

Bank of New York Mellon Corp  
 Form 4  
 February 22, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GIBBONS THOMAS P**

2. Issuer Name and Ticker or Trading Symbol  
**Bank of New York Mellon Corp [BK]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

**THE BANK OF NEW YORK MELLON CORPORATION, 225 LIBERTY STREET**

(Street)

**NEW YORK, NY 10286**

(City) (State) (Zip)

3. Date of Earliest Transaction (Month/Day/Year)  
**02/19/2017**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**Vice Chairman & CFO**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |       |
|---------------------------------|--------------------------------------|----------------------------------------------------|---------------------|---|-------------------------------------------------------------------|------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|-------|
|                                 |                                      |                                                    | Code                | V | Amount                                                            | (A) or (D) |                                                                                               |                                                          |                                                       | Price |
| Common Stock                    | 02/19/2017                           |                                                    | F                   |   | 5,913<br>(1)                                                      | D          | \$ 47.14                                                                                      | 415,855.5025                                             | D                                                     |       |
| Common Stock                    | 02/19/2017                           |                                                    | F                   |   | 21,547<br>(2)                                                     | D          | \$ 47.14                                                                                      | 394,308.5025                                             | D                                                     |       |
| Common Stock                    | 02/19/2017                           |                                                    | F                   |   | 14,506<br>(1)                                                     | D          | \$ 47.14                                                                                      | 379,802.5025                                             | D                                                     |       |
| Common Stock                    | 02/20/2017                           |                                                    | F                   |   | 6,213<br>(1)                                                      | D          | \$ 47.14                                                                                      | 373,589.5025                                             | D                                                     |       |
|                                 |                                      |                                                    |                     |   |                                                                   |            |                                                                                               | 9,739                                                    | I                                                     |       |

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|              |       |   |  |             |
|--------------|-------|---|--|-------------|
| Common Stock |       |   |  | By Child #2 |
| Common Stock | 9,739 | I |  | By Child #3 |
| Common Stock | 9,739 | I |  | By Child #4 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------------------------------|
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------------------------------|

## Reporting Owners

| Reporting Owner Name / Address                                                                          | Relationships |           |                     |       |
|---------------------------------------------------------------------------------------------------------|---------------|-----------|---------------------|-------|
|                                                                                                         | Director      | 10% Owner | Officer             | Other |
| GIBBONS THOMAS P<br>THE BANK OF NEW YORK MELLON CORPORATION<br>225 LIBERTY STREET<br>NEW YORK, NY 10286 |               |           | Vice Chairman & CFO |       |

## Signatures

/s/Craig T. Beazer,  
Attorney-in-Fact

02/22/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld in payment of tax liability incident to vesting of previously disclosed Restricted Stock Unit awards.

(2) Shares withheld in payment of tax liability incident to vesting of 2014 Performance Share Unit Award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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