

TRI-CONTINENTAL CORP  
Form N-PX  
August 24, 2017

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, DC 20549**

**FORM N-PX**

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT  
COMPANY**

Investment Company Act File Number: **811-00266**

Name of Registrant: **Tri-Continental Corporation**

Address of Principal Executive Offices: **225 Franklin Street**  
**Boston, Massachusetts 02110**

Name and address of agent of service: **Christopher O. Petersen, Esq.**  
**c/o Columbia Management Investment Advisers, LLC**  
**225 Franklin Street**  
**Boston, Massachusetts 02110**

Registrant's telephone number including area code: **612-671-4321**

Date of fiscal year end: **12/31**

Date of reporting period:

**07/01/2016 06/30/2017**

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-00266  
 Reporting Period: 07/01/2016 - 06/30/2017  
 Tri-Continental Corporation

Item 1. Proxy Voting Record

===== Tri-Continental Corporation =====

3M COMPANY

Ticker: MMM Security ID: 88579Y101  
 Meeting Date: MAY 09, 2017 Meeting Type: Annual  
 Record Date: MAR 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Sondra L. Barbour	For	For	Management
1b	Elect Director Thomas "Tony" K. Brown	For	For	Management
1c	Elect Director Vance D. Coffman	For	For	Management
1d	Elect Director David B. Dillon	For	For	Management
1e	Elect Director Michael L. Eskew	For	For	Management
1f	Elect Director Herbert L. Henkel	For	For	Management
1g	Elect Director Muhtar Kent	For	For	Management
1h	Elect Director Edward M. Liddy	For	For	Management
1i	Elect Director Gregory R. Page	For	For	Management
1j	Elect Director Inge G. Thulin	For	For	Management
1k	Elect Director Patricia A. Woertz	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Adopt Holy Land Principles	Against	Abstain	Shareholder

-----  
 ABBVIE INC.

Ticker: ABBV Security ID: 00287Y109  
 Meeting Date: MAY 05, 2017 Meeting Type: Annual  
 Record Date: MAR 08, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert J. Alpern	For	For	Management
1.2	Elect Director Edward M. Liddy	For	For	Management
1.3	Elect Director Melody B. Meyer	For	For	Management
1.4	Elect Director Frederick H. Waddell	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Declassify the Board of Directors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5	Report on Lobbying Payments and Policy	Against	Against	Shareholder
6	Require Independent Board Chairman	Against	For	Shareholder

---

ADOBE SYSTEMS INCORPORATED

Ticker:            ADBE                    Security ID:  00724F101  
Meeting Date: APR 12, 2017    Meeting Type: Annual  
Record Date:  FEB 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Amy Banse	For	For	Management
1b	Elect Director Edward Barnholt	For	For	Management
1c	Elect Director Robert Burgess	For	For	Management
1d	Elect Director Frank Calderoni	For	For	Management
1e	Elect Director James Daley	For	For	Management
1f	Elect Director Laura Desmond	For	For	Management
1g	Elect Director Charles Geschke	For	For	Management
1h	Elect Director Shantanu Narayen	For	For	Management
1i	Elect Director Daniel Rosensweig	For	For	Management
1j	Elect Director John Warnock	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

---

AFLAC INCORPORATED

Ticker:            AFL                    Security ID:  001055102  
Meeting Date: MAY 01, 2017    Meeting Type: Annual  
Record Date:  FEB 22, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Daniel P. Amos	For	For	Management
1b	Elect Director Paul S. Amos, II	For	For	Management
1c	Elect Director W. Paul Bowers	For	For	Management
1d	Elect Director Kriss Cloninger, III	For	For	Management
1e	Elect Director Toshihiko Fukuzawa	For	For	Management
1f	Elect Director Elizabeth J. Hudson	For	For	Management
1g	Elect Director Douglas W. Johnson	For	For	Management
1h	Elect Director Robert B. Johnson	For	For	Management
1i	Elect Director Thomas J. Kenny	For	For	Management
1j	Elect Director Charles B. Knapp	For	For	Management
1k	Elect Director Karole F. Lloyd	For	For	Management
1l	Elect Director Joseph L. Moskowitz	For	For	Management
1m	Elect Director Barbara K. Rimer	For	For	Management
1n	Elect Director Melvin T. Stith	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify KPMG LLP as Auditors	For	For	Management
5	Amend Omnibus Stock Plan	For	For	Management
6	Amend Executive Incentive Bonus Plan	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

ALEXANDRIA REAL ESTATE EQUITIES, INC.

Ticker: ARE Security ID: 015271109  
 Meeting Date: MAY 09, 2017 Meeting Type: Annual  
 Record Date: MAR 31, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Joel S. Marcus	For	For	Management
1.2	Elect Director Steven R. Hash	For	For	Management
1.3	Elect Director John L. Atkins, III	For	For	Management
1.4	Elect Director James P. Cain	For	For	Management
1.5	Elect Director Maria C. Freire	For	For	Management
1.6	Elect Director Richard H. Klein	For	For	Management
1.7	Elect Director James H. Richardson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Increase Authorized Common Stock	For	For	Management
5	Ratify Ernst & Young LLP as Auditors	For	For	Management

ALEXION PHARMACEUTICALS, INC.

Ticker: ALXN Security ID: 015351109  
 Meeting Date: MAY 10, 2017 Meeting Type: Annual  
 Record Date: MAR 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Felix J. Baker	For	For	Management
1.2	Elect Director David R. Brennan	For	For	Management
1.3	Elect Director M. Michele Burns	For	For	Management
1.4	Elect Director Christopher J. Coughlin	For	For	Management
1.5	Elect Director Ludwig N. Hantson	For	For	Management
1.6	Elect Director John T. Mollen	For	For	Management
1.7	Elect Director R. Douglas Norby	For	For	Management
1.8	Elect Director Alvin S. Parven	For	For	Management
1.9	Elect Director Andreas Rummelt	For	For	Management
1.10	Elect Director Ann M. Veneman	For	For	Management
2	Approve Omnibus Stock Plan	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
6	Provide For Confidential Running Vote Tallies On Executive Pay Matters	Against	For	Shareholder

ALPHABET INC.

Ticker: GOOGL Security ID: 02079K305  
 Meeting Date: JUN 07, 2017 Meeting Type: Annual  
 Record Date: APR 19, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Larry Page	For	For	Management
1.2	Elect Director Sergey Brin	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.3	Elect Director Eric E. Schmidt	For	For	Management
1.4	Elect Director L. John Doerr	For	Withhold	Management
1.5	Elect Director Roger W. Ferguson, Jr.	For	For	Management
1.6	Elect Director Diane B. Greene	For	For	Management
1.7	Elect Director John L. Hennessy	For	For	Management
1.8	Elect Director Ann Mather	For	Withhold	Management
1.9	Elect Director Alan R. Mulally	For	For	Management
1.10	Elect Director Paul S. Otellini	For	For	Management
1.11	Elect Director K. Ram Shriram	For	For	Management
1.12	Elect Director Shirley M. Tilghman	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	Against	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
5	Advisory Vote on Say on Pay Frequency	Three Years	One Year	Management
6	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder
7	Report on Lobbying Payments and Policy	Against	For	Shareholder
8	Report on Political Contributions	Against	Against	Shareholder
9	Report on Gender Pay Gap	Against	For	Shareholder
10	Report on Charitable Contributions	Against	Abstain	Shareholder
11	Adopt Holy Land Principles	Against	Abstain	Shareholder
12	Report on Fake News	Against	Abstain	Shareholder

---

### ALTRIA GROUP, INC.

Ticker: MO Security ID: 02209S103  
 Meeting Date: MAY 18, 2017 Meeting Type: Annual  
 Record Date: MAR 27, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Gerald L. Baliles	For	For	Management
1.2	Elect Director Martin J. Barrington	For	For	Management
1.3	Elect Director John T. Casteen, III	For	For	Management
1.4	Elect Director Dinyar S. Devitre	For	For	Management
1.5	Elect Director Thomas F. Farrell, II	For	Against	Management
1.6	Elect Director Debra J. Kelly-Ennis	For	For	Management
1.7	Elect Director W. Leo Kiely, III	For	For	Management
1.8	Elect Director Kathryn B. McQuade	For	For	Management
1.9	Elect Director George Munoz	For	For	Management
1.10	Elect Director Nabil Y. Sakkab	For	For	Management
1.11	Elect Director Virginia E. Shanks	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Cease Tobacco-Related Advertising	Against	Abstain	Shareholder

---

### AMERICAN TOWER CORPORATION

Ticker: AMT Security ID: 03027X100  
 Meeting Date: MAY 31, 2017 Meeting Type: Annual  
 Record Date: APR 05, 2017

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gustavo Lara Cantu	For	For	Management
1b	Elect Director Raymond P. Dolan	For	For	Management
1c	Elect Director Robert D. Hormats	For	For	Management
1d	Elect Director Craig Macnab	For	For	Management
1e	Elect Director JoAnn A. Reed	For	For	Management
1f	Elect Director Pamela D.A. Reeve	For	For	Management
1g	Elect Director David E. Sharbutt	For	For	Management
1h	Elect Director James D. Taiclet, Jr.	For	For	Management
1i	Elect Director Samme L. Thompson	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

### ANALOG DEVICES, INC.

Ticker: ADI Security ID: 032654105  
 Meeting Date: MAR 08, 2017 Meeting Type: Annual  
 Record Date: JAN 09, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Ray Stata	For	For	Management
1b	Elect Director Vincent Roche	For	For	Management
1c	Elect Director James A. Champy	For	For	Management
1d	Elect Director Bruce R. Evans	For	For	Management
1e	Elect Director Edward H. Frank	For	For	Management
1f	Elect Director Mark M. Little	For	For	Management
1g	Elect Director Neil Novich	For	For	Management
1h	Elect Director Kenton J. Sicchitano	For	For	Management
1i	Elect Director Lisa T. Su	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

### APPLE INC.

Ticker: AAPL Security ID: 037833100  
 Meeting Date: FEB 28, 2017 Meeting Type: Annual  
 Record Date: DEC 30, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director James Bell	For	For	Management
1.2	Elect Director Tim Cook	For	For	Management
1.3	Elect Director Al Gore	For	For	Management
1.4	Elect Director Bob Iger	For	For	Management
1.5	Elect Director Andrea Jung	For	For	Management
1.6	Elect Director Art Levinson	For	For	Management
1.7	Elect Director Ron Sugar	For	For	Management
1.8	Elect Director Sue Wagner	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5	Disclose Charitable Contributions	Against	Abstain	Shareholder
6	Adopt Policy to Increase Diversity of Senior Management and Board of Directors	Against	Abstain	Shareholder
7	Proxy Access Amendments	Against	Against	Shareholder
8	Engage Outside Independent Experts for Compensation Reforms	Against	Against	Shareholder
9	Adopt Share Retention Policy For Senior Executives	Against	Against	Shareholder

-----

ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103  
 Meeting Date: DEC 15, 2016 Meeting Type: Special  
 Record Date: OCT 17, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Authority to Issue Shares Below Net Asset Value	For	For	Management
2	Issue Shares in Connection with Merger	For	For	Management
3	Adjourn Meeting	For	For	Management

-----

ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103  
 Meeting Date: MAY 22, 2017 Meeting Type: Annual  
 Record Date: MAR 09, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Michael J. Arougheti	For	For	Management
1b	Elect Director Ann Torre Bates	For	For	Management
1c	Elect Director Steven B. McKeever	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management

-----

ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103  
 Meeting Date: MAY 22, 2017 Meeting Type: Special  
 Record Date: MAR 09, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Issuance of Shares Below Net Asset Value (NAV)	For	For	Management

-----

AT&T INC.

