## Edgar Filing: ADTRAN INC - Form 4

ADTRAN INC											
Form 4											
November 16, 20	15										
FORM 4									APPROVAL		
<b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							N OMB Number:	3235-0287			
Check this box	Σ.							Expires:	January 31,		
if no longer subject to Section 16. Form 4 or		MENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated burden ho response.	ours per		
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	Section 17(	(a) of the H	Public U	tility Hol	ding Coi		nge Act of 1934, of 1935 or Secti 940				
(Print or Type Respo	nses)										
1. Name and Address of Reporting Person <u>*</u> NICHOLS ROY J			2. Issuer Name <b>and</b> Ticker or Trading Symbol ADTRAN INC [ADTN]				5. Relationship of Reporting Person(s) to Issuer				
							(Check all applicable)				
(Last) (First) (Middle) 901 EXPLORER BLVD.			3. Date of Earliest Transaction (Month/Day/Year) 11/12/2015				X_ Director 10% Owner Officer (give title Other (specify below) below)				
(	4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>							
HUNTSVILLE,	AL 35806						Person	More than One r	xeporting		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Benefici	ally Owned		
	ansaction Date hth/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report or	n a separate line	e for each cla	ass of secu	urities benet	Perso inforr requi	ons who res nation cont red to respo ays a curre	or indirectly. spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not orm	SEC 1474 (9-02)		

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Se
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(Iı

	Derivative Security			or Disposed of (D) (Instr. 3, 4, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Dividend Equivalent Rights	<u>(1)</u>	11/12/2015	А		21.448		<u>(1)</u>	<u>(1)</u>	Common Stock	21.448

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
1 0	Director	10% Owner	Officer	Other			
NICHOLS ROY J 901 EXPLORER BLVD. HUNTSVILLE, AL 35806	Х						
Signatures							
Gayle S. Ellis by Power of Attorney		11/16/201	5				
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The dividend equivalent rights accrued when and as dividends were paid on the Company's common stock. Each dividend equivalent right is the economic equivalent of one share of the Company's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.