Malone Robert W Form 4 May 02, 2018

# FORM 4

# **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 5 obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Common

04/30/2018

Stock

Stock

(Print or Type Responses)

1. Name and Malone Ro	Address of Reporting bert W	Symbol	er Name <b>and</b> Ticker or Trading  ER HANNIFIN CORP [PH]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
CORPORA	(First) ( HANNIFIN ATION, 6035 ND BOULEVARI	(Month/ 04/30/2	of Earliest Transaction Day/Year) 2018	Director 10% Owner Officer (give title Other (specify below) VP, President - Filtration Grp		
CLEVELA	(Street) .ND, OH 44124-4	Filed(Mo	endment, Date Original onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting Person		
(City)	(State)	(Zip) Tak	ole I - Non-Derivative Securities Acq	uired, Disposed of, or Beneficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price	5. Amount of Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

430

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

503.391

14,816

I

D

Parker

Savings Plan

Retirement

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title ar	nd	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amount o	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyin	ng	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	S	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3 a	ınd 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								۸			
									nount		
						Date	Expiration	or T'A N			
						Exercisable	Date		ımber		
				G 1 W	(A) (D)			of			
				Code V	(A) (D)			Sha	ares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Malone Robert W PARKER-HANNIFIN CORPORATION 6035 PARKLAND BOULEVARD CLEVELAND, OH 44124-4141

VP, President - Filtration Grp

# **Signatures**

Kelley B. Standard, Attorney-in-Fact 05/02/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. margin-bottom:0pt; font-size:10pt; font-family:Times New Roman">Shared Dispositive Power

402,989,862

11. Aggregate Amount Beneficially Owned by Each Reporting Person

Reporting Owners 2

402,989,862

- 12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)
- 13. Percent of Class Represented by Amount in Row (11)

100%

14. Type of Reporting Person (See Instructions)

CO

The number and percentage of common units representing limited partner interests (Common Units) of Spectra Energy Partners, LP (the Issuer) reported as beneficially owned by Spectra Energy in this Schedule 13D consists of the following: 171,570,734 Common Units owned by SE Transmission (as defined below); 8,701,329 Common Units owned by SESSH (as defined below); and 222,717,799 Common Units owned by SEP GP (as defined below). Each of SE Transmission, SESSH and SEP GP is an indirect, wholly owned subsidiary of Spectra Energy.

# CUSIP No. 84756N109

- 1. Names of Reporting Persons
  - I.R.S. Identification Nos. of above persons (entities only)

Spectra Energy Capital, LLC (<u>SE Capital</u>)

51-0282142

- 2. Check the Appropriate Box if a Member of a Group (See Instructions)
  - (a) (b)
- 3. SEC Use Only
- 4. Source of Funds (See Instructions)
- 5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6. Citizenship or Place of Organization

Delaware

Number of 7. Sole Voting Power

Shares

Beneficially None

8. Shared Voting Power

Owned By

Each

402,989,862

Reporting 9. Sole Dispositive Power

With None

10. Shared Dispositive Power

402,989,862

11. Aggregate Amount Beneficially Owned by Each Reporting Person

402,989,862

- 12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)
- 13. Percent of Class Represented by Amount in Row (11)

100%

14. Type of Reporting Person (See Instructions)

OO (Limited Liability Company)

SE Capital is directly wholly owned by Spectra Energy, SE Transmission is directly wholly owned by SE Capital, and each of SESSH and SEP GP is an indirect, wholly owned subsidiary of SE Capital. Therefore, SE Capital may be deemed to be the beneficial owner of 402,989,862 Common Units owned by SE Transmission, SESSH and SEP GP.

# CUSIP No. 84756N109

1.	Names of Reporting Persons
	I.R.S. Identification Nos. of above persons (entities only)
	Spectra Energy Transmission LLC (SE Transmission

- 76-0687370
- 2. Check the Appropriate Box if a Member of a Group (See Instructions)
  - (a) (b)
- 3. SEC Use Only
- 4. Source of Funds (See Instructions)
- 5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6. Citizenship or Place of Organization

Delaware

Number of 7. Sole Voting Power

Shares

Beneficially None

8. Shared Voting Power

Owned By

Each

402,989,862

Reporting 9. Sole Dispositive Power

With None

10. Shared Dispositive Power

402,989,862

11. Aggregate Amount Beneficially Owned by Each Reporting Person

402,989,862

- 12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)
- 13. Percent of Class Represented by Amount in Row (11)

100%

14. Type of Reporting Person (See Instructions)

OO (Limited Liability Company)

SE Transmission is directly wholly owned by SE Capital and indirectly wholly owned by Spectra Energy. The sole member of SESSH is SE Transmission. The sole holder of limited partner interests in SEP GP is SE Transmission and the sole holder of general partner interests in SEP GP LLC (as defined below). The sole member of SEP GP LLC is SE Transmission.

