Edgar Filing: HANNAH ALISON L. - Form 4

HANNAH A	LISON L.										
Form 4											
July 31, 2017	7										
FORM	14								OMB AF	PPROVAL	
Washington, D.C. 20549								OMB Number:	3235-0287		
Check thi if no long	or									January 31, 2005	
subject to STATEMENT OF CHANGES IN BENEFICIA				CIAI		NERSHIP OF	Estimated average				
	Section 16. SECURITIES						burden hours per				
Form 4 or Form 5			Section 1	(a) = f + b	Constit	•• E-	1	• A et of 1024	response	0.5	
obligation	• •						•	e Act of 1934, 1935 or Section	2		
may cont	inue.			vestment	U	• •			1		
See Instru 1(b).	iction	50(II)	or the m	vestment	company	Act	01 1 94	ю			
1(0).											
(Print or Type F	Responses)										
	ddress of Reporting	g Person [*]	2. Issuer	Name and	Ticker or T	Frading	g	5. Relationship of	Reporting Pers	son(s) to	
HANNAH ALISON L. Symbol				1				Issuer			
NEOC			NEOGE	EOGENOMICS INC [NEO]				(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	ansaction			(Chee)	k all applicable)	
			(Month/D	ay/Year)				X Director		Owner	
	MONWEALTI	H DRIVE	07/27/20	017				Officer (give below)	title Othe below)	er (specify	
SUITE 9								below)	below)		
			endment, Date Original			6. Individual or Joint/Group Filing(Check					
			Ionth/Day/Year)				Applicable Line)				
								X Form filed by C Form filed by M			
FORT MYE	ERS, FL 33913							Person		porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Da	te 2A. Deer	ned	3.	4. Securiti	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year) Execution Date, if			Transaction(A) or Disposed of (D)				Securities	Form: Direct	Indirect	
(Instr. 3)		any (Month/I	Code (Instr. 3, 4 and 5)				5)	• ·		Beneficial	
		(Month/1	Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
						()		Reported	((
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common							\$				
Stock	07/27/2017			Р	20,000	А	9.19	38,782	D		
Stoon							(1)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 6.66					07/15/2016 <u>(2)</u>	07/15/2020	Common Stock	10,000
Stock Option (Right to Buy)	\$ 9.11					07/28/2017 <u>(3)</u>	07/28/2021	Common Stock	8,333
Stock Option (Right to Buy)	\$ 7.27					05/25/2018 <u>(4)</u>	05/25/2022	Common Stock	10,000
Restricted Stock	<u>(5)</u>					06/30/2017 <u>(6)</u>	(7)	Common Stock	8,667

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Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HANNAH ALISON L. 12701 COMMONWEALTH DRIVE SUITE 9 FORT MYERS, FL 33913	Х					
Signatures						

Signatures

/s/ Alison L. 07/31/2017 Hannah **Signature of

Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the average purchase price of shares purchased on July 27, 2017.

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- (2) On July 15, 2015 Alison Hannah was granted 10,000 stock options. The options vest ratably on the next three anniversary dates of the grant date.
- (3) On July 28, 2016, Alison Hannah was granted 8,333 stock options. The options vest ratably over the first three anniversary dates of the grant date.
- (4) On May 25, 2017, Alison Hannah was granted 10,000 stock options. The options vest ratably on the next three anniversary dates of the grant date.
- (5) Each restricted stock unit represents the contingent right to receive one share of common stock upon vesting of the unit.
- (6) The restricted stock will vest as follows; 2,166 units at June 30, 2017 and thereafter, 2,167 shares will vest on the last day of each calendar quarter for the next three fiscal quarters up to March 31, 2018.
- (7) These restricted stock units will terminate upon the earliest of death, termination of employment or the last vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.