Edgar Filing: Trundle Stephen - Form 4

Trundle Step Form 4 August 17, 2 FORM Check th if no lon subject t Section Form 4 o Form 5 obligatio may con See Instr 1(b).	2018 A 4 UNITED S his box liger o STATEM 16. or Filed purs Section 17(a	ENT OF uant to So) of the P	Was CHAN ection 1 ublic Ut	Shington, GES IN SECUR 6(a) of the	D.C. 209 BENEFI ITIES e Securiti ling Com	549 CIA les E lipany	L OWN xchange Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Sectior 0	OMB Number: Expires: Estimated a burden hou response		
1. Name and A Trundle Ste	r Name and com Holdi				5. Relationship of Reporting Person(s) to Issuer						
(Last) (First) (Middle) 3. Date of				com Holdings, Inc. [ALRM] of Earliest Transaction Day/Year) 2018				(Check all applicable) <u>X</u> Director <u>X</u> Officer (give title <u>10%</u> Owner Other (specify below) President and CEO			
TYGONG	nth/Day/Year) A				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 						
(City)		Zip)						Person			
		-					-	uired, Disposed of,		•	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	4. Securiti n(A) or Dis (Instr. 3, 4) Amount	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/15/2018			М	15,000	А	\$ 3.89	224,714 <u>(1)</u>	D		
Common Stock	08/15/2018			S <u>(2)</u>	15,000	D	\$ 50.77 (3)	209,714	D		
Common Stock								184,619	I	By Trust	
Common Stock								130,118	I	By Trust	
								2,141,235	Ι		

Reporting Owners

Common . ~

Reporting Owner Name / Address	Relationships							
1 9	Director	10% Owner	Officer	Other				
Trundle Stephen C/O ALARM.COM HOLDINGS, INC. 8281 GREENSBORO DRIVE SUITE 100 TYSONS, VA 22102) X		President and CEO					
Signatures								
/s/ Daniel Ramos, Attorney-in-Fact	08/17/2018							
**Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **

Includes 20,023 shares previously held by the Stephen Trundle 2015 4 Year GRAT (the "4 Year GRAT"), which were distributed to the (1) Reporting Person on August 9, 2018 and are now owned directly.

By LLC

2

Stock									(6)	
Reminder: R	eport on a sep	parate line for each cla	iss of securities benef	Persor inform require	ns who nation red to r	o respon containe respond	directly. nd to the coll ed in this for unless the for valid OMB c	m are not orm	SEC 1474 (9-02)	
			ative Securities Acq puts, calls, warrants		posed o		-	ed		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeriv Secur Acqui	rities ired (A) sposed of : 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 3.89	08/15/2018		М		15,000	(7)	07/11/2022	Common Stock	15,000

Relationships

Edgar Filing: Trundle Stephen - Form 4

(2) These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.64 - \$51.07, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

- (3) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.
- (4) These shares are owned by the 4 Year GRAT. The Reporting Person is the sole trustee and primary beneficiary of the 4 Year GRAT.

These shares are owned by the Stephen Trundle 2015 Gift Trust (the "Trust"). Certain members of the Reporting Person's immediate(5) family are beneficiaries of the Trust. The Reporting Person disclaims beneficial ownership of the shares owned by the Trust except to the extent, if any, of his pecuniary interest therein.

These shares are owned by Backbone Partners, LLC ("Backbone"). The Reporting Person has the sole power to vote and dispose of the(6) shares held by Backbone. The Reporting Person disclaims beneficial ownership of the shares owned by Backbone except to the extent, if any, of his pecuniary interest therein.

(7) Immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.