Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 4

GENERAL AMERICAN INVESTORS CO INC

Form 4

March 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

SECURITIES

2. Issuer Name and Ticker or Trading

INVESTORS CO INC [GAM]

GENERAL AMERICAN

3. Date of Earliest Transaction

(Month/Day/Year)

03/05/2014

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * TRUST FBO ALTSCHUL ARTHUR G JR UW ALTSCHUL HELEN G

> (Last) (First) (Middle)

C/O OVERBROOK MANAGEMENT CORP, 122 EAST 42ND STREET SUITE 2500

(State)

NEW YORK, NY 10168

(City)

(Street)

(Zip)

Filed(Month/Day/Year)

Symbol

4. If Amendment, Date Original

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

Director

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

						-	· •		•
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		sed of	` ′	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
			Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock	03/05/2014		S	845	D	\$ 35.1152	12,015	D	
5.95% Preferred Stock							2,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Officer (give title __X_ Other (specify

TTEE/Beneficiary is a Director

6. Individual or Joint/Group Filing(Check

below)

10% Owner

0.5

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	ber Expiration Date		Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securiti	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	0			
						Exercisable	Date	Title N	Number		
				~				0			
				Code V	(A) (D)			S	Shares		

Reporting Owners

Relationships

Reporting Owner Name / Address

Director 10% Officer Other

TRUST FBO ALTSCHUL ARTHUR G JR UW ALTSCHUL HELEN G C/O OVERBROOK MANAGEMENT CORP 122 EAST 42ND STREET SUITE 2500 NEW YORK, NY 10168

TTEE/Beneficiary is a

Director

Signatures

Arthur G. Altschul, Jr., Trustee & Beneficiary

03/06/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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