

UFP TECHNOLOGIES INC
Form 4
May 12, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GOULD DAVID BARTLETT

(Last) (First) (Middle)

C/O UFP TECHNOLOGIES, INC., 172 EAST MAIN STREET

(Street)

GEORGETOWN, MA 01833

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UFP TECHNOLOGIES INC [UFPT]

3. Date of Earliest Transaction (Month/Day/Year)
05/09/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock, \$.01 Par Value	05/09/2014		M		7,692 A \$ 3.12 32,304 ⁽¹⁾	D	
Common Stock, \$.01 Par Value	05/09/2014		M		3,500 A \$ 3.68 35,804 ⁽¹⁾	D	
Common Stock, \$.01 Par Value	05/09/2014		M		3,902 A \$ 6.15 39,706 ⁽¹⁾	D	
Common Stock, \$.01	05/09/2014		M		3,500 A \$ 6.01 43,206 ⁽¹⁾	D	

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Par Value

Common
Stock, \$.01 05/09/2014 M 3,902 A \$ 5.06 47,108 ⁽¹⁾ D
Par Value

Common
Stock, \$.01 05/09/2014 M 4,500 A \$ 5.19 51,608 ⁽¹⁾ D
Par Value

Common
Stock, \$.01 05/09/2014 F 5,301 D \$ 23.58 46,307 ⁽¹⁾ D
Par Value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 3.12	05/09/2014		M	7,692	06/02/2005 06/02/2015	Common Stock, \$.01 Par Value	7,692
Stock Option (Right to Buy)	\$ 3.68	05/09/2014		M	3,500	07/01/2005 07/01/2015	Common Stock, \$.01 Par Value	3,500
Stock Option (Right to Buy)	\$ 6.15	05/09/2014		M	3,902	06/08/2006 06/08/2016	Common Stock, \$.01 Par Value	3,902
Stock Option (Right to Buy)	\$ 6.01	05/09/2014		M	3,500	07/03/2006 07/03/2016	Common Stock, \$.01 Par Value	3,500

							Value
Buy)							
Stock Option (Right to Buy)	\$ 5.06	05/09/2014	M	3,902	06/06/2007	06/06/2017	Common Stock, \$0.01 Par Value 3,902
Stock Option (Right to Buy)	\$ 5.19	05/09/2014	M	4,500	07/02/2007	07/02/2017	Common Stock, \$0.01 Par Value 4,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GOULD DAVID BARTLETT C/O UFP TECHNOLOGIES, INC. 172 EAST MAIN STREET GEORGETOWN, MA 01833		X		

Signatures

Patrick J. Kinney, Jr. as attorney-in-fact for David Bartlett
Gould 05/12/2014

**Signature of Reporting Person
Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Additionally the reporting person owns 16,000 shares indirectly by his spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.