

Edgar Filing: PRUDENTIAL PLC - Form 6-K

PRUDENTIAL PLC  
Form 6-K  
September 30, 2005

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

For the month of September, 2005

PRUDENTIAL PUBLIC LIMITED COMPANY

(Translation of registrant's name into English)

LAURENCE POUNTNEY HILL,  
LONDON, EC4R 0HH, ENGLAND  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports  
under cover Form 20-F or Form 40-F.

Form 20-F ☒ Form 40-F ☐

Indicate by check mark whether the registrant by furnishing the information  
contained in this Form is also thereby furnishing the information to the  
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes ☐ No ☒

If "Yes" is marked, indicate below the file number assigned to the registrant  
in connection with Rule 12g3-2(b): 82-

Enclosures: Director/PDMR Shareholding

NOTIFICATION OF TRANSACTIONS OF DIRECTORS, PERSONS DISCHARGING MANAGERIAL  
RESPONSIBILITY OR CONNECTED PERSONS

This form is intended for use by an issuer to make a RIS notification required  
by DR 3.1.4R(1).

- (1) An issuer making a notification in respect of a transaction relating  
to the shares or debentures of the  
issuer should complete boxes 1 to 16, 23 and 24.
- (2) An issuer making a notification in respect of a derivative relating

## Edgar Filing: PRUDENTIAL PLC - Form 6-K

the shares of the issuer should complete boxes 1 to 4, 6, 8, 13, 14, 16, 23 and 24.

- (3) An issuer making a notification in respect of options granted to a director/person discharging managerial responsibilities should complete boxes 1 to 3 and 17 to 24.
- (4) An issuer making a notification in respect of a financial instrument relating to the shares of the issuer (other than a debenture) should complete boxes 1 to 4, 6, 8, 9, 11, 13, 14, 16, 23 and 24.

Please complete all relevant boxes should in block capital letters.

1. Name of the issuer

PRUDENTIAL PLC

2. State whether the notification relates to (i) a transaction notified in accordance with DR 3.1.4R(1)(a); or

(ii) DR 3.1.4(R)(1)(b) a disclosure made in accordance with section 324 (as extended by section 328) of the Companies Act 1985; or

(iii) both (i) and (ii)

(iii)

3. Name of person discharging managerial responsibilities/director

Kathleen O'Donovan, Robert Rowley, Bridget Macaskill, James Ross, Michael Garrett, Roberto Mendoza and Keki Dadiseth

4. State whether notification relates to a person connected with a person discharging managerial responsibilities/director named in 3 and identify the connected person

N/A

5. Indicate whether the notification is in respect of a holding of the person referred to in 3 or 4 above or in respect of a non-beneficial interest

See section 3

6. Description of shares (including class), debentures or derivatives or financial instruments relating to shares

Ordinary shares of 5p each

7. Name of registered shareholder(s) and, if more than one, the number of shares held by each of them

Kathleen O'Donovan

Robert Rowley

Bridget Macaskill: Registered in the name of Giltspur Nominees Limited

James Ross

Michael Garrett

## Edgar Filing: PRUDENTIAL PLC - Form 6-K

Roberto Mendoza: Registered in the name of Goldman Sachs Securities (Nominees) Limited

Keki Dadiseth

8 State the nature of the transaction

Acquisition of shares, agreed by UKLA, using agreed proportion of the quarterly payment of board and committee fees to the non-executive directors as set out in 9 below

9. Number of shares, debentures or financial instruments relating to shares acquired

|                    |            |
|--------------------|------------|
| Kathleen O'Donovan | 585 shares |
|--------------------|------------|

|               |            |
|---------------|------------|
| Robert Rowley | 585 shares |
|---------------|------------|

|                   |            |
|-------------------|------------|
| Bridget Macaskill | 717 shares |
|-------------------|------------|

|            |            |
|------------|------------|
| James Ross | 717 shares |
|------------|------------|

|                 |            |
|-----------------|------------|
| Michael Garrett | 717 shares |
|-----------------|------------|

|                 |            |
|-----------------|------------|
| Roberto Mendoza | 717 shares |
|-----------------|------------|

|               |            |
|---------------|------------|
| Keki Dadiseth | 709 shares |
|---------------|------------|

10. Percentage of issued class acquired (treasury shares of that class should not be taken into account when calculating percentage)

Kathleen O'Donovan less than 0.00003%

Robert Rowley less than 0.00003%

Bridget Macaskill less than 0.00004%

James Ross less than 0.00004%

Michael Garrett less than 0.00004%

Roberto Mendoza less than 0.00004%

Keki Dadiseth less than 0.00003%

11. Number of shares, debentures or financial instruments relating to shares disposed

N/A

12. Percentage of issued class disposed (treasury shares of that class should not be taken into account when calculating percentage)

N/A

13. Price per share or value of transaction

GBP5.185

14. Date and place of transaction

## Edgar Filing: PRUDENTIAL PLC - Form 6-K

30 September 2005, London

15. Total holding following notification and total percentage holding following notification (any treasury shares should not be taken into account when calculating percentage)

Kathleen O'Donovan 9,569 shares, less than 0.0005%

Robert Rowley 43,532 shares, less than 0.002%

Bridget Macaskill 11,904 shares, less than 0.0005%

James Ross 7,434 shares, less than 0.0004%

Michael Garrett 14,973 shares, less than 0.0007%

Roberto Mendoza 138,526 shares, less than 0.006%

Keki Dadiseth 3,459 shares, less than 0.0002%

16. Date issuer informed of transaction

30 September 2005

If a person discharging managerial responsibilities has been granted options by the issuer complete the following boxes

17 Date of grant

N/A

18. Period during which or date on which it can be exercised

N/A

19. Total amount paid (if any) for grant of the option

N/A

20. Description of shares or debentures involved (class and number)

N/A

21. Exercise price (if fixed at time of grant) or indication that price is to be fixed at the time of exercise

N/A

22. Total number of shares or debentures over which options held following notification

N/A

23. Any additional information

N/A

24. Name of contact and telephone number for queries

Chris Barton, 020 7548 3423

Name and signature of duly authorised officer of issuer responsible for making

## Edgar Filing: PRUDENTIAL PLC - Form 6-K

notification

Andrew Nash, Deputy Group Secretary, 020 7548 3805

Date of notification

30 September 2005

Prudential plc is not affiliated in any manner with Prudential Financial, Inc, a company whose principal place of business is in the United States of America

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date 30 September, 2005

PRUDENTIAL PUBLIC LIMITED COMPANY

By: /s/ Andrew Nash

Andrew Nash  
Deputy Group Secretary