

FEDERAL SIGNAL CORP /DE/
Form 3
December 01, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â DuPre Daniel A.

(Last) (First) (Middle)

1415 WEST 22ND STREET, SUITE 1100

(Street)

OAK BROOK, IL 60523

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
11/18/2015

3. Issuer Name and Ticker or Trading Symbol
FEDERAL SIGNAL CORP /DE/ [FSS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
VP, GC & Secretary

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

25,224.4747 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Amount or Number of Shares	or Indirect (I) (Instr. 5)
Stock Options (right-to-buy)	Â (2)	02/26/2017	Common Stock 3,400	\$ 16.1 D Â
Stock Options (right-to-buy)	Â (2)	02/22/2018	Common Stock 9,800	\$ 10.59 D Â
Stock Options (right-to-buy)	Â (2)	02/20/2019	Common Stock 10,500	\$ 6.68 D Â
Stock Options (right-to-buy)	Â (2)	04/26/2020	Common Stock 6,800	\$ 10.04 D Â
Stock Options (right-to-buy)	Â (2)	05/04/2021	Common Stock 10,267	\$ 6.52 D Â
Stock Options (right-to-buy)	Â (2)	05/09/2022	Common Stock 8,227	\$ 5.5 D Â
Stock Options (right-to-buy)	Â (3)	05/09/2023	Common Stock 5,427	\$ 8.4 D Â
Stock Options (right-to-buy)	Â (4)	05/05/2024	Common Stock 3,477	\$ 14.48 D Â
Stock Options (right-to-buy)	Â (5)	04/10/2025	Common Stock 4,356	\$ 16.09 D Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DuPre Daniel A. 1415 WEST 22ND STREET SUITE 1100 OAK BROOK, IL 60523	Â	Â	Â VP, GC & Secretary	Â

Signatures

Jennifer L. Sherman, attorney-in-fact for Daniel A. DuPre 12/01/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Some shares are subject to restrictions and forfeiture under Company Stock Benefit Plan.
- (2) Option is fully vested and exercisable as of the date hereof.
- (3) 3,618 options are vested and exercisable; the remaining 1,809 options will become exercisable on 5/9/2016.
- (4) 1,159 options are vested and exercisable; 1,159 options will become exercisable on 5/5/2016 and the remaining 1,159 options will become exercisable on 5/5/2017.

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- (5) 1,452 options will become exercisable on 4/10/2016; 1,452 options will become exercisable on 4/10/2017 and the remaining 1,452 options will become exercisable on 4/10/2018.

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