

IMAX CORP

Form 4

April 05, 2016

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LISTER ROBERT D**

(Last) (First) (Middle)

**110 EAST 59TH STREET, SUITE  
2100**

(Street)

**NEW YORK, NY 10022**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
**IMAX CORP [IMAX]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**04/01/2016**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

**Chief Legal & Chief Bus Dev**

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
common shares	04/01/2016		C	(A) or (D) 5,750 (1)	\$ 13.38	25,721	D
common shares	04/01/2016		C	(A) or (D) 8,285 (1)	\$ 20.25	34,006	D
common shares	04/01/2016		S	(A) or (D) 14,035 (1)	\$ 30.7549	19,971	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	9. Date Exercisable Date	10. Expiration Date	11. Title	12. Amount or Number of Shares	13. Date Exercisable Date	14. Expiration Date	15. Title	16. Amount or Number of Shares	17. Date Exercisable Date	18. Expiration Date	19. Title	20. Amount or Number of Shares
options (to buy)	\$ 13.38	04/01/2016		C	5,750 (1)	12/31/2014 12/31/2016	common shares	5,750												
options (to buy)	\$ 20.25	04/01/2016		C	8,285 (1)	01/09/2015 01/09/2019	common shares	8,285												

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
LISTER ROBERT D 110 EAST 59TH STREET SUITE 2100 NEW YORK, NY 10022	Chief Legal & Chief Bus Dev

## Signatures

Robert D Lister 04/05/2016

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Stock options were exercised and sold as common shares pursuant to Rule 10b5-1 Sales Plan adopted on March 16, 2015. Mr. Lister's 10b5-1 Sales Plan is scheduled to terminate on April 29, 2016.

This represents the remaining balance of the options granted on the issue date, December 31, 2009, following this transaction. Mr. Lister's

- (2) aggregate remaining outstanding option, restricted share unit and common share balances following these transactions will be 223,737; 80,381 and 19,917 respectively.

This represents the remaining balance of the options granted on the issue date, January 9, 2012, following this transaction. Mr. Lister's

- (3) aggregate remaining outstanding option, restricted share unit and common share balances following these transactions will be 223,737; 80,381 and 19,917 respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: IMAX CORP - Form 4

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