Cotroneo Pat Form 4 February 16, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Cotroneo Pat Issuer Symbol FIBROGEN INC [FGEN] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year)

C/O FIBROGEN, INC., 409

02/15/2018

Director 10% Owner X\_ Officer (give title ) \_ Other (specify below)

ILLINOIS ST.

4. If Amendment, Date Original Filed(Month/Day/Year)

VP, Finance and CFO 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

SAN FRANCISCO, CA 94158

(Street)

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative S	Securi	ities Acqu	iired, Disposed of	, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	02/15/2018		M	23,540	A	\$ 18	207,392	D	
Common Stock	02/15/2018		M	22,000	A	\$ 29.66	229,392	D	
Common Stock	02/15/2018		S	1,900 (1)	D	\$ 54.5 (2)	227,492	D	
Common Stock	02/15/2018		S	16,210 (1)	D	\$ 55.35 (3)	211,282	D	
Common Stock	02/15/2018		S	23,730 (1)	D	\$ 56.29	187,552	D	

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					<u>(4)</u>		
Common Stock	02/15/2018	S	3,700 (1)	D	\$ 56.94 (5)	183,852	D
Common Stock	02/16/2018	M	3,960	A	\$ 18	187,812	D
Common Stock	02/16/2018	S	3,400 (1)	D	\$ 56.2 (6)	184,412	D
Common Stock	02/16/2018	S	560 (1)	D	\$ 56.76 <u>(7)</u>	183,852	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 18	02/15/2018		M		23,540	<u>(8)</u>	11/13/2024	Common Stock	23,540
Stock Option (Right to Buy)	\$ 29.66	02/15/2018		M		22,000	<u>(8)</u>	03/04/2025	Common Stock	22,000
Stock Option (Right to Buy)	\$ 18	02/16/2018		M		3,960	(8)	11/13/2024	Common Stock	3,960

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Cotroneo Pat C/O FIBROGEN, INC. 409 ILLINOIS ST.

SAN FRANCISCO, CA 94158

VP, Finance and CFO

## **Signatures**

/s/ Dorothy Pacini, Attorney-in-fact

02/16/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a 10b5-1 plan.
- (2) The shares were sold at prices ranging from \$53.85 to \$54.80. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) The shares were sold at prices ranging from \$54.85 to \$55.80. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) The shares were sold at prices ranging from \$55.85 to \$56.80. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (5) The shares were sold at prices ranging from \$56.85 to \$57.05. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (6) The shares were sold at prices ranging from \$55.60 to \$56.50. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (7) The shares were sold at prices ranging from \$56.65 to \$57.00. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (8) Twenty-five percent of the shares subject to the option vests on the first anniversary of the vesting commencement date, and the remainder vests in equal amounts quarterly thereafter for the following three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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