Ticker: T Security ID: 00206R102  
 Meeting Date: APR 28, 2017 Meeting Type: Annual  
 Record Date: FEB 28, 2017



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Randall L. Stephenson	For	For	Management
1.2	Elect Director Samuel A. Di Piazza, Jr.	For	For	Management
1.3	Elect Director Richard W. Fisher	For	For	Management
1.4	Elect Director Scott T. Ford	For	For	Management
1.5	Elect Director Glenn H. Hutchins	For	For	Management
1.6	Elect Director William E. Kennard	For	For	Management
1.7	Elect Director Michael B. McCallister	For	For	Management
1.8	Elect Director Beth E. Mooney	For	For	Management
1.9	Elect Director Joyce M. Roche	For	For	Management
1.10	Elect Director Matthew K. Rose	For	For	Management
1.11	Elect Director Cynthia B. Taylor	For	Against	Management
1.12	Elect Director Laura D'Andrea Tyson	For	For	Management
1.13	Elect Director Geoffrey Y. Yang	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Report on Indirect Political Contributions	Against	Against	Shareholder
6	Report on Lobbying Payments and Policy	Against	For	Shareholder
7	Amend Proxy Access Right	Against	For	Shareholder
8	Provide Right to Act by Written Consent	Against	For	Shareholder

---

### AUTOMATIC DATA PROCESSING, INC.

Ticker: ADP                      Security ID: 053015103  
 Meeting Date: NOV 08, 2016      Meeting Type: Annual  
 Record Date: SEP 09, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Peter Bisson	For	For	Management
1.2	Elect Director Richard T. Clark	For	For	Management
1.3	Elect Director Eric C. Fast	For	For	Management
1.4	Elect Director Linda R. Gooden	For	For	Management
1.5	Elect Director Michael P. Gregoire	For	For	Management
1.6	Elect Director R. Glenn Hubbard	For	For	Management
1.7	Elect Director John P. Jones	For	For	Management
1.8	Elect Director William J. Ready	For	For	Management
1.9	Elect Director Carlos A. Rodriguez	For	For	Management
1.10	Elect Director Sandra S. Wijnberg	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

---

### BAKER HUGHES INCORPORATED

Ticker: BHI                      Security ID: 057224107  
 Meeting Date: APR 27, 2017      Meeting Type: Annual  
 Record Date: MAR 03, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gregory D. Brenneman	For	For	Management
1b	Elect Director Clarence P. Cazalot, Jr.	For	For	Management
1c	Elect Director Martin S. Craighead	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1d	Elect Director William H. Easter, III	For	For	Management
1e	Elect Director Lynn L. Elsenhans	For	For	Management
1f	Elect Director Anthony G. Fernandes	For	For	Management
1g	Elect Director Claire W. Gargalli	For	For	Management
1h	Elect Director Pierre H. Jungels	For	For	Management
1i	Elect Director James A. Lash	For	For	Management
1j	Elect Director J. Larry Nichols	For	For	Management
1k	Elect Director James W. Stewart	For	For	Management
1l	Elect Director Charles L. Watson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Provide Vote Counting to Exclude Abstentions	Against	For	Shareholder

---

### BAKER HUGHES INCORPORATED

Ticker: BHI Security ID: 057224107  
 Meeting Date: JUN 30, 2017 Meeting Type: Special  
 Record Date: MAY 25, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Adjourn Meeting	For	For	Management
3	Advisory Vote on Golden Parachutes	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Approve Material Terms of the Executive Officer Performance Goals	For	For	Management

---

### BANK OF AMERICA CORPORATION

Ticker: BAC Security ID: 060505104  
 Meeting Date: APR 26, 2017 Meeting Type: Annual  
 Record Date: MAR 02, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Sharon L. Allen	For	For	Management
1b	Elect Director Susan S. Bies	For	For	Management
1c	Elect Director Jack O. Bovender, Jr.	For	For	Management
1d	Elect Director Frank P. Bramble, Sr.	For	For	Management
1e	Elect Director Pierre J.P. de Weck	For	For	Management
1f	Elect Director Arnold W. Donald	For	Against	Management
1g	Elect Director Linda P. Hudson	For	For	Management
1h	Elect Director Monica C. Lozano	For	For	Management
1i	Elect Director Thomas J. May	For	For	Management
1j	Elect Director Brian T. Moynihan	For	For	Management
1k	Elect Director Lionel L. Nowell, III	For	For	Management
1l	Elect Director Michael D. White	For	For	Management
1m	Elect Director Thomas D. Woods	For	For	Management
1n	Elect Director R. David Yost	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Auditors				
5	Amend the General Clawback Policy	Against	Against	Shareholder
6	Non-core banking operations	Against	Against	Shareholder
7	Require Independent Board Chairman	Against	For	Shareholder
8	Report on Gender Pay Gap	Against	Abstain	Shareholder

### BAXTER INTERNATIONAL INC.

Ticker: BAX Security ID: 071813109  
 Meeting Date: MAY 02, 2017 Meeting Type: Annual  
 Record Date: MAR 09, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Jose (Joe) E. Almeida	For	For	Management
1b	Elect Director Thomas F. Chen	For	For	Management
1c	Elect Director John D. Forsyth	For	For	Management
1d	Elect Director Munib Islam	For	For	Management
1e	Elect Director Michael F. Mahoney	For	For	Management
1f	Elect Director Carole J. Shapazian	For	For	Management
1g	Elect Director Thomas T. Stallkamp	For	For	Management
1h	Elect Director Albert P.L. Stroucken	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
5	Amend Proxy Access Right	Against	Against	Shareholder

### BEST BUY CO., INC.

Ticker: BBY Security ID: 086516101  
 Meeting Date: JUN 13, 2017 Meeting Type: Annual  
 Record Date: APR 17, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Lisa M. Caputo	For	For	Management
1b	Elect Director J. Patrick Doyle	For	For	Management
1c	Elect Director Russell P. Fradin	For	For	Management
1d	Elect Director Kathy J. Higgins Victor	For	For	Management
1e	Elect Director Hubert Joly	For	For	Management
1f	Elect Director David W. Kenny	For	For	Management
1g	Elect Director Karen A. McLoughlin	For	For	Management
1h	Elect Director Thomas L. "Tommy" Millner	For	For	Management
1i	Elect Director Claudia F. Munce	For	For	Management
1j	Elect Director Gerard R. Vittecoq	For	For	Management
2	Ratify Deloitte & Touche, LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Amend Omnibus Stock Plan	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

BIOGEN INC.

Ticker: BIIB Security ID: 09062X103  
 Meeting Date: JUN 07, 2017 Meeting Type: Annual  
 Record Date: APR 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Alexander J. Denner	For	For	Management
1b	Elect Director Caroline D. Dorsa	For	For	Management
1c	Elect Director Nancy L. Leaming	For	For	Management
1d	Elect Director Richard C. Mulligan	For	For	Management
1e	Elect Director Robert W. Pangia	For	For	Management
1f	Elect Director Stelios Papadopoulos	For	For	Management
1g	Elect Director Brian S. Posner	For	For	Management
1h	Elect Director Eric K. Rowinsky	For	For	Management
1i	Elect Director Lynn Schenk	For	For	Management
1j	Elect Director Stephen A. Sherwin	For	For	Management
1k	Elect Director Michel Vounatsos	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Omnibus Stock Plan	For	For	Management

-----

BIOMARIN PHARMACEUTICAL INC.

Ticker: BMRN Security ID: 09061G101  
 Meeting Date: JUN 06, 2017 Meeting Type: Annual  
 Record Date: APR 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jean-Jacques Bienaime	For	For	Management
1.2	Elect Director Willard Dere	For	For	Management
1.3	Elect Director Michael Grey	For	For	Management
1.4	Elect Director Elaine J. Heron	For	For	Management
1.5	Elect Director V. Bryan Lawlis	For	For	Management
1.6	Elect Director Alan J. Lewis	For	For	Management
1.7	Elect Director Richard A. Meier	For	For	Management
1.8	Elect Director David Pyott	For	For	Management
1.9	Elect Director Dennis J. Slamon	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Approve Omnibus Stock Plan	For	For	Management
6	Increase Authorized Common Stock	For	For	Management

-----

BLACKSTONE MORTGAGE TRUST, INC.

Ticker: BXMT Security ID: 09257W100  
 Meeting Date: JUN 21, 2017 Meeting Type: Annual  
 Record Date: APR 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael B. Nash	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.2	Elect Director Stephen D. Plavin	For	For	Management
1.3	Elect Director Leonard W. Cotton	For	For	Management
1.4	Elect Director Thomas E. Dobrowski	For	For	Management
1.5	Elect Director Martin L. Edelman	For	For	Management
1.6	Elect Director Henry N. Nassau	For	For	Management
1.7	Elect Director Jonathan L. Pollack	For	For	Management
1.8	Elect Director Lynne B. Sagalyn	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

-----

BP PLC

Ticker: BP. Security ID: 055622104  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date: MAR 24, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and Statutory Reports	For	For	Management
2	Approve Remuneration Report	For	For	Management
3	Approve Remuneration Policy	For	For	Management
4	Re-elect Bob Dudley as Director	For	For	Management
5	Re-elect Dr Brian Gilvary as Director	For	For	Management
6	Elect Nils Andersen as Director	For	For	Management
7	Re-elect Paul Anderson as Director	For	For	Management
8	Re-elect Alan Boeckmann as Director	For	For	Management
9	Re-elect Frank Bowman as Director	For	For	Management
10	Re-elect Ian Davis as Director	For	For	Management
11	Re-elect Dame Ann Dowling as Director	For	For	Management
12	Elect Melody Meyer as Director	For	For	Management
13	Re-elect Brendan Nelson as Director	For	For	Management
14	Re-elect Paula Reynolds as Director	For	For	Management
15	Re-elect Sir John Sawers as Director	For	For	Management
16	Re-elect Carl-Henric Svanberg as Director	For	For	Management
17	Reappoint Ernst & Young LLP as Auditors and Authorise Their Remuneration	For	For	Management
18	Authorise EU Political Donations and Expenditure	For	For	Management
19	Authorise Issue of Equity with Pre-emptive Rights	For	For	Management
20	Authorise Issue of Equity without Pre-emptive Rights	For	For	Management
21	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For	Management
22	Authorise Market Purchase of Ordinary Shares	For	For	Management
23	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	Against	Management

-----

BROADCOM LIMITED

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: AVGO                      Security ID: Y09827109  
 Meeting Date: APR 05, 2017      Meeting Type: Annual  
 Record Date: FEB 08, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Hock E. Tan	For	For	Management
1b	Elect Director James V. Diller	For	For	Management
1c	Elect Director Lewis C. Eggebrecht	For	For	Management
1d	Elect Director Kenneth Y. Hao	For	For	Management
1e	Elect Director Eddy W. Hartenstein	For	For	Management
1f	Elect Director Check Kian Low	For	For	Management
1g	Elect Director Donald Macleod	For	For	Management
1h	Elect Director Peter J. Marks	For	For	Management
1i	Elect Director Henry Samueli	For	For	Management
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management
3	Approve Issuance of Shares with or without Preemptive Rights	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

### CARDINAL HEALTH, INC.

Ticker: CAH                      Security ID: 14149Y108  
 Meeting Date: NOV 03, 2016      Meeting Type: Annual  
 Record Date: SEP 06, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director David J. Anderson	For	For	Management
1.2	Elect Director Colleen F. Arnold	For	For	Management
1.3	Elect Director George S. Barrett	For	For	Management
1.4	Elect Director Carrie S. Cox	For	For	Management
1.5	Elect Director Calvin Darden	For	For	Management
1.6	Elect Director Bruce L. Downey	For	For	Management
1.7	Elect Director Patricia A. Hemingway Hall	For	For	Management
1.8	Elect Director Clayton M. Jones	For	For	Management
1.9	Elect Director Gregory B. Kenny	For	For	Management
1.10	Elect Director Nancy Killefer	For	For	Management
1.11	Elect Director David P. King	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

### CELGENE CORPORATION

Ticker: CELG                      Security ID: 151020104  
 Meeting Date: JUN 14, 2017      Meeting Type: Annual  
 Record Date: APR 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert J. Hugin	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.2	Elect Director Mark J. Alles	For	For	Management
1.3	Elect Director Richard W. Barker	For	For	Management
1.4	Elect Director Michael W. Bonney	For	For	Management
1.5	Elect Director Michael D. Casey	For	For	Management
1.6	Elect Director Carrie S. Cox	For	For	Management
1.7	Elect Director Michael A. Friedman	For	For	Management
1.8	Elect Director Julia A. Haller	For	For	Management
1.9	Elect Director Gilla S. Kaplan	For	For	Management
1.10	Elect Director James J. Loughlin	For	For	Management
1.11	Elect Director Ernest Mario	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
6	Provide For Confidential Running Vote Tallies On Executive Pay Matters	Against	Against	Shareholder

---

### CENTERPOINT ENERGY, INC.

Ticker: CNP Security ID: 15189T107  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: MAR 01, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Milton Carroll	For	For	Management
1b	Elect Director Michael P. Johnson	For	For	Management
1c	Elect Director Janiece M. Longoria	For	For	Management
1d	Elect Director Scott J. McLean	For	For	Management
1e	Elect Director Theodore F. Pound	For	For	Management
1f	Elect Director Scott M. Prochazka	For	For	Management
1g	Elect Director Susan O. Rheney	For	For	Management
1h	Elect Director Phillip R. Smith	For	For	Management
1i	Elect Director John W. Somerhalder, II	For	For	Management
1j	Elect Director Peter S. Wareing	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

---

### CENTURYLINK, INC.

Ticker: CTL Security ID: 156700106  
 Meeting Date: MAR 16, 2017 Meeting Type: Special  
 Record Date: JAN 25, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Issue Shares in Connection with Merger	For	For	Management
2	Adjourn Meeting	For	For	Management

