

Pham Trac
Form 4
February 26, 2019

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Pham Trac

2. Issuer Name and Ticker or Trading Symbol
SYNOPSIS INC [SNPS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
690 EAST MIDDLEFIELD ROAD

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/22/2019

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
CFO

MOUNTAIN VIEW, CA 94043

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 02/22/2019 | | M | 11,825 A \$ 42.43 | 37,167 | D | |
| Common Stock | 02/22/2019 | | S | 11,825 D \$ 102.3659 (1) | 25,342 | D | |
| Common Stock | 02/22/2019 | | M | 2,154 A \$ 39.09 | 27,496 | D | |
| Common Stock | 02/22/2019 | | S | 2,154 D \$ 102.3659 (1) | 25,342 | D | |
| | 02/22/2019 | | M | 875 A \$ 35.71 | 26,217 | D | |

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| | | | | | | | | |
|--------------|------------|---|-------|---|--------------------|--------|---|--|
| Common Stock | | | | | | | | |
| Common Stock | 02/22/2019 | S | 875 | D | \$ 102.3659 (1) | 25,342 | D | |
| Common Stock | 02/22/2019 | S | 6,781 | D | \$ 102.4228 (2) | 18,561 | D | |
| Common Stock | 02/25/2019 | M | 5,146 | A | \$ 42.43 | 23,707 | D | |
| Common Stock | 02/25/2019 | S | 5,146 | D | \$ 103.0186 (3) | 18,561 | D | |
| Common Stock | 02/25/2019 | S | 3,219 | D | \$ 102.975 (4) | 15,342 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Am or Num of S |
| Non-Qualified Stock Option (right to buy) | \$ 35.71 | 02/22/2019 | | M | 875 | 08/24/2013 05/24/2020 | Common Stock | 8 | |
| Non-Qualified Stock Option (right to buy) | \$ 39.09 | 02/22/2019 | | M | 2,154 | 08/23/2014 05/23/2021 | Common Stock | 2, | |
| Non-Qualified Stock Option (right to buy) | \$ 42.43 | 02/22/2019 | | M | 11,825 | 03/12/2015 12/12/2021 | Common Stock | 11 | |
| | \$ 42.43 | 02/25/2019 | | M | 5,146 | 03/12/2015 12/12/2021 | | 5, | |

Non-Qualified
Stock Option
(right to buy)

Common
Stock

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Pham Trac 690 EAST MIDDLEFIELD ROAD MOUNTAIN VIEW, CA 94043 | | | CFO | |

Signatures

By: POA pursuant Christina Escalante-Dutra For: Trac
Pham

02/26/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Represents a weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from \$102.35 to \$102.441. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.
- (1)
- Represents a weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from \$102.40 to \$102.49. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.
- (2)
- Represents a weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from \$103.00 to \$103.06. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.
- (3)
- Represents a weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from \$102.97 to \$103.00. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.
- (4)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.