#### **CHART INDUSTRIES INC**

Form 4

August 23, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BIEHL MICHAEL F** Issuer Symbol CHART INDUSTRIES INC [GTLS] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify \_X\_\_ Officer (give title ONE INFINITY CORPORATE 08/22/2013 below) CENTRE DRIVE Executive VP, CFO & Treasurer

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting

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UAKFIEL	æ	псипто	. UH 44 I	(2.)

GARFIELD HEIGHTS, OH 44125						Person			
(City)	(State)	(Zip) Tak	ole I - Non-	Derivativ	e Secı	ırities Acq	uired, Disposed o	of, or Benefici	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	08/22/2013		S	1,300	D	\$	4,148 <u>(2)</u>	D	
Common Stock, par value \$0.01 per share	08/23/2013		S	500	D	\$ 121.5	3,648 (2)	D	
Common Stock, par							15,500	I	By spouse as Trustee

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value \$0.01 per share (3)		for the Eileen M. Biehl Declaration of Trust (4)
Reminder: Report on a separate line for each class of securities benefic	ally owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form	SEC 1474 (9-02)
	displays a currently valid OMB control number.	

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/	iration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy) (3)	\$ 17.03					<u>(5)</u>	01/04/2020	Common Stock	2,750	
Stock Option (Right to Buy) (3)	\$ 36.45					<u>(6)</u>	01/03/2021	Common Stock	3,620	
Stock Option (Right to Buy) (3)	\$ 55.93					<u>(7)</u>	01/03/2022	Common Stock	4,380	
Restricted Stock Unit	\$ 0					<u>(8)</u>	01/03/2015	Common Stock	2,410	
Stock Option (Right to Buy) (3)	\$ 68.21					<u>(9)</u>	01/02/2023	Common Stock	4,800	

8. Pri Deriv Secur (Instr Restricted Stock Unit \$0

Common 01/02/2016 (10)

2,870 Stock

## **Reporting Owners**

Reporting Owner Name / Address

Relationships Director 10% Owner Officer Other

**BIEHL MICHAEL F** ONE INFINITY CORPORATE CENTRE DRIVE GARFIELD HEIGHTS, OH 44125

Executive VP, CFO & Treasurer

### **Signatures**

/s/ Michael F. Biehl, by Matthew J. Klaben, his attorney-in-fact pursuant to Power of Attorney dated June 30, 2006 on file with the Commission

08/23/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Price reported constitutes the average weighted price of shares sold. Shares were sold at varying prices in the range of \$120.00- \$120.10. **(1)** The reporting person hereby undertakes, upon request by the Commission staff, the issuer or a security holder of the issuer, to provide
- full information regarding the number of shares sold at each separate price.
- 947 shares of the total amount beneficially owned were granted on January 3, 2011, pursuant to a restricted stock award agreement under the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3 and remain subject to restriction. The restricted stock awards vest in equal installments on each of the first three anniversaries of the date of grant.
- **(3)** No transaction is being reported on this line. Reported on a previously filed Form 4 or Form 5.
- The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting **(4)** person is the benficial owner of these securities for purposes of Section 16 or for any other purpose.
- These options were granted on January 4, 2010 pursuant to the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt **(5)** transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.
- These options were granted on January 3, 2011 pursuant to the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock **(6)** underlying the stock options on each of the first four anniversaries of the date of grant.
- These options were granted on January 3, 2012 pursuant to the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.
  - These securities, which are referred to by the Company as Leveraged Restricted Share Units (LRSUs), were granted on January 3, 2012 pursuant to a Leveraged Restricted Share Unit Agreement under the Chart Industries, Inc. 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3. Shares of Company common stock will be issued following the vesting of the LRSUs on the third
- **(8)** anniversary of the date of grant. The minimum number of shares of Company common stock that will be issued at payment is 50% of the grant amount of LRSUs, and the maximum number of shares of Company common stock that will be issued at payment is 150% of the grant amount of LRSUs.
- These options were granted on January 2, 2013 pursuant to the Chart Industries, Inc. Amended and Restated 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3. The options vest with respect to one-fourth (1/4) of the total number of shares of common stock underlying the stock options on each of the first four anniversaries of the date of grant.
- (10) These securities, which are referred to by the Company as Leveraged Restricted Share Units (LRSUs), were granted on January 2, 2013 pursuant to a Leveraged Restricted Share Unit Agreement under the Chart Industries, Inc. Amended and Restated 2009 Omnibus Equity

Reporting Owners 3

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Plan in an exempt transaction under Rule 16b-3. Shares of Company common stock will be issued following the vesting of the LRSUs on the third anniversary of the date of grant. The minimum number of shares of Company common stock that will be issued at payment is 50% of the grant amount of LRSUs, and the maximum number of shares of Company common stock that will be issued at payment is 150% of the grant amount of LRSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.