---

### CHEVRON CORPORATION

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: CVX Security ID: 166764100  
 Meeting Date: MAY 31, 2017 Meeting Type: Annual  
 Record Date: APR 03, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Wanda M. Austin	For	For	Management
1b	Elect Director Linnet F. Deily	For	For	Management
1c	Elect Director Robert E. Denham	For	For	Management
1d	Elect Director Alice P. Gast	For	For	Management
1e	Elect Director Enrique Hernandez, Jr.	For	For	Management
1f	Elect Director Jon M. Huntsman, Jr.	For	For	Management
1g	Elect Director Charles W. Moorman, IV	For	For	Management
1h	Elect Director Dambisa F. Moyo	For	For	Management
1i	Elect Director Ronald D. Sugar	For	For	Management
1j	Elect Director Inge G. Thulin	For	For	Management
1k	Elect Director John S. Watson	For	For	Management
1l	Elect Director Michael K. Wirth	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Report on Lobbying Payments and Policy	Against	For	Shareholder
6	Report on Risks of Doing Business in Conflict-Affected Areas	Against	Abstain	Shareholder
7	Annually Assess Portfolio Impacts of Policies to Meet 2-degree Scenario *Withdrawn Resolution*	None	None	Shareholder
8	Assess and Report on Transition to a Low Carbon Economy	Against	Against	Shareholder
9	Require Independent Board Chairman	Against	For	Shareholder
10	Require Director Nominee with Environmental Experience	Against	Abstain	Shareholder
11	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	Against	Shareholder

---

### CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102  
 Meeting Date: DEC 12, 2016 Meeting Type: Annual  
 Record Date: OCT 14, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Carol A. Bartz	For	For	Management
1b	Elect Director M. Michele Burns	For	For	Management
1c	Elect Director Michael D. Capellas	For	For	Management
1d	Elect Director John T. Chambers	For	For	Management
1e	Elect Director Amy L. Chang	For	For	Management
1f	Elect Director John L. Hennessy	For	Against	Management
1g	Elect Director Kristina M. Johnson	For	For	Management
1h	Elect Director Roderick C. McGearry	For	For	Management
1i	Elect Director Charles H. Robbins	For	For	Management
1j	Elect Director Arun Sarin	For	For	Management
1k	Elect Director Steven M. West	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Report on Lobbying Payments and Policy	Against	Against	Shareholder





## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

6 Approve Recapitalization Plan for all Against For Shareholder  
 Stock to Have One-vote per Share

### CONOCOPHILLIPS

Ticker: COP Security ID: 20825C104  
 Meeting Date: MAY 16, 2017 Meeting Type: Annual  
 Record Date: MAR 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard L. Armitage	For	For	Management
1b	Elect Director Richard H. Auchinleck	For	For	Management
1c	Elect Director Charles E. Bunch	For	For	Management
1d	Elect Director John V. Faraci	For	For	Management
1e	Elect Director Jody L. Freeman	For	For	Management
1f	Elect Director Gay Huey Evans	For	For	Management
1g	Elect Director Ryan M. Lance	For	For	Management
1h	Elect Director Arjun N. Murti	For	For	Management
1i	Elect Director Robert A. Niblock	For	Against	Management
1j	Elect Director Harald J. Norvik	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	None	One Year	Management
5	Report on Lobbying Payments and Policy	Against	For	Shareholder
6	Report on Executive Compensation Incentives Aligned with Low Carbon Scenarios	Against	Abstain	Shareholder

### CORNING INCORPORATED

Ticker: GLW Security ID: 219350105  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: FEB 27, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Donald W. Blair	For	For	Management
1.2	Elect Director Stephanie A. Burns	For	For	Management
1.3	Elect Director John A. Canning, Jr.	For	For	Management
1.4	Elect Director Richard T. Clark	For	For	Management
1.5	Elect Director Robert F. Cummings, Jr.	For	For	Management
1.6	Elect Director Deborah A. Henretta	For	For	Management
1.7	Elect Director Daniel P. Huttenlocher	For	For	Management
1.8	Elect Director Kurt M. Landgraf	For	For	Management
1.9	Elect Director Kevin J. Martin	For	For	Management
1.10	Elect Director Deborah D. Rieman	For	For	Management
1.11	Elect Director Hansel E. Tookes, II	For	For	Management
1.12	Elect Director Wendell P. Weeks	For	For	Management
1.13	Elect Director Mark S. Wrighton	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
5	Amend Omnibus Stock Plan	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----  
 CVS HEALTH CORPORATION

Ticker: CVS Security ID: 126650100  
 Meeting Date: MAY 10, 2017 Meeting Type: Annual  
 Record Date: MAR 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard M. Bracken	For	For	Management
1b	Elect Director C. David Brown, II	For	For	Management
1c	Elect Director Alecia A. DeCoudreaux	For	For	Management
1d	Elect Director Nancy-Ann M. DeParle	For	For	Management
1e	Elect Director David W. Dorman	For	For	Management
1f	Elect Director Anne M. Finucane	For	For	Management
1g	Elect Director Larry J. Merlo	For	For	Management
1h	Elect Director Jean-Pierre Millon	For	For	Management
1i	Elect Director Mary L. Schapiro	For	For	Management
1j	Elect Director Richard J. Swift	For	For	Management
1k	Elect Director William C. Weldon	For	For	Management
1l	Elect Director Tony L. White	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Omnibus Stock Plan	For	For	Management
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder
7	Report on Pay Disparity	Against	Abstain	Shareholder
8	Adopt Quantitative Renewable Energy Goals	Against	Abstain	Shareholder

-----  
 DARDEN RESTAURANTS, INC.

Ticker: DRI Security ID: 237194105  
 Meeting Date: SEP 29, 2016 Meeting Type: Annual  
 Record Date: AUG 01, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Margaret Shan Atkins	For	For	Management
1.2	Elect Director Jean M. Birch	For	For	Management
1.3	Elect Director Bradley D. Blum	For	For	Management
1.4	Elect Director James P. Fogarty	For	For	Management
1.5	Elect Director Cynthia T. Jamison	For	For	Management
1.6	Elect Director Eugene I. (Gene) Lee, Jr.	For	For	Management
1.7	Elect Director William S. Simon	For	For	Management
1.8	Elect Director Charles M. (Chuck) Sonstebly	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management
4	Adopt a Policy to Phase Out Non-Therapeutic Use of Antibiotics in the Meat Supply Chain	Against	Abstain	Shareholder

-----

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

DELTA AIR LINES, INC.

Ticker: DAL Security ID: 247361702  
 Meeting Date: JUN 30, 2017 Meeting Type: Annual  
 Record Date: MAY 03, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Edward H. Bastian	For	For	Management
1b	Elect Director Francis S. Blake	For	For	Management
1c	Elect Director Daniel A. Carp	For	For	Management
1d	Elect Director David G. DeWalt	For	For	Management
1e	Elect Director William H. Easter, III	For	For	Management
1f	Elect Director Mickey P. Foret	For	For	Management
1g	Elect Director Jeanne P. Jackson	For	For	Management
1h	Elect Director George N. Mattson	For	For	Management
1i	Elect Director Douglas R. Ralph	For	For	Management
1j	Elect Director Sergio A.L. Rial	For	For	Management
1k	Elect Director Kathy N. Waller	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

DISCOVER FINANCIAL SERVICES

Ticker: DFS Security ID: 254709108  
 Meeting Date: MAY 11, 2017 Meeting Type: Annual  
 Record Date: MAR 13, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jeffrey S. Aronin	For	For	Management
1.2	Elect Director Mary K. Bush	For	For	Management
1.3	Elect Director Gregory C. Case	For	For	Management
1.4	Elect Director Candace H. Duncan	For	For	Management
1.5	Elect Director Joseph F. Eazor	For	For	Management
1.6	Elect Director Cynthia A. Glassman	For	For	Management
1.7	Elect Director Richard H. Lenny	For	For	Management
1.8	Elect Director Thomas G. Maheras	For	For	Management
1.9	Elect Director Michael H. Moskow	For	For	Management
1.10	Elect Director David W. Nelms	For	For	Management
1.11	Elect Director Mark A. Thierer	For	For	Management
1.12	Elect Director Lawrence A. Weinbach	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

ELECTRONIC ARTS INC.

Ticker: EA Security ID: 285512109  
 Meeting Date: JUL 28, 2016 Meeting Type: Annual  
 Record Date: JUN 06, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1a	Elect Director Leonard S. Coleman	For	For	Management
1b	Elect Director Jay C. Hoag	For	For	Management
1c	Elect Director Jeffrey T. Huber	For	For	Management
1d	Elect Director Vivek Paul	For	For	Management
1e	Elect Director Lawrence F. Probst, III	For	For	Management
1f	Elect Director Talbott Roche	For	For	Management
1g	Elect Director Richard A. Simonson	For	For	Management
1h	Elect Director Luis A. Ubinas	For	For	Management
1i	Elect Director Denise F. Warren	For	For	Management
1j	Elect Director Andrew Wilson	For	For	Management
2	Amend Executive Incentive Bonus Plan	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
6	Ratify KPMG LLP as Auditors	For	For	Management

---

### EMERSON ELECTRIC CO.

Ticker: EMR Security ID: 291011104  
 Meeting Date: FEB 07, 2017 Meeting Type: Annual  
 Record Date: NOV 29, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director D.N. Farr	For	Withhold	Management
1.2	Elect Director W.R. Johnson	For	For	Management
1.3	Elect Director M.S. Levatich	For	For	Management
1.4	Elect Director J.W. Prueher	For	Withhold	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify KPMG LLP as Auditors	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Report on Political Contributions	Against	For	Shareholder
7	Report on Lobbying Payments and Policy	Against	For	Shareholder
8	Adopt Quantitative Company-wide GHG Goals	Against	Against	Shareholder

---

### ENTERGY CORPORATION

Ticker: ETR Security ID: 29364G103  
 Meeting Date: MAY 05, 2017 Meeting Type: Annual  
 Record Date: MAR 07, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Maureen Scannell Bateman	For	For	Management
1b	Elect Director Patrick J. Condon	For	For	Management
1c	Elect Director Leo P. Denault	For	For	Management
1d	Elect Director Kirkland H. Donald	For	For	Management
1e	Elect Director Philip L. Frederickson	For	For	Management
1f	Elect Director Alexis M. Herman	For	For	Management
1g	Elect Director Donald C. Hintz	For	For	Management
1h	Elect Director Stuart L. Levenick	For	For	Management
1i	Elect Director Blanche Lambert Lincoln	For	For	Management
1j	Elect Director Karen A. Puckett	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1k	Elect Director W. J. 'Billy' Tauzin	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Report on Distributed Renewable Generation Resources	Against	Abstain	Shareholder

### ENVISION HEALTHCARE CORPORATION

Ticker: EVHC Security ID: 29414D209  
 Meeting Date: MAY 25, 2017 Meeting Type: Annual  
 Record Date: MAR 29, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Carol J. Burt	For	For	Management
1.2	Elect Director Christopher A. Holden	For	For	Management
1.3	Elect Director Cynthia S. Miller	For	For	Management
1.4	Elect Director Ronald A. Williams	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

### EQUINIX, INC.

Ticker: EQIX Security ID: 29444U700  
 Meeting Date: MAY 31, 2017 Meeting Type: Annual  
 Record Date: APR 05, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas Bartlett	For	For	Management
1.2	Elect Director Nanci Caldwell	For	For	Management
1.3	Elect Director Gary Hromadko	For	For	Management
1.4	Elect Director John Hughes	For	For	Management
1.5	Elect Director Scott Kriens	For	For	Management
1.6	Elect Director William Luby	For	For	Management
1.7	Elect Director Irving Lyons, III	For	For	Management
1.8	Elect Director Christopher Paisley	For	Withhold	Management
1.9	Elect Director Stephen Smith	For	For	Management
1.10	Elect Director Peter Van Camp	For	Withhold	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Re-approve Material Terms for Long-Term Incentive Performance Awards	For	For	Management
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

### ESH HOSPITALITY, INC.

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: Security ID: 30224P211  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date: APR 06, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Gerardo I. Lopez	For	For	Management
1.2	Elect Director Douglas G. Geoga	For	Withhold	Management
1.3	Elect Director Kapila K. Anand	For	For	Management
1.4	Elect Director Neil Brown	For	For	Management
1.5	Elect Director Steven Kent	For	For	Management
1.6	Elect Director Lisa Palmer	For	For	Management
1.7	Elect Director Adam M. Burinescu	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

### EXPRESS SCRIPTS HOLDING COMPANY

Ticker: ESRX Security ID: 30219G108  
 Meeting Date: MAY 11, 2017 Meeting Type: Annual  
 Record Date: MAR 13, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Maura C. Breen	For	For	Management
1b	Elect Director William J. DeLaney	For	For	Management
1c	Elect Director Elder Granger	For	For	Management
1d	Elect Director Nicholas J. LaHowchic	For	For	Management
1e	Elect Director Thomas P. Mac Mahon	For	For	Management
1f	Elect Director Frank Mergenthaler	For	For	Management
1g	Elect Director Woodrow A. Myers, Jr.	For	For	Management
1h	Elect Director Roderick A. Palmore	For	For	Management
1i	Elect Director George Paz	For	For	Management
1j	Elect Director William L. Roper	For	For	Management
1k	Elect Director Seymour Sternberg	For	For	Management
1l	Elect Director Timothy Wentworth	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Report on Gender Pay Gap	Against	Abstain	Shareholder

