

Nieman Jan  
Form 4  
March 07, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Nieman Jan

2. Issuer Name **and** Ticker or Trading  
Symbol  
QUAKER CHEMICAL CORP  
[KWR]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

QUAKER CHEMICAL  
CORPORATION, ONE QUAKER  
PARK, 901 E. HECTOR STREET

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/05/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_ Other (specify below)  
VP/GL - Grease, FP, GS, Mktg.

CONSHOHOCKEN, PA 19428-2380

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                   | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| Common<br>Stock                       | 03/05/2019                              |                                                             | M                                       |                                                                     | 1,213                                                                                                              | A \$ 72.12                                                           | 4,671 D                                                           |
| Common<br>Stock                       | 03/05/2019                              |                                                             | F                                       |                                                                     | 771                                                                                                                | D \$<br>202.49                                                       | 3,900 D                                                           |
| Common<br>Stock                       | 03/05/2019                              |                                                             | M                                       |                                                                     | 729                                                                                                                | A \$ 134.6                                                           | 4,629 D                                                           |
| Common<br>Stock                       | 03/05/2019                              |                                                             | F                                       |                                                                     | 590                                                                                                                | D \$<br>202.49                                                       | 4,039 D                                                           |
|                                       | 03/05/2019                              |                                                             | M                                       |                                                                     | 614                                                                                                                | A                                                                    | 4,653 D                                                           |

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|              |            |   |     |   |           |       |   |           |
|--------------|------------|---|-----|---|-----------|-------|---|-----------|
| Common Stock |            |   |     |   | \$ 151.75 |       |   |           |
| Common Stock | 03/05/2019 | F | 466 | D | \$ 202.49 | 4,187 | D |           |
| Common Stock |            |   |     |   |           | 569   | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|-------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                         |
| Employee Stock Option (right to buy)       | \$ 72.12                                               | 03/05/2019                           |                                                    | M                              | 1,213                                                                                   | <u>(1)</u>                                               | 02/23/2023                                                    | Common Stock 1,213            |
| Employee Stock Option (right to buy)       | \$ 134.6                                               | 03/05/2019                           |                                                    | M                              | 729                                                                                     | <u>(2)</u>                                               | 02/27/2024                                                    | Common Stock 729              |
| Employee Stock Option (right to buy)       | \$ 151.75                                              | 03/05/2019                           |                                                    | M                              | 614                                                                                     | <u>(3)</u>                                               | 02/26/2025                                                    | Common Stock 614              |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director   10% Owner   Officer

Other

Nieman Jan  
QUAKER CHEMICAL CORPORATION  
ONE QUAKER PARK, 901 E. HECTOR STREET  
CONSHOHOCKEN, PA 19428-2380

VP/GL - Grease, FP, GS, Mktg.

## Signatures

Victoria K. Gehris, Attorney-in-Fact for Jan F.  
Nieman

03/07/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options for this grant vested in three annual installments: 1,213 shares on February 23, 2017; 1,213 shares on February 23, 2018; and 1,213 shares on February 23, 2019.
- (2) The options for this grant vest in three annual installments: 730 shares on February 27, 2018; 729 shares on February 27, 2019; and 729 shares on February 27, 2020.
- (3) The options for this grant vest in three annual installments: 614 shares on February 26, 2019; 614 shares on February 26, 2020; and 613 shares on February 26, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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