# CUSIP No. 84756N109

- Names of Reporting Persons
   I.R.S. Identification Nos. of above persons (entities only)

   Spectra Energy Southeast Supply Header, LLC (<u>SESS</u>H )
  - 20-5248997
- 2. Check the Appropriate Box if a Member of a Group (See Instructions)
  - (a) (b)
- 3. SEC Use Only
- 4. Source of Funds (See Instructions)
- 5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6. Citizenship or Place of Organization

Delaware

Number of 7. Sole Voting Power

Shares

Beneficially None

8. Shared Voting Power

Owned By

Each

8,701,329

Reporting 9. Sole Dispositive Power

With	None
VV IIII	None

10. Shared Dispositive Power

8,701,329

11. Aggregate Amount Beneficially Owned by Each Reporting Person

8,701,329

- 12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)
- 13. Percent of Class Represented by Amount in Row (11)

2.16%

14. Type of Reporting Person (See Instructions)

OO (Limited Liability Company)

SESSH is directly wholly owned by SE Transmission and indirectly wholly owned by each of Spectra Energy and SE Capital.

# CUSIP No. 84756N109

- 1. Names of Reporting Persons
  - I.R.S. Identification Nos. of above persons (entities only)

Spectra Energy Partners GP, LLC (<u>SEP GP LLC</u>)

41-2232447

- 2. Check the Appropriate Box if a Member of a Group (See Instructions)
  - (a) (b)
- 3. SEC Use Only
- 4. Source of Funds (See Instructions)
- 5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6. Citizenship or Place of Organization

Delaware

Number of 7. Sole Voting Power

Shares

Beneficially None

8. Shared Voting Power

Owned By

Each

222,717,799

Reporting 9. Sole Dispositive Power

With None

10. Shared Dispositive Power

222,717,799

11. Aggregate Amount Beneficially Owned by Each Reporting Person

222,717,799

- 12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)
- 13. Percent of Class Represented by Amount in Row (11)

55.27%

14. Type of Reporting Person (See Instructions)

OO (Limited Liability Company)

SEP GP LLC is directly wholly owned by SE Transmission and indirectly wholly owned by each of Spectra Energy and SE Capital. As the general partner of SEP GP, SEP GP LLC may be deemed to be the beneficial owner of 222,717,799 Common Units owned by SEP GP.

# CUSIP No. 84756N109

- 1. Names of Reporting Persons
  - I.R.S. Identification Nos. of above persons (entities only)

Spectra Energy Partners (DE) GP, LP (<u>SEP G</u>P )

41-2232456

- 2. Check the Appropriate Box if a Member of a Group (See Instructions)
  - (a) (b)
- 3. SEC Use Only
- 4. Source of Funds (See Instructions)
- 5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6. Citizenship or Place of Organization

Delaware

Number of 7. Sole Voting Power

Shares

Beneficially None

8. Shared Voting Power

Owned By

Each

222,717,799

Reporting 9. Sole Dispositive Power

With None

10. Shared Dispositive Power

222,717,799

11. Aggregate Amount Beneficially Owned by Each Reporting Person

222,717,799

- 12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)
- 13. Percent of Class Represented by Amount in Row (11)

55.27%

14. Type of Reporting Person (See Instructions)

PN (Limited Partnership)

SEP GP is 99% directly owned by SE Transmission, 1% directly owned by SEP GP LLC (the general partner of SEP GP), and indirectly wholly owned by each of Spectra Energy, SE Capital and SE Transmission.

# CUSIP No. 84756N109

- 1. Names of Reporting Persons
  - I.R.S. Identification Nos. of above persons (entities only)

- 41-1824246
- 2. Check the Appropriate Box if a Member of a Group (See Instructions)
  - (a) (b)
- 3. SEC Use Only
- 4. Source of Funds (See Instructions)

\*

- 5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6. Citizenship or Place of Organization

Delaware

Number of 7. Sole Voting Power

Shares

Beneficially None

8. Shared Voting Power

Owned By

Each

402,989,862\*

Reporting 9. Sole Dispositive Power

	With	10.	None Shared Dispositive Power	
11.	Aggrega		402,989,862* nount Beneficially Owned by Each Reporting Person	
12.	402,989 Check is	·	Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)	
13.	Percent of Class Represented by Amount in Row (11)			
14.	100%* Type of	Repor	rting Person (See Instructions)	
	СО			

<sup>\*</sup> Spectra Energy is directly wholly owned by EUSI. Therefore, EUSI may be deemed to be the beneficial owner of 402,989,862 Common Units that may be deemed to be beneficially owned by Spectra Energy.