### EXTENDED STAY AMERICA, INC.

Ticker: STAY Security ID: 30224P200  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date: APR 06, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Gerardo I. Lopez	For	For	Management
1.2	Elect Director Douglas G. Geoga	For	Withhold	Management
1.3	Elect Director Kapila K. Anand	For	For	Management
1.4	Elect Director Thomas F. O'Toole	For	For	Management
1.5	Elect Director Richard F. Wallman	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.6	Elect Director Michael A. Barr	For	For	Management
1.7	Elect Director William J. Stein	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

### EXXON MOBIL CORPORATION

Ticker: XOM                      Security ID: 30231G102  
 Meeting Date: MAY 31, 2017      Meeting Type: Annual  
 Record Date: APR 06, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Susan K. Avery	For	For	Management
1.2	Elect Director Michael J. Boskin	For	For	Management
1.3	Elect Director Angela F. Braly	For	For	Management
1.4	Elect Director Ursula M. Burns	For	For	Management
1.5	Elect Director Henrietta H. Fore	For	For	Management
1.6	Elect Director Kenneth C. Frazier	For	For	Management
1.7	Elect Director Douglas R. Oberhelman	For	For	Management
1.8	Elect Director Samuel J. Palmisano	For	For	Management
1.9	Elect Director Steven S. Reinemund	For	For	Management
1.10	Elect Director William C. Weldon	For	For	Management
1.11	Elect Director Darren W. Woods	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Require a Majority Vote for the Election of Directors	Against	For	Shareholder
7	Amend Bylaws -- Call Special Meetings	Against	For	Shareholder
8	Amend Bylaws to Prohibit Precatory Proposals	Against	Against	Shareholder
9	Disclose Percentage of Females at Each Percentile of Compensation	Against	Abstain	Shareholder
10	Report on Lobbying Payments and Policy	Against	Against	Shareholder
11	Increase Return of Capital to Shareholders in Light of Climate Change Risks	Against	Against	Shareholder
12	Report on Climate Change Policies	Against	For	Shareholder
13	Report on Methane Emissions	Against	For	Shareholder

### F5 NETWORKS, INC.

Ticker: FFIV                      Security ID: 315616102  
 Meeting Date: MAR 09, 2017      Meeting Type: Annual  
 Record Date: JAN 06, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director A. Gary Ames	For	For	Management
1b	Elect Director Sandra E. Bergeron	For	For	Management
1c	Elect Director Deborah L. Bevier	For	For	Management
1d	Elect Director Jonathan C. Chadwick	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1e	Elect Director Michael L. Dreyer	For	For	Management
1f	Elect Director Alan J. Higginson	For	For	Management
1g	Elect Director Peter S. Klein	For	For	Management
1h	Elect Director John McAdam	For	For	Management
1i	Elect Director Stephen M. Smith	For	Against	Management
2	Amend Omnibus Stock Plan	For	Against	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

---

### FACEBOOK, INC.

Ticker: FB Security ID: 30303M102  
Meeting Date: JUN 01, 2017 Meeting Type: Annual  
Record Date: APR 07, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Marc L. Andreessen	For	Withhold	Management
1.2	Elect Director Erskine B. Bowles	For	For	Management
1.3	Elect Director Susan D. Desmond-Hellmann	For	For	Management
1.4	Elect Director Reed Hastings	For	For	Management
1.5	Elect Director Jan Koum	For	For	Management
1.6	Elect Director Sheryl K. Sandberg	For	For	Management
1.7	Elect Director Peter A. Thiel	For	For	Management
1.8	Elect Director Mark Zuckerberg	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder
4	Report on Lobbying Payments and Policy	Against	For	Shareholder
5	Report on Public Policy Issues Associated with Fake News	Against	Abstain	Shareholder
6	Gender Pay Gap	Against	For	Shareholder
7	Require Independent Board Chairman	Against	For	Shareholder

---

### FIFTH THIRD BANCORP

Ticker: FITB Security ID: 316773100  
Meeting Date: APR 18, 2017 Meeting Type: Annual  
Record Date: FEB 24, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Nicholas K. Akins	For	For	Management
1.2	Elect Director B. Evan Bayh, III	For	For	Management
1.3	Elect Director Jorge L. Benitez	For	For	Management
1.4	Elect Director Katherine B. Blackburn	For	For	Management
1.5	Elect Director Jerry W. Burris	For	For	Management
1.6	Elect Director Emerson L. Brumback	For	For	Management
1.7	Elect Director Greg D. Carmichael	For	For	Management
1.8	Elect Director Gary R. Heminger	For	Against	Management
1.9	Elect Director Jewell D. Hoover	For	For	Management
1.10	Elect Director Eileen A. Mallesch	For	For	Management
1.11	Elect Director Michael B. McCallister	For	For	Management
1.12	Elect Director Marsha C. Williams	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Omnibus Stock Plan	For	For	Management

### FIRST HAWAIIAN, INC.

Ticker: FHB Security ID: 32051X108  
 Meeting Date: APR 26, 2017 Meeting Type: Annual  
 Record Date: MAR 13, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Matthew Cox	For	For	Management
1.2	Elect Director W. Allen Doane	For	For	Management
1.3	Elect Director Thibault Fulconis	For	For	Management
1.4	Elect Director Gerard Gil	For	For	Management
1.5	Elect Director Jean-Milan Givadinovitch	For	For	Management
1.6	Elect Director Robert S. Harrison	For	For	Management
1.7	Elect Director J. Michael Shepherd	For	For	Management
1.8	Elect Director Allen B. Uyeda	For	For	Management
1.9	Elect Director Michel Vial	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

### FORD MOTOR COMPANY

Ticker: F Security ID: 345370860  
 Meeting Date: MAY 11, 2017 Meeting Type: Annual  
 Record Date: MAR 15, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Stephen G. Butler	For	For	Management
1b	Elect Director Kimberly A. Casiano	For	For	Management
1c	Elect Director Anthony F. Earley, Jr.	For	For	Management
1d	Elect Director Mark Fields	For	For	Management
1e	Elect Director Edsel B. Ford, II	For	Against	Management
1f	Elect Director William Clay Ford, Jr.	For	For	Management
1g	Elect Director William W. Helman, IV	For	For	Management
1h	Elect Director Jon M. Huntsman, Jr.	For	For	Management
1i	Elect Director William E. Kennard	For	For	Management
1j	Elect Director John C. Lechleiter	For	For	Management
1k	Elect Director Ellen R. Marram	For	For	Management
1l	Elect Director John L. Thornton	For	For	Management
1m	Elect Director Lynn M. Vojvodich	For	For	Management
1n	Elect Director John S. Weinberg	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder
6	Report on Lobbying Payments and Policy	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----  
 FORTRESS TRANSPORTATION AND INFRASTRUCTURE INVESTORS LLC

Ticker: FTAI Security ID: 34960P101  
 Meeting Date: JUN 02, 2017 Meeting Type: Annual  
 Record Date: APR 03, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Joseph P. Adams, Jr.	For	For	Management
1.2	Elect Director Martin Tuchman	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management

-----  
 GOODRICH PETROLEUM CORPORATION

Ticker: GDPMQ Security ID: 382410AL2  
 Meeting Date: SEP 21, 2016 Meeting Type: Written Consent  
 Record Date: AUG 16, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	The Plan	None	Against	Management
2	Opt Out Of The Release	None	Abstain	Management

-----  
 GOODRICH PETROLEUM CORPORATION

Ticker: GDPMQ Security ID: 382410AF5  
 Meeting Date: SEP 21, 2016 Meeting Type: Written Consent  
 Record Date: AUG 16, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	The Plan	None	Against	Management
2	Opt Out Of The Release	None	Abstain	Management

-----  
 GOODRICH PETROLEUM CORPORATION

Ticker: GDP Security ID: 382410843  
 Meeting Date: MAY 23, 2017 Meeting Type: Annual  
 Record Date: APR 07, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Steven J. Pully	For	For	Management
1.2	Elect Director Timothy D. Leuliette	For	For	Management
2	Ratify Hein & Associates LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Amend Omnibus Stock Plan	For	For	Management

-----

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

HOLOGIC, INC.

Ticker: HOLX Security ID: 436440101  
 Meeting Date: MAR 08, 2017 Meeting Type: Annual  
 Record Date: JAN 09, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Christopher J. Coughlin	For	For	Management
1.2	Elect Director Sally W. Crawford	For	For	Management
1.3	Elect Director Scott T. Garrett	For	For	Management
1.4	Elect Director Lawrence M. Levy	For	For	Management
1.5	Elect Director Stephen P. MacMillan	For	For	Management
1.6	Elect Director Christiana Stamoulis	For	For	Management
1.7	Elect Director Elaine S. Ullian	For	For	Management
1.8	Elect Director Amy M. Wendell	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Adopt Majority Voting for Uncontested Election of Directors	For	For	Management
5	Ratify Ernst & Young LLP as Auditors	For	For	Management

HOST HOTELS & RESORTS, INC.

Ticker: HST Security ID: 44107P104  
 Meeting Date: MAY 11, 2017 Meeting Type: Annual  
 Record Date: MAR 16, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mary L. Baglivo	For	For	Management
1.2	Elect Director Sheila C. Bair	For	For	Management
1.3	Elect Director Sandeep L. Mathrani	For	For	Management
1.4	Elect Director Ann McLaughlin Korologos	For	For	Management
1.5	Elect Director Richard E. Marriott	For	For	Management
1.6	Elect Director John B. Morse, Jr.	For	For	Management
1.7	Elect Director Walter C. Rakowich	For	For	Management
1.8	Elect Director James F. Risoleo	For	For	Management
1.9	Elect Director Gordon H. Smith	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

INGERSOLL-RAND PLC

Ticker: IR Security ID: G47791101  
 Meeting Date: JUN 08, 2017 Meeting Type: Annual  
 Record Date: APR 11, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Ann C. Berzin	For	For	Management
1b	Elect Director John Bruton	For	For	Management
1c	Elect Director Jared L. Cohon	For	For	Management
1d	Elect Director Gary D. Forsee	For	For	Management
1e	Elect Director Linda P. Hudson	For	For	Management
1f	Elect Director Michael W. Lamach	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1g	Elect Director Myles P. Lee	For	For	Management
1h	Elect Director John P. Surma	For	For	Management
1i	Elect Director Richard J. Swift	For	For	Management
1j	Elect Director Tony L. White	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management
5	Renew Directors' Authority to Issue Shares	For	For	Management
6	Renew Directors' Authority to Issue Shares for Cash	For	For	Management
7	Authorize Reissuance of Repurchased Shares	For	For	Management

### INTERNATIONAL PAPER COMPANY

Ticker: IP Security ID: 460146103  
 Meeting Date: MAY 08, 2017 Meeting Type: Annual  
 Record Date: MAR 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director David J. Bronczek	For	For	Management
1b	Elect Director William J. Burns	For	For	Management
1c	Elect Director Ahmet C. Dorduncu	For	For	Management
1d	Elect Director Ilene S. Gordon	For	Against	Management
1e	Elect Director Jay L. Johnson	For	For	Management
1f	Elect Director Stacey J. Mobley	For	For	Management
1g	Elect Director Kathryn D. Sullivan	For	For	Management
1h	Elect Director Mark S. Sutton	For	For	Management
1i	Elect Director John L. Townsend, III	For	For	Management
1j	Elect Director William G. Walter	For	For	Management
1k	Elect Director J. Steven Whisler	For	For	Management
1l	Elect Director Ray G. Young	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Pro-rata Vesting of Equity Awards	Against	Against	Shareholder

### JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: FEB 28, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Mary C. Beckerle	For	For	Management
1b	Elect Director D. Scott Davis	For	For	Management
1c	Elect Director Ian E. L. Davis	For	For	Management
1d	Elect Director Alex Gorsky	For	For	Management
1e	Elect Director Mark B. McClellan	For	For	Management
1f	Elect Director Anne M. Mulcahy	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1g	Elect Director William D. Perez	For	For	Management
1h	Elect Director Charles Prince	For	For	Management
1i	Elect Director A. Eugene Washington	For	For	Management
1j	Elect Director Ronald A. Williams	For	For	Management
2	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
6	Require Independent Board Chairman	Against	For	Shareholder

---

### JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100  
 Meeting Date: MAY 16, 2017 Meeting Type: Annual  
 Record Date: MAR 17, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Linda B. Bammann	For	For	Management
1b	Elect Director James A. Bell	For	For	Management
1c	Elect Director Crandall C. Bowles	For	For	Management
1d	Elect Director Stephen B. Burke	For	For	Management
1e	Elect Director Todd A. Combs	For	For	Management
1f	Elect Director James S. Crown	For	For	Management
1g	Elect Director James Dimon	For	For	Management
1h	Elect Director Timothy P. Flynn	For	For	Management
1i	Elect Director Laban P. Jackson, Jr.	For	For	Management
1j	Elect Director Michael A. Neal	For	For	Management
1k	Elect Director Lee R. Raymond	For	For	Management
1l	Elect Director William C. Weldon	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Prohibit Accelerated Vesting of Awards to Pursue Government Service	Against	Against	Shareholder
7	Clawback Amendment	Against	Against	Shareholder
8	Report on Gender Pay Gap	Against	Abstain	Shareholder
9	Provide Vote Counting to Exclude Abstentions	Against	For	Shareholder
10	Reduce Ownership Threshold for Shareholders to Call a Special Meeting	Against	For	Shareholder

