

HART JAMES E  
Form 4  
November 08, 2005

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HART JAMES E

2. Issuer Name **and** Ticker or Trading  
Symbol

ROCKWELL AUTOMATION INC  
[ROK]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

777 EAST WISCONSIN  
AVENUE, SUITE 1400

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/07/2005

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
Vice President

MILWAUKEE, WI 53202

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                     | V                                                                          | Amount                                                                                                             | (A)<br>or<br>(D)                                                     | Price                                                             |
| Common<br>Stock                       | 11/07/2005                              |                                                             | A                        |                                                                            | 900                                                                                                                | A                                                                    | <u>11</u> 11,412 <sup>(2)</sup>                                   |
| Common<br>Stock                       |                                         |                                                             |                          |                                                                            |                                                                                                                    |                                                                      | 2,034.714 <sup>(3)</sup>                                          |

By Savings  
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

## Edgar Filing: HART JAMES E - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|-------------------------------|
| Employee stock option (right to buy)       | \$ 20.349                                              |                                      |                                                    |                                |                                                                                         | 10/04/2000 10/04/2009                                    | Common Stock                                                  | 16,5                          |
| Employee stock option (right to buy)       | \$ 15.5                                                |                                      |                                                    |                                |                                                                                         | 10/07/2003 10/07/2012                                    | Common Stock                                                  | 25,0                          |
| Employee stock option (right to buy)       | \$ 27.75                                               |                                      |                                                    |                                |                                                                                         | 10/06/2004 <sup>(4)</sup> 10/06/2013                     | Common Stock                                                  | 25,0                          |
| Employee stock option (right to buy)       | \$ 43.9                                                |                                      |                                                    |                                |                                                                                         | 11/08/2005 <sup>(4)</sup> 11/08/2014                     | Common Stock                                                  | 25,0                          |
| Employee stock option (right to buy)       | \$ 56.36                                               | 11/07/2005                           |                                                    | A                              | 10,900                                                                                  | 11/07/2006 <sup>(4)</sup> 11/07/2015                     | Common Stock                                                  | 10,9                          |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |                |       |
|---------------------------------------------------------|---------------|-----------|----------------|-------|
|                                                         | Director      | 10% Owner | Officer        | Other |
| HART JAMES E<br>777 EAST WISCONSIN AVENUE<br>SUITE 1400 |               |           | Vice President |       |

MILWAUKEE, WI 53202

## Signatures

K. A. Balistreri, Attorney-in-Fact for James  
E. Hart

11/08/2005

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award under the Company's 2000 Long-Term Incentives Plan, as amended.
- (2) 2,234 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (3) Includes Company stock fund units acquired under the Company's Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 10/31/2005.
- (4) The option becomes exercisable in three equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.