

UROPLASTY INC  
Form 4  
September 04, 2007

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KOOLE A J

2. Issuer Name and Ticker or Trading Symbol  
UROPLASTY INC [UPI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
MARTENSLINDESTRAAT 5, 6215  
JP MAASTRICHT, THE  
NETHERLANDS

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/30/2007

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Controller

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |  |
|                                 |                                      |  |                                | Code  | V   | Amount   |  |
| common stock                    | 08/30/2007                           | 08/30/2007   | U                              | 5,400   | D   | \$ 3.76  | 67,166 D                                   |
| common stock                    | 08/31/2007                           | 08/31/2007   | U                              | 8,833   | D   | \$ 3.7138  | 58,333 <sup>(3)</sup> D                    |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |            | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|------------|---|-------------------------------|
| Stock Options                              | \$ 1.1   | 08/30/2007                           | 08/30/2007   | X                              | 5,400   | 09/04/2005   | 09/04/2007 | Common Stock  | 5,400                         |
| Stock Options                              | \$ 1.1   | 08/31/2007                           | 08/31/2007   | X                              | 833   | 09/04/2005   | 09/04/2007 | Common Stock  | 833                           |
| Stock Options                              | \$ 1.1   | 08/31/2007                           | 08/31/2007   | X                              | 8,000   | 09/04/2006   | 09/04/2007 | Common Stock  | 8,000                         |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |            |
|--|---------------|-----------|---------|------------|
|  | Director      | 10% Owner | Officer | Other      |
| KOOLE A J<br>MARTENSLINDESTRAAT 5, 6215 JP MAASTRICHT<br>THE NETHERLANDS |               |           |         | Controller |

## Signatures

Larry Bakeman 09/04/2007

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 56,667 shares that Mr. Koole may acquire upon the exercise of options that are exercisable within 60 days of August 31, 2007.
- (2) The price is not applicable as this is not the sale of a derivative security but rather the exercise of a derivative security.
- (3) Includes 1,666 shares and 56,667 shares that Mr. Koole may acquire upon the exercise of options that are exercisable within 60 days of August 31, 2007.

### Remarks:

Signature is on behalf of Mr. Koole

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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