

Steris plc  
 Form 4  
 November 02, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Bardwell Kathleen

(Last) (First) (Middle)

C/O CHANCERY HOUSE, 190  
 WATERSIDE RD., HAMILTON  
 INDUSTRIAL PARK

(Street)

LEISCETER, X0 LE5 1QZ

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Steris plc [STE]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 11/02/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 Sr. V. P. & C.C.O.

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Ordinary Shares, \$0.10 Nominal Value	11/02/2015		A	(1)	15,731	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

Edgar Filing: Steris plc - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 22.83	11/02/2015		A	962	<u>(3)</u> 05/21/2019		Ordinary Shares, ?0.10 Nominal Value	962
Employee Stock Option (right to buy)	\$ 34.23	11/02/2015		A	1,000	<u>(4)</u> 11/12/2019		Ordinary Shares, ?0.10 Nominal Value	1,000
Employee Stock Option (right to buy)	\$ 31.87	11/02/2015		A	4,275	<u>(5)</u> 05/20/2020		Ordinary Shares, ?0.10 Nominal Value	4,275
Employee Stock Option (right to buy)	\$ 36.09	11/02/2015		A	4,000	<u>(6)</u> 05/31/2021		Ordinary Shares, ?0.10 Nominal Value	4,000
Employee Stock Option (right to buy)	\$ 29.94	11/02/2015		A	3,795	<u>(7)</u> 05/30/2022		Ordinary Shares, ?0.10 Nominal Value	3,795
Employee Stock Option (right to buy)	\$ 45.34	11/02/2015		A	5,360	<u>(8)</u> 05/31/2023		Ordinary Shares, ?0.10 Nominal Value	5,360
Employee Stock Option (right to	\$ 53.52	11/02/2015		A	7,000	<u>(9)</u> 05/30/2024		Ordinary Shares, ?0.10 Nominal	7,000



## Edgar Filing: Steris plc - Form 4

This option became or will become exercisable as follows: 1,340 on May 31, 2014; 1,340 on May 31, 2015; 1,340 on May 31, 2016 and 1,340 on May 31, 2017. This option was received in the Merger in exchange for an option to purchase 5,360 STERIS common shares for \$45.34 per share, subject to the same terms and conditions as the original STERIS stock option.

- (9) This option became or will become exercisable as follows: 1,750 on May 30, 2015; 1,750 on May 30, 2016; 1,750 on May 30, 2017 and 1,750 on May 30, 2018. This option was received in the Merger in exchange for an option to purchase 7,000 STERIS common shares for \$53.52 per share, subject to the same terms and conditions as the original STERIS stock option.

- (10) This option will become exercisable as follows: 2,500 on May 28, 2016; 2,500 on May 28, 2017; 2,500 on May 28, 2018 and 2,500 on May 28, 2019. This option was received in the Merger in exchange for an option to purchase 10,000 STERIS common shares for \$67.98 per share, subject to the same terms and conditions as the original STERIS stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.