### Edgar Filing: NEUROCRINE BIOSCIENCES INC - Form 4

#### NEUROCRINE BIOSCIENCES INC

Form 4 June 03, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

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subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Gano Kyle

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**NEUROCRINE BIOSCIENCES** 

(Check all applicable)

INC [NBIX]

3. Date of Earliest Transaction

(Middle)

(Zip)

Director 10% Owner X\_ Officer (give title Other (specify

(Month/Day/Year) 06/01/2015

below)

See Remarks

NEUROCRINE BIOSCIENCES. INC., 12780 EL CAMINO REAL

(First)

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SAN DIEGO, CA 92130

(State)	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of 6. Securities Ownership Form: Direct Owned (D) or Following Indirect (I)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
		Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
06/01/2015		M	23,230	A	\$ 5.76	49,843	D		
06/01/2015		M	15,000	A	\$ 2.59	64,843	D		
06/01/2015		S(1)	38,230	D	\$ 42.19 (2)	26,613	D		
	2. Transaction Date (Month/Day/Year)  06/01/2015  06/01/2015	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  06/01/2015  06/01/2015	2. Transaction Date (Month/Day/Year)	2. Transaction Date (Month/Day/Year)	2. Transaction Date (Month/Day/Year)	2. Transaction Date   2A. Deemed   3.   4. Securities Acquired   (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   (Instr. 8)   (Instr. 3, 4 and 5)   (Instr. 8)   (A) or	2. Transaction Date (Month/Day/Year)	2. Transaction Date (Month/Day/Year)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 5.76	06/01/2015		M		23,230	(3)	08/25/2021	Common Stock	23,230
Incentive Stock Option	\$ 2.59	06/01/2015		M		15,000	<u>(4)</u>	05/11/2017	Common Stock	15,000

# **Reporting Owners**

Director 10% Owner Officer Other

Gano Kyle NEUROCRINE BIOSCIENCES, INC. 12780 EL CAMINO REAL SAN DIEGO, CA 92130

See Remarks

### **Signatures**

/s/Darin Lippoldt, Attorney-in-Fact 06/03/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The disposition reported in this Form 4 was effected by a broker pursuant to instructions set forth in a Rule 10b5-1 trading plan adopted (1) by the Reporting Person at least 90 days prior to the transaction date in Box 3 above. Additionally, Issuer policy restricts the Reporting Person from amending, canceling, suspending or otherwise modifying any 10b5-1 trading plan subsequent to adoption of the plan.
  - Represents a weighted average price. These shares were sold in multiple transactions at prices ranging from \$41.68 to \$43.90. The
- (2) Reporting Person has provided to the issuer, and will provide to any security holder of the issuer or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (3) The option was granted August 25, 2011 and vested in 36 equal monthly installments beginning September 25, 2011.

Reporting Owners 2

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(4) The option was granted May 11, 2010 and vested in 36 equal monthly installments beginning June 11, 2010.

### **Remarks:**

#### Chief Business Development Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.