---

### KOHL'S CORPORATION

Ticker: KSS Security ID: 500255104  
 Meeting Date: MAY 10, 2017 Meeting Type: Annual  
 Record Date: MAR 08, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Peter Boneparth	For	For	Management
1b	Elect Director Steven A. Burd	For	For	Management
1c	Elect Director Kevin Mansell	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1d	Elect Director Jonas Prising	For	For	Management
1e	Elect Director John E. Schlifske	For	For	Management
1f	Elect Director Adrienne Shapira	For	For	Management
1g	Elect Director Frank V. Sica	For	For	Management
1h	Elect Director Stephanie A. Streeter	For	For	Management
1i	Elect Director Nina G. Vaca	For	For	Management
1j	Elect Director Stephen E. Watson	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Omnibus Stock Plan	For	For	Management
6	Require Independent Board Chairman	Against	For	Shareholder

---

### LEIDOS HOLDINGS, INC.

Ticker: LDOS Security ID: 525327102  
 Meeting Date: MAY 12, 2017 Meeting Type: Annual  
 Record Date: MAR 13, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gregory R. Dahlberg	For	For	Management
1b	Elect Director David G. Fubini	For	For	Management
1c	Elect Director Miriam E. John	For	For	Management
1d	Elect Director John P. Jumper	For	For	Management
1e	Elect Director Harry M.J. Kraemer, Jr.	For	For	Management
1f	Elect Director Roger A. Krone	For	For	Management
1g	Elect Director Gary S. May	For	For	Management
1h	Elect Director Surya N. Mohapatra	For	For	Management
1i	Elect Director Lawrence C. Nussdorf	For	For	Management
1j	Elect Director Robert S. Shapard	For	For	Management
1k	Elect Director Susan M. Stalneckner	For	For	Management
1l	Elect Director Noel B. Williams	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management
5	Approve Omnibus Stock Plan	For	For	Management
6	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

---

### LOCKHEED MARTIN CORPORATION

Ticker: LMT Security ID: 539830109  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: FEB 24, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Daniel F. Akerson	For	For	Management
1b	Elect Director Nolan D. Archibald	For	For	Management
1c	Elect Director Rosalind G. Brewer	For	For	Management
1d	Elect Director David B. Burrutt	For	For	Management
1e	Elect Director Bruce A. Carlson	For	For	Management
1f	Elect Director James O. Ellis, Jr.	For	For	Management
1g	Elect Director Thomas J. Falk	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1h	Elect Director Ilene S. Gordon	For	Against	Management
1i	Elect Director Marillyn A. Hewson	For	For	Management
1j	Elect Director James M. Loy	For	For	Management
1k	Elect Director Joseph W. Ralston	For	For	Management
1l	Elect Director Anne Stevens	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Adopt Holy Land Principles	Against	Abstain	Shareholder

---

### LYONDELLBASELL INDUSTRIES N.V.

Ticker: LYB Security ID: N53745100  
Meeting Date: MAY 24, 2017 Meeting Type: Annual  
Record Date: APR 26, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Robert G. Gwin	For	For	Management
1b	Elect Director Jacques Aigrain	For	For	Management
1c	Elect Director Lincoln Benet	For	For	Management
1d	Elect Director Jagjeet S. Bindra	For	For	Management
1e	Elect Director Robin Buchanan	For	For	Management
1f	Elect Director Stephen F. Cooper	For	For	Management
1g	Elect Director Nance K. Dicciani	For	For	Management
1h	Elect Director Claire S. Farley	For	For	Management
1i	Elect Director Isabella D. Goren	For	For	Management
1j	Elect Director Bruce A. Smith	For	For	Management
1k	Elect Director Rudy van der Meer	For	For	Management
2	Adoption of Dutch Statutory Annual Accounts	For	For	Management
3	Approve Discharge of Management Board	For	For	Management
4	Approve Discharge of Supervisory Board	For	For	Management
5	Ratify PricewaterhouseCoopers Accountants N.V. as Auditors	For	For	Management
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
7	Approve Dividends of EUR 0.85 Per Share	For	For	Management
8	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
9	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
10	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	For	For	Management
11	Amend Omnibus Stock Plan	For	For	Management

---

### MACQUARIE INFRASTRUCTURE CORPORATION

Ticker: MIC Security ID: 55608B105  
Meeting Date: MAY 17, 2017 Meeting Type: Annual  
Record Date: MAR 22, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Norman H. Brown, Jr.	For	For	Management
1b	Elect Director George W. Carmany, III	For	For	Management
1c	Elect Director Ronald Kirk	For	For	Management
1d	Elect Director H.E. (Jack) Lentz	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1e	Elect Director Ouma Sananikone	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

### MARSH & MCLENNAN COMPANIES, INC.

Ticker: MMC Security ID: 571748102  
 Meeting Date: MAY 18, 2017 Meeting Type: Annual  
 Record Date: MAR 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Anthony K. Anderson	For	For	Management
1b	Elect Director Oscar Fanjul	For	For	Management
1c	Elect Director Daniel S. Glaser	For	For	Management
1d	Elect Director H. Edward Hanway	For	For	Management
1e	Elect Director Deborah C. Hopkins	For	For	Management
1f	Elect Director Elaine La Roche	For	For	Management
1g	Elect Director Steven A. Mills	For	For	Management
1h	Elect Director Bruce P. Nolop	For	For	Management
1i	Elect Director Marc D. Oken	For	For	Management
1j	Elect Director Morton O. Schapiro	For	For	Management
1k	Elect Director Lloyd M. Yates	For	For	Management
1l	Elect Director R. David Yost	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Adopt Holy Land Principles	Against	Abstain	Shareholder

### MASTERCARD INCORPORATED

Ticker: MA Security ID: 57636Q104  
 Meeting Date: JUN 27, 2017 Meeting Type: Annual  
 Record Date: APR 27, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard Haythornthwaite	For	For	Management
1b	Elect Director Ajay Banga	For	For	Management
1c	Elect Director Silvio Barzi	For	For	Management
1d	Elect Director David R. Carlucci	For	For	Management
1e	Elect Director Steven J. Freiberg	For	For	Management
1f	Elect Director Julius Genachowski	For	For	Management
1g	Elect Director Merit E. Janow	For	For	Management
1h	Elect Director Nancy J. Karch	For	For	Management
1i	Elect Director Oki Matsumoto	For	Against	Management
1j	Elect Director Rima Qureshi	For	For	Management
1k	Elect Director Jose Octavio Reyes Lagunes	For	For	Management
1l	Elect Director Jackson Tai	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Omnibus Stock Plan	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
6	Report on Gender Pay Gap	Against	Abstain	Shareholder

---

### MAXIM INTEGRATED PRODUCTS, INC.

Ticker:           MXIM                   Security ID: 57772K101  
Meeting Date: NOV 09, 2016   Meeting Type: Annual  
Record Date: SEP 16, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William (Bill) P. Sullivan	For	For	Management
1.2	Elect Director Tunc Doluca	For	For	Management
1.3	Elect Director Tracy C. Accardi	For	For	Management
1.4	Elect Director James R. Bergman	For	Withhold	Management
1.5	Elect Director Joseph R. Bronson	For	For	Management
1.6	Elect Director Robert E. Grady	For	For	Management
1.7	Elect Director William D. Watkins	For	For	Management
1.8	Elect Director MaryAnn Wright	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Amend Qualified Employee Stock Purchase Plan	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes	For	For	Management
6	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

### MEDTRONIC PLC

Ticker:           MDT                   Security ID: G5960L103  
Meeting Date: DEC 09, 2016   Meeting Type: Annual  
Record Date: OCT 11, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard H. Anderson	For	For	Management
1b	Elect Director Craig Arnold	For	For	Management
1c	Elect Director Scott C. Donnelly	For	For	Management
1d	Elect Director Randall J. Hogan, III	For	For	Management
1e	Elect Director Omar Ishrak	For	For	Management
1f	Elect Director Shirley Ann Jackson	For	For	Management
1g	Elect Director Michael O. Leavitt	For	For	Management
1h	Elect Director James T. Lenehan	For	For	Management
1i	Elect Director Elizabeth G. Nabel	For	For	Management
1j	Elect Director Denise M. O'Leary	For	For	Management
1k	Elect Director Kendall J. Powell	For	Against	Management
1l	Elect Director Robert C. Pozen	For	For	Management
1m	Elect Director Preetha Reddy	For	Abstain	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Proxy Access Right	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5a	Amend Articles of Association	For	For	Management
5b	Amend Memorandum of Association	For	For	Management
6	Amend Articles to Clarify the Board's Sole Authority to Determine its Size Within the Fixed Limits	For	For	Management

MERCK & CO., INC.

Ticker: MRK                      Security ID: 58933Y105  
 Meeting Date: MAY 23, 2017      Meeting Type: Annual  
 Record Date: MAR 27, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Leslie A. Brun	For	For	Management
1b	Elect Director Thomas R. Cech	For	For	Management
1c	Elect Director Pamela J. Craig	For	For	Management
1d	Elect Director Kenneth C. Frazier	For	For	Management
1e	Elect Director Thomas H. Glocer	For	For	Management
1f	Elect Director Rochelle B. Lazarus	For	For	Management
1g	Elect Director John H. Noseworthy	For	For	Management
1h	Elect Director Carlos E. Represas	For	For	Management
1i	Elect Director Paul B. Rothman	For	For	Management
1j	Elect Director Patricia F. Russo	For	For	Management
1k	Elect Director Craig B. Thompson	For	For	Management
1l	Elect Director Wendell P. Weeks	For	Against	Management
1m	Elect Director Peter C. Wendell	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Adopt Holy Land Principles	Against	Abstain	Shareholder
7	Report on Risks of Doing Business in Conflict-Affected Areas	Against	Abstain	Shareholder
8	Report on Board Oversight of Product Safety and Quality	Against	Abstain	Shareholder

METLIFE, INC.

Ticker: MET                      Security ID: 59156R108  
 Meeting Date: JUN 13, 2017      Meeting Type: Annual  
 Record Date: APR 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Cheryl W. Grise	For	For	Management
1.2	Elect Director Carlos M. Gutierrez	For	For	Management
1.3	Elect Director David L. Herzog	For	For	Management
1.4	Elect Director R. Glenn Hubbard	For	For	Management
1.5	Elect Director Steven A. Kandarian	For	For	Management
1.6	Elect Director Alfred F. Kelly, Jr.	For	For	Management
1.7	Elect Director Edward J. Kelly, III	For	For	Management
1.8	Elect Director William E. Kennard	For	For	Management
1.9	Elect Director James M. Kilts	For	Against	Management
1.10	Elect Director Catherine R. Kinney	For	For	Management
1.11	Elect Director Denise M. Morrison	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

---

### MICROCHIP TECHNOLOGY INCORPORATED

Ticker: MCHP                      Security ID: 595017104  
 Meeting Date: AUG 15, 2016      Meeting Type: Annual  
 Record Date: JUN 21, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Steve Sanghi	For	For	Management
1.2	Elect Director Matthew W. Chapman	For	For	Management
1.3	Elect Director L.B. Day	For	For	Management
1.4	Elect Director Esther L. Johnson	For	For	Management
1.5	Elect Director Wade F. Meyercord	For	For	Management
2	Amend Executive Incentive Bonus Plan	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

---

### MICROSOFT CORPORATION

Ticker: MSFT                      Security ID: 594918104  
 Meeting Date: NOV 30, 2016      Meeting Type: Annual  
 Record Date: SEP 30, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William H. Gates, III	For	For	Management
1.2	Elect Director Teri L. List-Stoll	For	For	Management
1.3	Elect Director G. Mason Morfit	For	For	Management
1.4	Elect Director Satya Nadella	For	For	Management
1.5	Elect Director Charles H. Noski	For	For	Management
1.6	Elect Director Helmut Panke	For	For	Management
1.7	Elect Director Sandra E. Peterson	For	For	Management
1.8	Elect Director Charles W. Scharf	For	For	Management
1.9	Elect Director John W. Stanton	For	For	Management
1.10	Elect Director John W. Thompson	For	For	Management
1.11	Elect Director Padmasree Warrior	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
4	Amend Right to Call Special Meeting	For	For	Management
5	Amend Omnibus Stock Plan	For	For	Management
6	Proxy Access	Against	Against	Shareholder

