Restaurant Brands International Inc.

Form 4 July 10, 2017

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations may continue.

Check this box

See Instruction 1(b).

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**Goncalves Heitor** 

(Last) (First)

(Middle)

226 WYECROFT ROAD

(Street)

OAKVILLE, A6 L6K 3X7

2. Issuer Name and Ticker or Trading

Symbol

Restaurant Brands International Inc. [QSR]

3. Date of Earliest Transaction

(Month/Day/Year) 07/06/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

\_X\_ Form filed by One Reporting Person

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

Applicable Line)

X\_ Officer (give title

(City) (State) (Zip)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

Securities Beneficially Owned Following Reported

5. Amount of

(D) or Indirect (I) (Instr. 4)

D

Form: Direct

Beneficial Ownership (Instr. 4)

Indirect

6. Ownership 7. Nature of

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

See Remarks

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

below)

10% Owner

Other (specify

Estimated average

burden hours per

Transaction(s) (Instr. 3 and 4)

18,029

or Code V Amount (D) Price

(A)

Common Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Restaurant Brands International Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Ar Nu Sh
Exchangeable units (1) (2)	<u>(1)</u> <u>(2)</u>					(2)	<u>(2)</u>	Common Shares	10
Option (right to buy)	\$ 3.54					<u>(3)</u>	02/02/2021	Common Shares	53
Option (right to buy)	\$ 3.54					<u>(3)</u>	02/20/2022	Common Shares	11
Option (right to buy)	\$ 18.25					12/31/2017	02/28/2023	Common Shares	3
Option (right to buy)	\$ 18.25					03/01/2018	02/28/2023	Common Shares	10
Option (right to buy)	\$ 27.28					12/31/2018	03/06/2024	Common Shares	4
Option (right to buy)	\$ 27.28					03/07/2019	03/06/2024	Common Shares	8
Option (right to buy)	\$ 42.26					12/31/2019	03/05/2025	Common Shares	3
Option (right to buy)	\$ 42.26					03/06/2020	03/05/2025	Common Shares	10
Restricted Share Units	<u>(4)</u>					<u>(5)</u>	<u>(5)</u>	Common Shares	2
Dividend Equivalent Rights	<u>(6)</u>	07/06/2017		A	92.4864	<u>(7)</u>	<u>(7)</u>	Common Shares	92
Option (right to buy)	\$ 33.67					02/26/2021	02/26/2026	Common Shares	1:
Restricted Share Units	<u>(4)</u>					<u>(8)</u>	<u>(8)</u>	Common Shares	1
Dividend Equivalent Rights	<u>(6)</u>	07/06/2017		A	43.5616	<u>(9)</u>	<u>(9)</u>	Common Shares	43

# **Reporting Owners**

Renorting Owner Name /	Address	Relationship

Reporting Owners 2

#### Edgar Filing: Restaurant Brands International Inc. - Form 4

Director 10% Owner Officer Other

Goncalves Heitor 226 WYECROFT ROAD OAKVILLE, A6 L6K 3X7

See Remarks

### **Signatures**

/s/ Lisa Giles-Klein as attorney-in-fact for Heitor Goncalves

07/10/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On December 12, 2014, Burger King Worldwide, Inc. ("Burger King Worldwide") consummated the business combination (the "Merger") pursuant to the Arrangement Agreement and Plan of Merger dated August 26, 2014 by and among Burger King Worldwide, Tim Hortons Inc., Restaurant Brands International Limited Partnership and the other parties thereto

- (1) (the "Arrangement Agreement"). Pursuant to the Reporting Person's election under the Arrangement Agreement, each share of Burger King Worldwide common stock previously held by the Reporting Person was converted into one Restaurant Brands International Limited Partnership exchangeable unit.
  - Each Restaurant Brands International Limited Partnership exchangeable unit is convertible, at the Reporting Person's election, at any time after the one year anniversary of the Merger, into common shares of Restaurant Brands International Inc. or a cash amount equal to a
- prescribed cash amount determined by reference to the weighted average trading price of Restaurant Brands International Inc.'s common shares on the New York Stock Exchange for the 20 consecutive trading days ending on the last business day prior to the exchange date, at the sole discretion of the general partner of Restaurant Brands International Limited Partnership (subject to the consent of the Restaurant Brands International Inc. conflicts committee, in certain circumstances). This conversion right has no expiration date.
- (3) These options are immediately exercisable.
- (4) Each restricted share unit represents a contingent right to receive one common share.
- (5) These restricted share units vest on December 31, 2020.
- (6) Each whole dividend equivalent right represents a contingent right to receive one common share.
  - These dividend equivalent rights accrued on the 2016 restricted share unit award (the "2016 RSUs"). Dividend equivalent rights accrue
- (7) when and as dividends are paid on the common shares underlying the 2016 RSUs and vest proportionately with and are subject to settlement and expiration upon the same terms as the 2016 RSUs to which they relate.
- (8) These restricted share units vest on December 31, 2021.
- These dividend equivalent rights accrued on the 2017 restricted share unit award (the "2017 RSUs"). Dividend equivalent rights accrue (9) when and as dividends are paid on the common shares underlying the 2017 RSUs and vest proportionately with and are subject to settlement and expiration upon the same terms as the 2017 RSUs to which they relate.

#### **Remarks:**

Senior EVP, Chief Information and Performance Officer and Chief People Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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