				05/05/2004(3)	05/05/2014	Common Stock	5,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BECK RICHARD P 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	X						

# **Signatures**

Michael El-Hillow - 04/04/2005 Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Deletionships

(1) Per Rule 10b5-1 Sales Plan executed by Seller on March 4, 2005.

Reporting Owners 2

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- (2) The director stock option granted on July 18, 2002 is immediately exercisable as to 2,500 shares of Common Stock and will vest as to 2,500 shares on each of July 18, 2004 and July 18, 2005.
- (3) The director stock options granted on May 7, 2003 and May 5, 2004 are immediately fully exercisable.
- (4) Mr. Beck has been granted Director Stock Options (right to buy) totaling 17,500 shares of Common Stock with the above exercise prices and expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.