---

### MORGAN STANLEY

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: MS Security ID: 617446448  
 Meeting Date: MAY 22, 2017 Meeting Type: Annual  
 Record Date: MAR 27, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Erskine B. Bowles	For	For	Management
1b	Elect Director Alistair Darling	For	For	Management
1c	Elect Director Thomas H. Glocer	For	For	Management
1d	Elect Director James P. Gorman	For	For	Management
1e	Elect Director Robert H. Herz	For	For	Management
1f	Elect Director Nobuyuki Hirano	For	For	Management
1g	Elect Director Klaus Kleinfeld	For	For	Management
1h	Elect Director Jami Miscik	For	For	Management
1i	Elect Director Dennis M. Nally	For	For	Management
1j	Elect Director Hutham S. Olayan	For	For	Management
1k	Elect Director James W. Owens	For	For	Management
1l	Elect Director Ryosuke Tamakoshi	For	For	Management
1m	Elect Director Perry M. Traquina	For	For	Management
1n	Elect Director Rayford Wilkins, Jr.	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Amend Omnibus Stock Plan	For	For	Management
6	Amend Non-Employee Director Omnibus Stock Plan	For	For	Management
7	Provide Vote Counting to Exclude Abstentions	Against	For	Shareholder
8	Prohibit Accelerated Vesting of Awards to Pursue Government Service	Against	Against	Shareholder

---

### NAVIENT CORPORATION

Ticker: NAVI Security ID: 63938C108  
 Meeting Date: MAY 25, 2017 Meeting Type: Annual  
 Record Date: MAR 30, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John K. Adams, Jr.	For	For	Management
1b	Elect Director Anna Escobedo Cabral	For	For	Management
1c	Elect Director William M. Diefenderfer, III	For	For	Management
1d	Elect Director Diane Suitt Gilleland	For	For	Management
1e	Elect Director Katherine A. Lehman	For	For	Management
1f	Elect Director Linda A. Mills	For	For	Management
1g	Elect Director John (Jack) F. Remondi	For	For	Management
1h	Elect Director Jane J. Thompson	For	For	Management
1i	Elect Director Laura S. Unger	For	For	Management
1j	Elect Director Barry L. Williams	For	For	Management
1k	Elect Director David L. Yowan	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management

---

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

NEWMONT MINING CORPORATION

Ticker: NEM Security ID: 651639106  
 Meeting Date: APR 20, 2017 Meeting Type: Annual  
 Record Date: FEB 21, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Gregory H. Boyce	For	For	Management
1.2	Elect Director Bruce R. Brook	For	For	Management
1.3	Elect Director J. Kofi Bucknor	For	For	Management
1.4	Elect Director Vincent A. Calarco	For	For	Management
1.5	Elect Director Joseph A. Carrabba	For	For	Management
1.6	Elect Director Noreen Doyle	For	For	Management
1.7	Elect Director Gary J. Goldberg	For	For	Management
1.8	Elect Director Veronica M. Hagen	For	For	Management
1.9	Elect Director Jane Nelson	For	For	Management
1.10	Elect Director Julio M. Quintana	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Report on Human Rights Risk Assessment Process	Against	Abstain	Shareholder

-----

NIELSEN HOLDINGS PLC

Ticker: NLSN Security ID: G6518L108  
 Meeting Date: MAY 23, 2017 Meeting Type: Annual  
 Record Date: MAR 24, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James A. Attwood, Jr.	For	For	Management
1b	Elect Director Mitch Barns	For	For	Management
1c	Elect Director Karen M. Hoguet	For	For	Management
1d	Elect Director James M. Kilts	For	For	Management
1e	Elect Director Harish Manwani	For	For	Management
1f	Elect Director Robert Pozen	For	For	Management
1g	Elect Director David Rawlinson	For	For	Management
1h	Elect Director Javier G. Teruel	For	For	Management
1i	Elect Director Lauren Zalaznick	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Reappoint Ernst & Young LLP as UK Statutory Auditors	For	For	Management
4	Authorise the Audit Committee to Fix Remuneration of UK Statutory Auditors	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
6	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
7	Approve Director's Remuneration Report	For	For	Management

-----

NRG YIELD, INC.

Ticker: NYLD.A Security ID: 62942X306  
 Meeting Date: APR 25, 2017 Meeting Type: Annual  
 Record Date: MAR 03, 2017

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mauricio Gutierrez	For	For	Management
1.2	Elect Director John F. Chlebowski	For	For	Management
1.3	Elect Director Kirkland B. Andrews	For	For	Management
1.4	Elect Director John Chillemi	For	For	Management
1.5	Elect Director Brian R. Ford	For	For	Management
1.6	Elect Director Ferrell P. McClean	For	For	Management
1.7	Elect Director Christopher S. Sotos	For	For	Management
2	Approve Executive Incentive Bonus Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Ratify KPMG LLP as Auditors	For	For	Management

-----

NRG YIELD, INC.

Ticker: NYLD.A Security ID: 62942X405  
 Meeting Date: APR 25, 2017 Meeting Type: Annual  
 Record Date: MAR 03, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mauricio Gutierrez	For	For	Management
1.2	Elect Director John F. Chlebowski	For	For	Management
1.3	Elect Director Kirkland B. Andrews	For	For	Management
1.4	Elect Director John Chillemi	For	For	Management
1.5	Elect Director Brian R. Ford	For	For	Management
1.6	Elect Director Ferrell P. McClean	For	For	Management
1.7	Elect Director Christopher S. Sotos	For	For	Management
2	Approve Executive Incentive Bonus Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Ratify KPMG LLP as Auditors	For	For	Management

-----

PACWEST BANCORP

Ticker: PACW Security ID: 695263103  
 Meeting Date: MAY 15, 2017 Meeting Type: Annual  
 Record Date: MAR 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Tanya M. Acker	For	For	Management
1.2	Elect Director Paul R. Burke	For	For	Management
1.3	Elect Director Craig A. Carlson	For	For	Management
1.4	Elect Director John M. Eggemeyer, III	For	For	Management
1.5	Elect Director C. William Hosler	For	For	Management
1.6	Elect Director Susan E. Lester	For	For	Management
1.7	Elect Director Roger H. Molvar	For	For	Management
1.8	Elect Director James J. Pieczynski	For	For	Management
1.9	Elect Director Daniel B. Platt	For	For	Management
1.10	Elect Director Robert A. Stine	For	For	Management
1.11	Elect Director Matthew P. Wagner	For	For	Management
2	Approve Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5	Ratify KPMG LLP as Auditors	For	For	Management
6	Adjourn Meeting	For	For	Management
7	Other Business	For	Against	Management

-----

PEPSICO, INC.

Ticker: PEP Security ID: 713448108  
 Meeting Date: MAY 03, 2017 Meeting Type: Annual  
 Record Date: MAR 01, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Shona L. Brown	For	For	Management
1b	Elect Director George W. Buckley	For	For	Management
1c	Elect Director Cesar Conde	For	For	Management
1d	Elect Director Ian M. Cook	For	For	Management
1e	Elect Director Dina Dublon	For	For	Management
1f	Elect Director Rona A. Fairhead	For	For	Management
1g	Elect Director Richard W. Fisher	For	For	Management
1h	Elect Director William R. Johnson	For	For	Management
1i	Elect Director Indra K. Nooyi	For	For	Management
1j	Elect Director David C. Page	For	For	Management
1k	Elect Director Robert C. Pohlard	For	For	Management
1l	Elect Director Daniel Vasella	For	For	Management
1m	Elect Director Darren Walker	For	For	Management
1n	Elect Director Alberto Weisser	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Report on Plans to Minimize Pesticides' Impact on Pollinators	Against	Abstain	Shareholder
6	Adopt Holy Land Principles	Against	Abstain	Shareholder

-----

PFIZER INC.

Ticker: PFE Security ID: 717081103  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: FEB 28, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dennis A. Ausiello	For	For	Management
1.2	Elect Director Ronald E. Blaylock	For	For	Management
1.3	Elect Director W. Don Cornwell	For	For	Management
1.4	Elect Director Joseph J. Echevarria	For	For	Management
1.5	Elect Director Frances D. Fergusson	For	For	Management
1.6	Elect Director Helen H. Hobbs	For	For	Management
1.7	Elect Director James M. Kilts	For	Against	Management
1.8	Elect Director Shantanu Narayan	For	For	Management
1.9	Elect Director Suzanne Nora Johnson	For	For	Management
1.10	Elect Director Ian C. Read	For	For	Management
1.11	Elect Director Stephen W. Sanger	For	For	Management
1.12	Elect Director James C. Smith	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5	Adopt Holy Land Principles	Against	Abstain	Shareholder
6	Amend Bylaws - Call Special Meetings	Against	For	Shareholder
7	Require Independent Board Chairman	Against	For	Shareholder

-----

PHILIP MORRIS INTERNATIONAL INC.

Ticker: PM Security ID: 718172109  
 Meeting Date: MAY 03, 2017 Meeting Type: Annual  
 Record Date: MAR 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Harold Brown	For	For	Management
1.2	Elect Director Andre Calantzopoulos	For	For	Management
1.3	Elect Director Louis C. Camilleri	For	For	Management
1.4	Elect Director Massimo Ferragamo	For	For	Management
1.5	Elect Director Werner Geissler	For	For	Management
1.6	Elect Director Jennifer Li	For	For	Management
1.7	Elect Director Jun Makihara	For	For	Management
1.8	Elect Director Sergio Marchionne	For	Against	Management
1.9	Elect Director Kalpana Morparia	For	For	Management
1.10	Elect Director Lucio A. Noto	For	For	Management
1.11	Elect Director Frederik Paulsen	For	For	Management
1.12	Elect Director Robert B. Polet	For	For	Management
1.13	Elect Director Stephen M. Wolf	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Approve Restricted Stock Plan	For	For	Management
5	Approve Non-Employee Director Restricted Stock Plan	For	Against	Management
6	Ratify PricewaterhouseCoopers SA as Auditors	For	For	Management
7	Establish a Board Committee on Human Rights	Against	Abstain	Shareholder
8	Participate in OECD Mediation for Human Rights Violations	Against	Abstain	Shareholder

-----

PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102  
 Meeting Date: MAY 09, 2017 Meeting Type: Annual  
 Record Date: MAR 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas J. Baltimore, Jr.	For	For	Management
1.2	Elect Director Gilbert F. Casellas	For	For	Management
1.3	Elect Director Mark B. Grier	For	For	Management
1.4	Elect Director Martina Hund-Mejean	For	For	Management
1.5	Elect Director Karl J. Krapek	For	For	Management
1.6	Elect Director Peter R. Lighte	For	For	Management
1.7	Elect Director George Paz	For	For	Management
1.8	Elect Director Sandra Pianalto	For	For	Management
1.9	Elect Director Christine A. Poon	For	For	Management
1.10	Elect Director Douglas A. Scovanner	For	For	Management
1.11	Elect Director John R. Strangfeld	For	For	Management
1.12	Elect Director Michael A. Todman	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Require Independent Board Chairman	Against	For	Shareholder

### PUBLIC SERVICE ENTERPRISE GROUP INCORPORATED

Ticker: PEG Security ID: 744573106  
 Meeting Date: APR 18, 2017 Meeting Type: Annual  
 Record Date: FEB 17, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Willie A. Deese	For	For	Management
1.2	Elect Director Albert R. Gamper, Jr.	For	For	Management
1.3	Elect Director William V. Hickey	For	For	Management
1.4	Elect Director Ralph Izzo	For	For	Management
1.5	Elect Director Shirley Ann Jackson	For	For	Management
1.6	Elect Director David Lilley	For	For	Management
1.7	Elect Director Thomas A. Renyi	For	For	Management
1.8	Elect Director Hak Cheol (H.C.) Shin	For	For	Management
1.9	Elect Director Richard J. Swift	For	For	Management
1.10	Elect Director Susan Tomasky	For	For	Management
1.11	Elect Director Alfred W. Zollar	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

### QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103  
 Meeting Date: MAR 07, 2017 Meeting Type: Annual  
 Record Date: JAN 09, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Barbara T. Alexander	For	For	Management
1b	Elect Director Jeffrey W. Henderson	For	For	Management
1c	Elect Director Thomas W. Horton	For	For	Management
1d	Elect Director Paul E. Jacobs	For	For	Management
1e	Elect Director Ann M. Livermore	For	For	Management
1f	Elect Director Harish Manwani	For	For	Management
1g	Elect Director Mark D. McLaughlin	For	For	Management
1h	Elect Director Steve Mollenkopf	For	For	Management
1i	Elect Director Clark T. "Sandy" Randt, Jr.	For	For	Management
1j	Elect Director Francisco Ros	For	For	Management
1k	Elect Director Anthony J. Vinciguerra	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Proxy Access Amendments	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

RED HAT, INC.

Ticker: RHT Security ID: 756577102  
 Meeting Date: AUG 11, 2016 Meeting Type: Annual  
 Record Date: JUN 15, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Sohaib Abbasi	For	For	Management
1.2	Elect Director W. Steve Albrecht	For	For	Management
1.3	Elect Director Charlene T. Begley	For	For	Management
1.4	Elect Director Jeffrey J. Clarke - Withdrawn Resolution	None	None	Management
1.5	Elect Director Narendra K. Gupta	For	For	Management
1.6	Elect Director Kimberly L. Hammonds	For	For	Management
1.7	Elect Director William S. Kaiser	For	Against	Management
1.8	Elect Director Donald H. Livingstone	For	For	Management
1.9	Elect Director H. Hugh Shelton	For	For	Management
1.10	Elect Director James M. Whitehurst	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Executive Incentive Bonus Plan	For	For	Management
5	Approve Qualified Employee Stock Purchase Plan	For	For	Management

ROSS STORES, INC.

