#### Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

AMERICAN NATIONAL INSURANCE CO /TX/ Form 4 March 03, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Lucas Robert Eugene Issuer Symbol AMERICAN NATIONAL (Check all applicable) **INSURANCE CO /TX/ [ANAT]** (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_\_\_X\_\_ Other (specify Officer (give title (Month/Day/Year) below) below) ONE MOODY PLAZA 03/01/2015 Advisory Director (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting GALVESTON, TX 77550 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) Owned Ownership (Instr. 8) (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 03/01/2015 Μ 750 A \$0 750 D Stock (1) Common 0 (2) 03/01/2015 D 750 D D 104.75 Stock (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Restricted Stock Units	<u>(3)</u>	03/01/2015		М		250	03/01/2013(4)	03/01/2015(4)	Common Stock	25
Restricted Stock Units	<u>(3)</u>	03/01/2015		М		250	03/01/2014(5)	03/01/2016 <u>(5)</u>	Common Stock	25
Restricted Stock Units	<u>(3)</u>	03/01/2015		М		250	03/01/2015 <u>(6)</u>	03/01/2017 <u>(6)</u>	Common Stock	25
Restricted Stock Units	<u>(3)</u>	03/02/2015		А	750		03/01/2016(7)	03/01/2018 <u>(7)</u>	Common Stock	75

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
I O	Director	10% Owner	Officer	Other				
Lucas Robert Eugene ONE MOODY PLAZA GALVESTON, TX 77550				Advisory Director				
Signatures								
R. Eugene Lucas, by J. Mark F Attorney-in-Fact	lippin as			03/02/2015				
**Signature of Reportin	g Person			Date				

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction represents the settlement of vested restricted stock units in cash based on the closing price of Issuer's common stock on February 27, 2015. For reporting purposes, such settlement is treated as a simultaneous acquisition and disposition of the underlying

### Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

common stock.

- (2) The reporting person's direct ownership previously was reported to include 9,434 shares, which in December 2014 were transferred to a family trust in a transaction exempt from reporting under Rule 16a-13. The reporting person now indirectly owns such 9,434 shares.
- (3) Each restricted stock unit represents a contingent right to receive, upon vesting, one share of Issuer's common stock or, at the election of the reporting person, cash in an amount equal to the closing price of such stock on the date of vesting.
- (4) These restricted stock units vest in three equal annual installments, beginning March 1, 2013, or upon the reporting person's earlier retirement, death or disability.
- (5) These restricted stock units vest in three equal annual installments, beginning March 1, 2014, or upon the reporting person's earlier retirement, death or disability.
- (6) These restricted stock units vest in three equal annual installments, beginning March 1, 2015, or upon the reporting person's earlier retirement, death or disability.
- (7) These restricted stock units vest in three equal annual installments, beginning March 1, 2016, or upon the reporting person's earlier retirement, death or disability.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.