### Edgar Filing: ROTHWELL TIMOTHY G - Form 3

### ROTHWELL TIMOTHY G

Form 3 June 19, 2009

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ROTHWELL TIMOTHY G

(Last) (First)

(Middle)

C/O ANTIGENICS INC., 162 FIFTH AVE., SUITE 900

(Street)

NEW YORK, NYÂ 10010

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Statement

(Month/Day/Year)

06/11/2009

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol ANTIGENICS INC /DE/ [agen]

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

(Check all applicable)

10% Owner \_X\_\_ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

5. If Amendment, Date Original

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Nature of Indirect 1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 4. 5. Security **Expiration Date** Securities Underlying Conversion Beneficial Ownership (Month/Day/Year) or Exercise (Instr. 4) Derivative Security Form of Ownership Price of Derivative (Instr. 5) (Instr. 4) Security: Derivative Date Exercisable Expiration Title Amount or Security Direct (D) Date Number of or Indirect Shares (I)

(Instr. 5)

Stock Option (right to buy)  $06/11/2010_{\underline{1}} 06/11/2019 \frac{\text{Common}}{\text{Stock}} 25,000 \$ 2.14$  D Â

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

ROTHWELL TIMOTHY G
C/O ANTIGENICS INC.
162 FIFTH AVE., SUITE 900
NEW YORK, NYÂ 10010

# **Signatures**

Christine M. Klaskin, by Power of Attorney

06/19/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest over three years beginning June 11, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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