Ticker: ROST Security ID: 778296103  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date: MAR 21, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Michael Balmuth	For	For	Management
1b	Elect Director K. Gunnar Bjorklund	For	For	Management
1c	Elect Director Michael J. Bush	For	For	Management
1d	Elect Director Norman A. Ferber	For	Against	Management
1e	Elect Director Sharon D. Garrett	For	For	Management
1f	Elect Director Stephen D. Milligan	For	For	Management
1g	Elect Director George P. Orban	For	For	Management
1h	Elect Director Michael O'Sullivan	For	For	Management
1i	Elect Director Lawrence S. Peiros	For	For	Management
1j	Elect Director Gregory L. Quesnel	For	For	Management
1k	Elect Director Barbara Rentler	For	For	Management
2	Approve Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

S&P GLOBAL INC.

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: SPGI Security ID: 78409V104  
 Meeting Date: APR 26, 2017 Meeting Type: Annual  
 Record Date: MAR 06, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Marco Alvera	For	For	Management
1.2	Elect Director William D. Green	For	For	Management
1.3	Elect Director Charles E. Haldeman, Jr.	For	For	Management
1.4	Elect Director Stephanie C. Hill	For	For	Management
1.5	Elect Director Rebecca Jacoby	For	For	Management
1.6	Elect Director Monique F. Leroux	For	For	Management
1.7	Elect Director Maria R. Morris	For	For	Management
1.8	Elect Director Douglas L. Peterson	For	For	Management
1.9	Elect Director Michael Rake	For	For	Management
1.10	Elect Director Edward B. Rust, Jr.	For	For	Management
1.11	Elect Director Kurt L. Schmoke	For	For	Management
1.12	Elect Director Richard E. Thornburgh	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

SIX FLAGS ENTERTAINMENT CORPORATION

Ticker: SIX Security ID: 83001A102  
 Meeting Date: MAY 03, 2017 Meeting Type: Annual  
 Record Date: MAR 08, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kurt M. Cellar	For	For	Management
1.2	Elect Director John M. Duffey	For	For	Management
1.3	Elect Director Nancy A. Krejsa	For	Withhold	Management
1.4	Elect Director Jon L. Luther	For	Withhold	Management
1.5	Elect Director Usman Nabi	For	Withhold	Management
1.6	Elect Director Stephen D. Owens	For	Withhold	Management
1.7	Elect Director James Reid-Anderson	For	For	Management
1.8	Elect Director Richard W. Roedel	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

SL GREEN REALTY CORP.

Ticker: SLG Security ID: 78440X101  
 Meeting Date: JUN 01, 2017 Meeting Type: Annual  
 Record Date: MAR 31, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Betsy Atkins	For	For	Management
1b	Elect Director Marc Holliday	For	For	Management
1c	Elect Director John S. Levy	For	Against	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Declassify the Board of Directors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

4	Ratify Ernst & Young LLP as Auditors	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
6	Report on Pay Disparity	Against	Abstain	Shareholder

---

### SOUTHWEST AIRLINES CO.

Ticker: LUV                      Security ID: 844741108  
 Meeting Date: MAY 17, 2017      Meeting Type: Annual  
 Record Date: MAR 21, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director David W. Biegler	For	For	Management
1b	Elect Director J. Veronica Biggins	For	For	Management
1c	Elect Director Douglas H. Brooks	For	For	Management
1d	Elect Director William H. Cunningham	For	For	Management
1e	Elect Director John G. Denison	For	For	Management
1f	Elect Director Thomas W. Gilligan	For	For	Management
1g	Elect Director Gary C. Kelly	For	For	Management
1h	Elect Director Grace D. Lieblein	For	For	Management
1i	Elect Director Nancy B. Loeffler	For	For	Management
1j	Elect Director John T. Montford	For	For	Management
1k	Elect Director Ron Ricks	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

---

### STAPLES, INC.

Ticker: SPLS                      Security ID: 855030102  
 Meeting Date: JUN 12, 2017      Meeting Type: Annual  
 Record Date: APR 17, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Drew G. Faust	For	For	Management
1b	Elect Director Curtis Feeny	For	For	Management
1c	Elect Director Paul-Henri Ferrand	For	For	Management
1d	Elect Director Shira Goodman	For	For	Management
1e	Elect Director Deborah A. Henretta	For	For	Management
1f	Elect Director Kunal S. Kamalani	For	For	Management
1g	Elect Director John F. Lundgren	For	For	Management
1h	Elect Director Robert E. Sulentic	For	For	Management
1i	Elect Director Vijay Vishwanath	For	For	Management
1j	Elect Director Paul F. Walsh	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Executive Incentive Bonus Plan	For	For	Management
5	Ratify Ernst & Young LLP as Auditors	For	For	Management

---

### STARWOOD PROPERTY TRUST, INC.

Ticker: STWD                      Security ID: 85571B105

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 04, 2017 Meeting Type: Annual

Record Date: MAR 17, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard D. Bronson	For	For	Management
1.2	Elect Director Jeffrey G. Dishner	For	For	Management
1.3	Elect Director Camille J. Douglas	For	For	Management
1.4	Elect Director Solomon J. Kumin	For	For	Management
1.5	Elect Director Barry S. Sternlicht	For	For	Management
1.6	Elect Director Strauss Zelnick	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Approve Omnibus Stock Plan	For	For	Management
6	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

---

### STONE ENERGY CORPORATION

Ticker: SGY

Security ID: 861642AM8

Meeting Date: DEC 16, 2016 Meeting Type: Written Consent

Record Date: NOV 09, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve the Plan	None	For	Management
2	Opt Out Of The Release	None	Abstain	Management

---

### SUNCOR ENERGY INC.

Ticker: SU

Security ID: 867224107

Meeting Date: APR 27, 2017 Meeting Type: Annual

Record Date: MAR 07, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Patricia M. Bedient	For	For	Management
1.2	Elect Director Mel E. Benson	For	For	Management
1.3	Elect Director Jacynthe Cote	For	For	Management
1.4	Elect Director Dominic D'Alessandro	For	For	Management
1.5	Elect Director John D. Gass	For	For	Management
1.6	Elect Director John R. Huff	For	For	Management
1.7	Elect Director Maureen McCaw	For	For	Management
1.8	Elect Director Michael W. O'Brien	For	For	Management
1.9	Elect Director Eira M. Thomas	For	For	Management
1.10	Elect Director Steven W. Williams	For	For	Management
1.11	Elect Director Michael M. Wilson	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Amend Stock Option Plan	For	For	Management
4	Advisory Vote on Executive Compensation Approach	For	For	Management

---

### SYSCO CORPORATION

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: SY Y Security ID: 871829107  
 Meeting Date: NOV 16, 2016 Meeting Type: Annual  
 Record Date: SEP 19, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Daniel J. Brutto	For	For	Management
1b	Elect Director John M. Cassaday	For	For	Management
1c	Elect Director Judith B. Craven	For	For	Management
1d	Elect Director William J. DeLaney	For	For	Management
1e	Elect Director Joshua D. Frank	For	For	Management
1f	Elect Director Larry C. Glasscock	For	For	Management
1g	Elect Director Jonathan Golden	For	Against	Management
1h	Elect Director Bradley M. Halverson	For	For	Management
1i	Elect Director Hans-Joachim Koerber	For	For	Management
1j	Elect Director Nancy S. Newcomb	For	For	Management
1k	Elect Director Nelson Peltz	For	For	Management
1l	Elect Director Edward D. Shirley	For	For	Management
1m	Elect Director Richard G. Tilghman	For	For	Management
1n	Elect Director Jackie M. Ward	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management
4	Pro-rata Vesting of Equity Awards	Against	Against	Shareholder

-----

### T. ROWE PRICE GROUP, INC.

Ticker: TROW Security ID: 74144T108  
 Meeting Date: APR 26, 2017 Meeting Type: Annual  
 Record Date: FEB 24, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Mark S. Bartlett	For	For	Management
1b	Elect Director Edward C. Bernard	For	For	Management
1c	Elect Director Mary K. Bush	For	For	Management
1d	Elect Director H. Lawrence Culp, Jr.	For	For	Management
1e	Elect Director Freeman A. Hrabowski, III	For	For	Management
1f	Elect Director Robert F. MacLellan	For	For	Management
1g	Elect Director Brian C. Rogers	For	For	Management
1h	Elect Director Olympia J. Snowe	For	For	Management
1i	Elect Director William J. Stromberg	For	For	Management
1j	Elect Director Dwight S. Taylor	For	For	Management
1k	Elect Director Anne Marie Whittemore	For	For	Management
1l	Elect Director Sandra S. Wijnberg	For	For	Management
1m	Elect Director Alan D. Wilson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Approve Non-Employee Director Omnibus Stock Plan	For	For	Management
6	Amend Nonqualified Employee Stock Purchase Plan	For	For	Management
7	Ratify KPMG LLP as Auditors	For	For	Management
8	Report on and Assess Proxy Voting Policies in Relation to Climate Change Position	Against	Against	Shareholder
9	Report on and Assess Proxy Voting	Against	Against	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	Policies in Relation to Executive Compensation			
10	Prepare Employment Diversity Report and Report on Diversity Policies	None	Abstain	Shareholder

### THE ALLSTATE CORPORATION

Ticker: ALL Security ID: 020002101  
 Meeting Date: MAY 25, 2017 Meeting Type: Annual  
 Record Date: MAR 27, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Kermit R. Crawford	For	For	Management
1b	Elect Director Michael L. Eskew	For	For	Management
1c	Elect Director Siddharth N. (Bobby) Mehta	For	For	Management
1d	Elect Director Jacques P. Perold	For	For	Management
1e	Elect Director Andrea Redmond	For	For	Management
1f	Elect Director John W. Rowe	For	For	Management
1g	Elect Director Judith A. Sprieser	For	For	Management
1h	Elect Director Mary Alice Taylor	For	For	Management
1i	Elect Director Perry M. Traquina	For	For	Management
1j	Elect Director Thomas J. Wilson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Approve Non-Employee Director Omnibus Stock Plan	For	For	Management
5	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
6	Require Independent Board Chairman	Against	For	Shareholder
7	Lead Director Qualifications	Against	Against	Shareholder
8	Political Contributions Disclosure	Against	For	Shareholder

### THE BOEING COMPANY

Ticker: BA Security ID: 097023105  
 Meeting Date: MAY 01, 2017 Meeting Type: Annual  
 Record Date: MAR 02, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Robert A. Bradway	For	For	Management
1b	Elect Director David L. Calhoun	For	For	Management
1c	Elect Director Arthur D. Collins, Jr.	For	For	Management
1d	Elect Director Kenneth M. Duberstein	For	For	Management
1e	Elect Director Edmund P. Giambastiani, Jr.	For	For	Management
1f	Elect Director Lynn J. Good	For	For	Management
1g	Elect Director Lawrence W. Kellner	For	Against	Management
1h	Elect Director Edward M. Liddy	For	For	Management
1i	Elect Director Dennis A. Muilenburg	For	For	Management
1j	Elect Director Susan C. Schwab	For	For	Management
1k	Elect Director Randall L. Stephenson	For	Against	Management
1l	Elect Director Ronald A. Williams	For	For	Management
1m	Elect Director Mike S. Zafirovski	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	Executive Officers' Compensation			
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Report on Lobbying Payments and Policy	Against	Against	Shareholder
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder
7	Report on Weapon Sales to Israel	Against	Abstain	Shareholder
8	Adopt Holy Land Principles	Against	Abstain	Shareholder

-----

THE DOW CHEMICAL COMPANY

Ticker: DOW Security ID: 260543103  
 Meeting Date: JUL 20, 2016 Meeting Type: Special  
 Record Date: JUN 02, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Adjourn Meeting	For	For	Management
3	Advisory Vote on Golden Parachutes	For	For	Management

-----

THE DOW CHEMICAL COMPANY

Ticker: DOW Security ID: 260543103  
 Meeting Date: MAY 11, 2017 Meeting Type: Annual  
 Record Date: MAR 15, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Ajay Banga	For	For	Management
1b	Elect Director Jacqueline K. Barton	For	For	Management
1c	Elect Director James A. Bell	For	For	Management
1d	Elect Director Richard K. Davis	For	Against	Management
1e	Elect Director Jeff M. Fetting	For	For	Management
1f	Elect Director Andrew N. Liveris	For	For	Management
1g	Elect Director Mark Loughridge	For	For	Management
1h	Elect Director Raymond J. Milchovich	For	For	Management
1i	Elect Director Robert S. (Steve) Miller	For	For	Management
1j	Elect Director Paul Polman	For	Against	Management
1k	Elect Director Dennis H. Reilley	For	For	Management
1l	Elect Director James M. Ringler	For	For	Management
1m	Elect Director Ruth G. Shaw	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

-----

THE HOME DEPOT, INC.

