#### STROUCKEN ALBERT PL

Form 4 April 04, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per 0.5 response...

See Instruction

1(b).

(Print or Type Responses)

par value Common

Stock, \$1

71	,										
STROUCKEN ALBERT P L Sy B			Symbol	BAXTER INTERNATIONAL INC				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			_X_ Director Officer (give		Owner er (specify			
ONE BAXTER PARKWAY			(Month/Day/Year) 04/02/2018					below) below)			
(Street) 4. If Ar				mendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mon				(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
DEERFIELD, IL 60015  — Form filed by More than One Reporting  Person								eporting			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
~				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock, \$1 par value	04/02/2018			M <u>(1)</u>	3,760	A	\$ 33.77	28,380	D		
Common Stock, \$1 par value	04/02/2018			S(2)	3,460	D	\$ 64.7 (3)	24,920	D		
Common Stock, \$1	04/02/2018			S(2)	300	D	\$ 65.27	24,620	D		

(4)

696

I

By Family

Trust

par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 33.77	04/02/2018		M <u>(1)</u>		3,760	05/06/2009	05/04/2018	Common Stock, \$1 par value	3,760

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>Fg</b> -	Director	10% Owner	Officer Other				
STROUCKEN ALBERT P L							
ONE BAXTER PARKWAY	X						
DEERFIELD, IL 60015							

# **Signatures**

/s/ Ellen K. McIntosh, as attorney in-fact for Albert P.L. Stroucken

04/04/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to a Rule 10b5-1 trading plan entered into by Mr. Stroucken with respect to the stock options granted by Baxter International Inc. ("BII") to Mr. Stroucken on May 6, 2008.

**(2)** 

Reporting Owners 2

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Transaction effected pursuant to a Rule 10b5-1 trading plan entered into by Mr. Stroucken with respect to the stock options granted by BII to Mr. Stroucken.

- (3) Price reflects weighted average sales price. Range of prices for transactions are \$64.19 through \$65.18. Full information regarding the number of shares sold at each separate price will be provided by the issuer upon request.
- (4) Price reflects weighted average sales price. Range of prices for transactions are \$65.22 through \$65.35. Full information regarding the number of shares sold at each separate price will be provided by the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.