# CUSIP No. 84756N109

1.	Names of Reporting Persons				
	I.R.S. Ide	entifi	cation Nos. of above persons (entities only)		
	Enbridge	US	Holdings Inc. ( <u>EUSH</u> I )		
2.	Not applicable.  2. Check the Appropriate Box if a Member of a Group (See Instructions)				
	(a)	(b)			
3.	SEC Use	Onl	y		
4.	Source of Funds (See Instructions)				
5.	** Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)				
6.	Citizenship or Place of Organization				
Nur	Canada nber of	7.	Sole Voting Power		
S	hares				
Bene	ficially	8.	None Shared Voting Power		
Owned By		0.	Shared Voting Lower		
Each			402,989,862**		
Reporting		9.	Sole Dispositive Power		
Pe	erson				

by Each Reporting Person

,	With	10.	None Shared Dispositive Powe
11.	Aggreg	ate Aı	402,989,862** mount Beneficially Owned
12.	402,989 Check i	1	** Aggregate Amount in Row

- 2. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)
- 13. Percent of Class Represented by Amount in Row (11)

100%\*\*

14. Type of Reporting Person (See Instructions)

CO

\*\* EUSI is directly wholly owned by EUSHI. Therefore, Spectra Energy is indirectly wholly owned by EUSHI and EUSHI may be deemed to be the beneficial owner of 402,989,862 Common Units that may be deemed to be beneficially owned by Spectra Energy.

# CUSIP No. 84756N109

<ol> <li>Names of Reporting Persons         <ul> <li>I.R.S. Identification Nos. of above persons (entities only)</li> </ul> </li> <li>Enbridge Inc. (<u>Enbridge</u>)         <ul> <li>98-0377957</li> </ul> </li> <li>Check the Appropriate Box if a Member of a Group (See Instruction)</li> <li>(a) (b)</li> </ol>		
Enbridge Inc. ( <u>Enbridge</u> )  98-0377957  2. Check the Appropriate Box if a Member of a Group (See Instru-	1.	Names of Reporting Persons
98-0377957 2. Check the Appropriate Box if a Member of a Group (See Instruc		I.R.S. Identification Nos. of above persons (entities only)
98-0377957 2. Check the Appropriate Box if a Member of a Group (See Instruc		
2. Check the Appropriate Box if a Member of a Group (See Instru-		Enbridge Inc. ( <u>Enbridge</u> )
(a) (b)	2.	98-0377957 Check the Appropriate Box if a Member of a Group (See Instructions)
		(a) (b)

- 3. SEC Use Only
- 4. Source of Funds (See Instructions)

\*\*\*

- 5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6. Citizenship or Place of Organization

Canada

Number of 7. Sole Voting Power

Shares

Beneficially None

8. Shared Voting Power

Owned By

Each

402,989,862\*\*\*

Reporting 9. Sole Dispositive Power

With	10.	None Shared Dispositive Power

402,989,862\*\*\*

11. Aggregate Amount Beneficially Owned by Each Reporting Person

402,989,862\*\*\*

- 12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)
- 13. Percent of Class Represented by Amount in Row (11)

100%\*\*\*

14. Type of Reporting Person (See Instructions)

CO

\*\*\* EUSHI is directly wholly owned by Enbridge. Therefore, Spectra Energy is indirectly wholly owned by Enbridge and Enbridge may be deemed to be the beneficial owner of 402,989,862 Common Units that may be deemed to be beneficially owned by Spectra Energy.

This Amendment No. 6 to Schedule 13D updates the information relating to the current beneficial owners and amends Items 2, 4, 5 and 6 of the Schedule 13D filed by filed by Spectra Energy Corp (\_Spectra Energy\_), Spectra Energy Capital, LLC (\_SE Capital\_), Spectra Energy Transmission, LLC (\_SE Transmission\_), Spectra Energy Southeast Supply Header, LLC (\_SESSH\_), Spectra Energy Partners GP, LLC (\_SEP GP\_LLC\_), Spectra Energy Partners (DE) GP, LP (\_SEP GP\_), Enbridge (U.S.) Inc. (\_EUSI\_), Enbridge US Holdings Inc. (\_EUSHI\_) and Enbridge Inc. (\_Enbridge\_and, together with Spectra Energy, SE Capital, SE Transmission, SESSH, SEP GP\_LLC, SEP GP, EUSI, and EUSHI, the \_Reporting Persons\_) with the Securities and Exchange Commission on March 9, 2017 (the \_Original Schedule 13D\_), as amended by Amendment No. 1 to the Original Schedule 13D, filed with the Securities and Exchange Commission on November 2, 2017, Amendment No. 2 to the Original Schedule 13D, filed with the Securities and Exchange Commission on January 22, 2018, Amendment No. 4 to the Original Schedule 13D filed with the Securities and Exchange Commission on May 17, 2018, and Amendment No. 5 to the Original Schedule 13D filed with the Securities and Exchange Commission on August 24, 2018.