Ticker: HD Security ID: 437076102  
 Meeting Date: MAY 18, 2017 Meeting Type: Annual  
 Record Date: MAR 20, 2017

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gerard J. Arpey	For	For	Management
1b	Elect Director Ari Bousbib	For	For	Management
1c	Elect Director Jeffery H. Boyd	For	For	Management
1d	Elect Director Gregory D. Brenneman	For	For	Management
1e	Elect Director J. Frank Brown	For	For	Management
1f	Elect Director Albert P. Carey	For	For	Management
1g	Elect Director Armando Codina	For	For	Management
1h	Elect Director Helena B. Foulkes	For	For	Management
1i	Elect Director Linda R. Gooden	For	For	Management
1j	Elect Director Wayne M. Hewett	For	For	Management
1k	Elect Director Karen L. Katen	For	For	Management
1l	Elect Director Craig A. Menear	For	For	Management
1m	Elect Director Mark Vadon	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Prepare Employment Diversity Report and Report on Diversity Policies	Against	For	Shareholder
6	Adopt Proxy Statement Reporting on Political Contributions and Advisory Vote	Against	Against	Shareholder
7	Amend Articles/Bylaws/Charter - Call Special Meetings	Against	For	Shareholder

-----

THE TJX COMPANIES, INC.

Ticker: TJX Security ID: 872540109  
Meeting Date: JUN 06, 2017 Meeting Type: Annual  
Record Date: APR 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Zein Abdalla	For	For	Management
1.2	Elect Director Jose B. Alvarez	For	For	Management
1.3	Elect Director Alan M. Bennett	For	For	Management
1.4	Elect Director David T. Ching	For	For	Management
1.5	Elect Director Ernie Herrman	For	For	Management
1.6	Elect Director Michael F. Hines	For	For	Management
1.7	Elect Director Amy B. Lane	For	For	Management
1.8	Elect Director Carol Meyrowitz	For	For	Management
1.9	Elect Director Jackwyn L. Nemerov	For	For	Management
1.10	Elect Director John F. O'Brien	For	For	Management
1.11	Elect Director Willow B. Shire	For	For	Management
2	Ratify PricewaterhouseCoopers as Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Amend Executive Incentive Bonus Plan	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
6	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
7	Include Diversity as a Performance Metric	Against	Abstain	Shareholder
8	Report on Pay Disparity	Against	Abstain	Shareholder
9	Report on Gender, Race, or Ethnicity Pay Gap	Against	Abstain	Shareholder
10	Report on Net-Zero Greenhouse Gas Emissions	Against	Against	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### TRI-CONTINENTAL CORPORATION

Ticker: TY Security ID: 895436103  
 Meeting Date: APR 19, 2017 Meeting Type: Annual  
 Record Date: FEB 28, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Patricia M. Flynn	For	For	Management
1.2	Elect Director Catherine James Paglia	For	For	Management
1.3	Elect Director William A. Hawkins	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

### TYSON FOODS, INC.

Ticker: TSN Security ID: 902494103  
 Meeting Date: FEB 09, 2017 Meeting Type: Annual  
 Record Date: DEC 12, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John Tyson	For	For	Management
1b	Elect Director Gaurdie E. Banister, Jr.	For	For	Management
1c	Elect Director Mike Beebe	For	For	Management
1d	Elect Director Mikel A. Durham	For	For	Management
1e	Elect Director Tom Hayes	For	For	Management
1f	Elect Director Kevin M. McNamara	For	For	Management
1g	Elect Director Cheryl S. Miller	For	For	Management
1h	Elect Director Brad T. Sauer	For	For	Management
1i	Elect Director Jeffrey K. Schomburger	For	For	Management
1j	Elect Director Robert Thurber	For	For	Management
1k	Elect Director Barbara A. Tyson	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	Three Years	One Year	Management
5	Report on Lobbying Payments and Policy	Against	For	Shareholder
6	Board Diversity	Against	Abstain	Shareholder
7	Proxy Access	Against	For	Shareholder
8	Implement a Water Quality Stewardship Policy	Against	Abstain	Shareholder

### UNION PACIFIC CORPORATION

Ticker: UNP Security ID: 907818108  
 Meeting Date: MAY 11, 2017 Meeting Type: Annual  
 Record Date: MAR 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Andrew H. Card, Jr.	For	For	Management
1b	Elect Director Erroll B. Davis, Jr.	For	For	Management
1c	Elect Director David B. Dillon	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1d	Elect Director Lance M. Fritz	For	For	Management
1e	Elect Director Deborah C. Hopkins	For	For	Management
1f	Elect Director Jane H. Lute	For	For	Management
1g	Elect Director Michael R. McCarthy	For	For	Management
1h	Elect Director Michael W. McConnell	For	For	Management
1i	Elect Director Thomas F. McLarty, III	For	For	Management
1j	Elect Director Steven R. Rogel	For	For	Management
1k	Elect Director Jose H. Villarreal	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Require Independent Board Chairman	Against	For	Shareholder

-----  
UNITED PARCEL SERVICE, INC.

Ticker: UPS Security ID: 911312106  
Meeting Date: MAY 04, 2017 Meeting Type: Annual  
Record Date: MAR 06, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director David P. Abney	For	For	Management
1b	Elect Director Rodney C. Adkins	For	For	Management
1c	Elect Director Michael J. Burns	For	For	Management
1d	Elect Director William R. Johnson	For	For	Management
1e	Elect Director Candace Kendle	For	For	Management
1f	Elect Director Ann M. Livermore	For	For	Management
1g	Elect Director Rudy H.P. Markham	For	For	Management
1h	Elect Director Franck J. Moison	For	For	Management
1i	Elect Director Clark "Sandy" T. Randt, Jr.	For	For	Management
1j	Elect Director John T. Stankey	For	For	Management
1k	Elect Director Carol B. Tome	For	For	Management
1l	Elect Director Kevin M. Warsh	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Report on Lobbying Payments and Policy	Against	Against	Shareholder
6	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder
7	Adopt Holy Land Principles	Against	Abstain	Shareholder

-----  
URBAN OUTFITTERS, INC.

Ticker: URBN Security ID: 917047102  
Meeting Date: MAY 23, 2017 Meeting Type: Annual  
Record Date: MAR 17, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Edward N. Antoian	For	For	Management
1.2	Elect Director Scott A. Belair	For	Against	Management
1.3	Elect Director Harry S. Cherken, Jr.	For	Against	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.4	Elect Director Scott Galloway	For	For	Management
1.5	Elect Director Margaret A. Hayne	For	For	Management
1.6	Elect Director Richard A. Hayne	For	For	Management
1.7	Elect Director Elizabeth Ann Lambert	For	For	Management
1.8	Elect Director Joel S. Lawson, III	For	For	Management
1.9	Elect Director Robert H. Strouse	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	Three Years	One Year	Management

### VALERO ENERGY CORPORATION

Ticker: VLO Security ID: 91913Y100  
 Meeting Date: MAY 03, 2017 Meeting Type: Annual  
 Record Date: MAR 07, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director H. Paulett Eberhart	For	For	Management
1b	Elect Director Joseph W. Gordor	For	For	Management
1c	Elect Director Kimberly S. Greene	For	For	Management
1d	Elect Director Deborah P. Majoras	For	For	Management
1e	Elect Director Donald L. Nickles	For	For	Management
1f	Elect Director Philip J. Pfeiffer	For	For	Management
1g	Elect Director Robert A. Profusek	For	For	Management
1h	Elect Director Susan Kaufman Purcell	For	For	Management
1i	Elect Director Stephen M. Waters	For	For	Management
1j	Elect Director Randall J. Weisenburger	For	For	Management
1k	Elect Director Rayford Wilkins, Jr.	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

### VALIDUS HOLDINGS, LTD.

Ticker: VR Security ID: G9319H102  
 Meeting Date: MAY 10, 2017 Meeting Type: Annual  
 Record Date: MAR 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Matthew J. Grayson	For	For	Management
1.2	Elect Director Jean-Marie Nessi	For	For	Management
1.3	Elect Director Mandakini Puri	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers Ltd. as Auditors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

VERISIGN, INC.

Ticker: VRSN Security ID: 92343E102  
 Meeting Date: MAY 25, 2017 Meeting Type: Annual  
 Record Date: MAR 31, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director D. James Bidzos	For	For	Management
1.2	Elect Director Kathleen A. Cote	For	For	Management
1.3	Elect Director Thomas F. Frist, III	For	For	Management
1.4	Elect Director Jamie S. Gorelick	For	For	Management
1.5	Elect Director Roger H. Moore	For	For	Management
1.6	Elect Director Louis A. Simpson	For	For	Management
1.7	Elect Director Timothy Tomlinson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management
5	Ratify KPMG LLP as Auditors	For	For	Management

VERTEX PHARMACEUTICALS INCORPORATED

Ticker: VRTX Security ID: 92532F100  
 Meeting Date: JUN 08, 2017 Meeting Type: Annual  
 Record Date: APR 12, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Alan Garber	For	For	Management
1.2	Elect Director Margaret G. McGlynn	For	For	Management
1.3	Elect Director William D. Young	For	For	Management
2	Declassify the Board of Directors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
6	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
7	Eliminate Supermajority Vote Requirement	Against	For	Shareholder
8	Report on Lobbying Payments and Policy	Against	For	Shareholder

WAL-MART STORES, INC.

Ticker: WMT Security ID: 931142103  
 Meeting Date: JUN 02, 2017 Meeting Type: Annual  
 Record Date: APR 07, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James I. Cash, Jr.	For	For	Management
1b	Elect Director Timothy P. Flynn	For	For	Management
1c	Elect Director Carla A. Harris	For	For	Management
1d	Elect Director Thomas W. Horton	For	For	Management
1e	Elect Director Marissa A. Mayer	For	For	Management
1f	Elect Director C. Douglas McMillon	For	For	Management
1g	Elect Director Gregory B. Penner	For	For	Management
1h	Elect Director Steven S Reinemund	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1i	Elect Director Kevin Y. Systrom	For	For	Management
1j	Elect Director S. Robson Walton	For	For	Management
1k	Elect Director Steuart L. Walton	For	For	Management
2	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Provide Proxy Access Right	Against	For	Shareholder
7	Require Independent Director Nominee with Environmental Experience	Against	Abstain	Shareholder

### WASTE MANAGEMENT, INC.

Ticker: WM Security ID: 94106L109  
 Meeting Date: MAY 12, 2017 Meeting Type: Annual  
 Record Date: MAR 15, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Bradbury H. Anderson	For	For	Management
1b	Elect Director Frank M. Clark, Jr.	For	For	Management
1c	Elect Director James C. Fish, Jr.	For	For	Management
1d	Elect Director Andres R. Gluski	For	For	Management
1e	Elect Director Patrick W. Gross	For	For	Management
1f	Elect Director Victoria M. Holt	For	For	Management
1g	Elect Director Kathleen M. Mazzarella	For	For	Management
1h	Elect Director John C. Pope	For	For	Management
1i	Elect Director Thomas H. Weidemeyer	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Pro-rata Vesting of Equity Awards	Against	Against	Shareholder

### XCEL ENERGY INC.

Ticker: XEL Security ID: 98389B100  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date: MAR 21, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gail K. Boudreaux	For	For	Management
1b	Elect Director Richard K. Davis	For	Against	Management
1c	Elect Director Ben Fowke	For	For	Management
1d	Elect Director Richard T. O'Brien	For	For	Management
1e	Elect Director Christopher J. Policinski	For	For	Management
1f	Elect Director James T. Prokopanko	For	For	Management
1g	Elect Director A. Patricia Sampson	For	For	Management
1h	Elect Director James J. Sheppard	For	For	Management
1i	Elect Director David A. Westerlund	For	For	Management
1j	Elect Director Kim Williams	For	For	Management
1k	Elect Director Timothy V. Wolf	For	For	Management
1l	Elect Director Daniel Yohannes	For	For	Management
2	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
3	Advisory Vote to Ratify Named	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	Executive Officers' Compensation			
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder

=====

Any ballot marked 'Abstain' is considered to have been voted. Ballots marked 'Abstain' are considered to have been voted against management's recommendation if management's recommendation is 'For' or 'Against,' and for management's recommendation if management's recommendation is 'Abstain.' Where management has recommended that shareholders 'Abstain' from voting on a ballot item, a ballot marked 'For' or 'Against' is considered to have been voted against management's recommendation to 'Abstain.'

Where management has made no recommendation on a ballot item, 'None' is used to indicate that there is no management recommendation that a shareholder may vote 'For' or 'Against.'

Any ballot marked as 'Take No Action' is neither a vote cast for or against management and 'None' is used to represent this.

=====



SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant)	Tri-Continental Corporation
By (Signature and Title)*	/s/ Christopher O. Petersen Christopher O. Petersen President and Principal Executive Officer
Date:	August 24, 2017

\* Print the name and title of each signing officer under his or her signature.