

MCDANIEL RAYMOND W

Form 4

May 06, 2009

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MCDANIEL RAYMOND W

(Last) (First) (Middle)

7 WORLD TRADE CENTER, 250  
GREENWICH STREET

(Street)

NEW YORK, NY 10007

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
MOODYS CORP /DE/ [MCO]

3. Date of Earliest Transaction  
(Month/Day/Year)

05/04/2009

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chairman &amp; CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 05/04/2009                              |                                                             | M                                    | 50,000                                                                  | A \$<br>14.0625                                                                                                    | 175,711                                                                 | D                                                                 |
| Common<br>Stock                       | 05/04/2009                              |                                                             | S <sup>(1)</sup>                     | 50,000                                                                  | D \$ 30                                                                                                            | 125,711                                                                 | D                                                                 |
| Common<br>Stock                       | 05/04/2009                              |                                                             | M                                    | 32,070                                                                  | A \$<br>14.0625                                                                                                    | 157,781                                                                 | D                                                                 |
| Common<br>Stock                       | 05/04/2009                              |                                                             | S <sup>(1)</sup>                     | 32,070                                                                  | D \$ 30                                                                                                            | 125,711                                                                 | D                                                                 |
| Common<br>Stock                       | 05/05/2009                              |                                                             | M                                    | 17,730                                                                  | A \$<br>14.0625                                                                                                    | 143,441                                                                 | D                                                                 |

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|                 |                      |   |        |
|-----------------|----------------------|---|--------|
| Common<br>Stock | 1,439 <sup>(2)</sup> | I | 401-K  |
| Common<br>Stock | 2,000                | I | Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------|-----------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                    | Date Exercisable                                               | Expiration<br>Date                                               | Title           | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 14.0625                                                            | 05/04/2009                              |                                                             | M                                       | 50,000                                                                                                       | 10/03/2001 <sup>(3)</sup>                                      | 10/03/2010                                                       | Common<br>Stock | 50,000                              |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 14.0625                                                            | 05/04/2009                              |                                                             | M                                       | 32,070                                                                                                       | 10/03/2001 <sup>(3)</sup>                                      | 10/03/2010                                                       | Common<br>Stock | 32,070                              |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 14.0625                                                            | 05/05/2009                              |                                                             | M                                       | 17,730                                                                                                       | 10/03/2001 <sup>(3)</sup>                                      | 10/03/2010                                                       | Common<br>Stock | 17,730                              |

## Reporting Owners

| Reporting Owner Name / Address             | Relationships |           |                |       |
|--------------------------------------------|---------------|-----------|----------------|-------|
|                                            | Director      | 10% Owner | Officer        | Other |
| MCDANIEL RAYMOND W<br>7 WORLD TRADE CENTER | X             |           | Chairman & CEO |       |

250 GREENWICH STREET  
NEW YORK, NY 10007

## Signatures

John J. Goggins, by power of attorney for Raymond W.  
McDaniel

05/06/2009

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Sale of shares pursuant to Rule 10b5-1 Plan.

(2) As of the last statement dated March 31, 2009.

(3) One fourth of the options vested each year beginning with the date indicated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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