# Item 2. Identity and Background

Item 2 of the Schedule 13D is hereby amended by adding the following paragraph:

On December 17, 2018, following the consummation of, and as a result of, the Merger (as defined below), the executive officers and directors of the Reporting Persons (collectively, the Listed Persons) ceased to beneficially own any SEP Common Units (as defined below) of the Issuer. To the Reporting Persons knowledge, none of the Listed Persons has been, during the last five years, (i) convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors), or (ii) a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.

#### **Item 4. Purpose of Transaction**

Item 4 of the Schedule 13D is hereby amended by adding the following paragraph:

On December 17, 2018, Autumn Acquisition Sub, LLC, a Delaware limited liability company and an indirect, wholly owned subsidiary of Enbridge (Merger Sub), merged with and into the Issuer, with the Issuer continuing as the surviving entity and an indirect, wholly owned subsidiary of Enbridge (the Merger) pursuant to that certain Agreement and Plan of Merger, dated as of August 24, 2018 (the Merger Agreement), by and among the Issuer, SEP GP, Enbridge, EUSI, Merger Sub, and, solely for the purposes of Article I, Article II and Article XI, EUSHI, Spectra Energy, SE Capital and SE Transmission.

As a result of the Merger, each common unit of the Issuer representing limited partner interests in the Issuer (each, an SEP Common Unit ) issued and outstanding immediately prior to the effective time of the Merger (excluding certain Excluded Units, as defined in the Merger Agreement) was converted into the right to receive from Enbridge 1.111 common shares of Enbridge. As a result of the Merger, the Reporting Persons own all of the outstanding SEP Common Units.

The SEP Common Units will be removed from listing and registration from the New York Stock Exchange.

# Item 5. Interest in Securities of the Issuer.

Item 5 of the Schedule 13D is hereby amended by adding the following paragraph:

As a result of the Merger described in Item 4 (which Item 4 is incorporated herein by reference), the Reporting Persons own all of the outstanding SEP Common Units. Because the registration of the SEP Common Units will be terminated, SEP Common Units held by Enbridge and its subsidiaries will no longer be subject to reporting under Section 13(d) of the Securities Exchange Act of 1934, as amended. Consequently, this Amendment No. 6 to the Original Schedule 13D constitutes an exit filing for the Reporting Persons.

# Item 6. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Item 6 of the Schedule 13D is hereby amended by adding the following paragraph:

The information provided or incorporated by reference in Item 4 is hereby incorporated by reference herein.

#### **SIGNATURES**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: December 17, 2018

#### **ENBRIDGE INC.**

By: /s/ Tyler W. Robinson Name: Tyler W. Robinson

Title: Vice President & Corporate Secretary

By: /s/ Wanda M. Opheim Name: Wanda M. Opheim

Title: Senior Vice President, Finance

# ENBRIDGE US HOLDINGS INC.

By: /s/ David Taniguchi Name: David Taniguchi Title: Corporate Secretary

# ENBRIDGE (U.S.) INC.

By: /s/ Kelly L. Gray
Name: Kelly L. Gray
Title: Corporate Secreta

Title: Corporate Secretary

#### SPECTRA ENERGY CORP

By: /s/ Kelly L. Gray Name: Kelly L. Gray Title: Secretary

# SPECTRA ENERGY CAPITAL, LLC

By: /s/ Kelly L. Gray Name: Kelly L. Gray Title: Secretary

# SPECTRA ENERGY TRANSMISSION, LLC

By: /s/ Kelly L. Gray Name: Kelly L. Gray Title: Secretary

# SPECTRA ENERGY SOUTHEAST

# SUPPLY HEADER, LLC

By: /s/ Kelly L. Gray
Name: Kelly L. Gray
Title: Secretary

# SPECTRA ENERGY PARTNERS (DE) GP, LP

By: Spectra Energy Partners GP, LLC

its general partner

By: /s/ Kelly L. Gray
Name: Kelly L. Gray
Title: Corporate Secretary

# SPECTRA ENERGY PARTNERS GP, LLC

By: /s/ Kelly L. Gray
Name: Kelly L. Gray
Title: Corporate Secretary