

LEE FRANCIS F
Form 4
May 06, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEE FRANCIS F

2. Issuer Name and Ticker or Trading Symbol
SYNAPTICS INC [SYNA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3120 SCOTT BLVD.

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/02/2013

Director 10% Owner
 Officer (give title below) Other (specify below)

SANTA CLARA, CA 95054

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|--------|---|--|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 05/02/2013 | | M | | 20,672 | A | \$ 10.93 | 23,678 | D | |
| Common Stock | 05/02/2013 | | M | | 12,559 | A | \$ 20.17 | 36,237 | D | |
| Common Stock | 05/02/2013 | | S ⁽¹⁾ | | 16,250 | D | \$ 39.02 | 19,987 | D | |
| Common Stock | 05/02/2013 | | S ⁽¹⁾ | | 16,981 | D | \$ 40 | 3,006 | D | |
| Common Stock | 05/03/2013 | | M | | 10,800 | A | \$ 10.93 | 13,806 | D | |
| Common Stock | 05/03/2013 | | M | | 23,966 | A | \$ 20.17 | 37,772 | D | |

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| | | | | | | | | |
|--------------|------------|------------------|--------|---|------------|--------|---|-----------------------------|
| Common Stock | | | | | | | | |
| Common Stock | 05/03/2013 | S ⁽¹⁾ | 30,800 | D | \$ 40.4257 | 6,972 | D | |
| Common Stock | 05/03/2013 | S ⁽¹⁾ | 3,966 | D | \$ 40.29 | 3,006 | D | |
| Common Stock | | | | | | 65,487 | I | By Trust ⁽²⁾ |
| Common Stock | | | | | | 32,422 | I | By Trust ⁽³⁾ |
| Common Stock | | | | | | 32,422 | I | By Trust ⁽⁴⁾ |
| Common Stock | | | | | | 4,000 | I | As Custodian ⁽⁵⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Employee Stock Option (Right to Buy) | \$ 10.93 | 05/02/2013 | | M | 20,672 | ⁽⁶⁾ | 01/21/2014 | Common Stock | 20,672 |
| Employee Stock Option (Right to Buy) | \$ 20.17 | 05/02/2013 | | M | 12,559 | ⁽⁷⁾ | 01/18/2015 | Common Stock | 12,559 |

| | | | | | | | | |
|--|----------|------------|---|--------|------------|------------|-----------------|--------|
| Employee Stock Option (Right to Buy) | \$ 10.93 | 05/03/2012 | M | 10,800 | <u>(6)</u> | 01/21/2014 | Common Stock | 10,800 |
| Employee Stock Option (Right to Buy) | \$ 20.17 | 05/03/2013 | M | 23,966 | <u>(7)</u> | 01/18/2015 | Common Stock | 23,966 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LEE FRANCIS F 3120 SCOTT BLVD. SANTA CLARA, CA 95054 | | X | | |

Signatures

Kermit Nolan, as
attorney-in-fact

05/06/2013

 **Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The shares were sold pursuant to a 10b5-1 Sales Plan dated April 30, 2013.
- (2) The shares are held by EF Lee Family 2012 Irr Trust, which were previously reported as being held by Francis F. Lee and Evelyn C. Lee as Co-Trustees of the Lee 1999 Living Trust dated March 16, 1999.
- (3) The shares are held by Francis F. Lee, Trustee of the Francis Lee 2002 Irrevocable Trust.
- (4) The shares are held by Evelyn C. Lee, the reporting person's spouse, Trustee of the Evelyn Lee 2002 Irrevocable Trust.
- (5) The shares are held by the reporting person as custodian for his child.
- (6) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 21, 2004 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 21st day of each month thereafter.
- (7) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 18, 2005 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 18th day of each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.