

POWELL INDUSTRIES INC
Form SD
May 31, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM SD

Specialized Disclosure Report

Powell Industries, Inc.

(Exact name of registrant as specified in its charter)

| | | |
|--|--|--|
| Delaware (State or other jurisdiction of | 001-12488 (Commission | 88-0106100 (I.R.S. Employer |
| incorporation or organization) | File No.) | Identification No.) |
| 8550 Mosley Road | | |
| Houston, Texas (Address of principal executive offices) | Don R. Madison, 713-944-6900 | 77075-1180 (Zip Code) |

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

- Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2015.

Item 1.01 Conflict Minerals Disclosure and Report

Conflict Minerals Disclosure

Powell Industries, Inc. (the Company) undertook a reasonable country of origin inquiry with respect to the conflict minerals used in the production of the Company's custom engineered-to-order electrical equipment and has determined in good faith that for the year ended December 31, 2015:

- a) The Company has manufactured or contracted to manufacture products as to which certain conflict minerals, gold, tin, tungsten and tantalum (collectively, 3TG) are necessary to the functionality or production of such products.
- b) Based on a reasonable country of origin inquiry, the Company believes, or has reason to believe, that a portion of its products that contain the necessary 3TG originated, or may have originated, in the Democratic Republic of the Congo or an adjoining country and knows, or has reason to believe, that those necessary 3TG products may not be from recycle or scrap sources.

A copy of the Company's Conflict Minerals Report is filed as Exhibit 1.01 hereto and is publicly available at the Company's website at www.powellind.com, under the section entitled Investors.

Item 1.02 Exhibit

See Item 2.01 of this Specialized Disclosure Report on Form SD.

Item 2.01 Exhibits

Exhibit

| No. | Description |
|--------------|--|
| Exhibit 1.01 | Conflict Minerals Report for the calendar year ended December 31, 2015 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Company has duly caused this report to be signed on its behalf by the duly authorized undersigned.

POWELL INDUSTRIES, INC.

Date: May 31, 2016

By: /s/ DON R. MADISON
Don R. Madison
Executive Vice President
Chief Financial and Administrative Officer

(Principal Financial Officer)