Gaitan Paul Form 3 August 22, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Gaitan Paul

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/09/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Workhorse Group Inc. [WKHS]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Filed(Month/Day/Year)

C/O WORKHORSE GROUP INC., 100 COMMERCE

DRIVE

(Street)

(State)

Director _X__ Officer

(give title below) (specify below)

Chief Financial Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One Reporting Person

LOVELAND. OHÂ 45140

1. Title of Security

(City)

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5) Form:

Direct (D) or Indirect (I) (Instr. 5)

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Exercisable Expiration Date

(Instr. 4)

Amount or Number of Derivative Security: Security

Direct (D)

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Shares or Indirect (I)

(Instr. 5)

Common

\$0.001

Stock, Par $01/01/2018_{\underline{(1)}} \quad 01/01/2028_{\underline{(1)}}$ 200,000 **Stock Options** \$ 2.74 Value

Â D

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Gaitan Paul

C/O WORKHORSE GROUP INC. 100 COMMERCE DRIVE LOVELAND, OHÂ 45140

Â Chief Financial Officer Â

Signatures

/s/ Paul Gaitan 08/22/2017 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Workhorse Group Inc. granted stock options exercisable at \$2.74 per share to Mr. Gaitan to acquire 200,000 shares of common stock of (1) the Company, which are exercisable for a period of ten years. The Stock Options vest in 16 equal quarterly tranches of 12,500 shares commencing January 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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