LEBKOWSKI JANE

Form 4

September 09, 2002

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

**OMB APPROVAL** 

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

**OWNERSHIP** 

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

(Print or Type Responses)

Name and Addre  Lebkowski, Jane S.		er Name <b>and</b> Corporation		r Trading	6. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Other (specify below)  VP R&D, Regenerative Medicine					
c/o Geron Corporat 230 Constitution Di	rive (Street)	of Reporting Person, Moi if an entity (voluntary) 9/5/ 5. If Date				tatement for nth/Year 02  Amendment, e of Original onth/Day/Year)	7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  _ Form filed by More than One Reporting Person			
(City)	(State) (Zip)		Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially (							
1. Title of Security (Instr. 3)		3. Transaction Coc (Instr. 8) Code	de V	4. Securities Acquired Disposed of (D) (Instr. 3, 4 & 5)			5. Amount of Securities Beneficially Owned at	6. Owner- ship Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					or (D)		End of Month (Instr. 3 & 4)	(Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over) SEC 1474

(3-99)

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

## Edgar Filing: LEBKOWSKI JANE - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

## FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

(e.g., puts, cans, warrants, options, convertible securities)														
1. Title of	2. Conver-					6. Date Exercisable		7. Title and			9. Number	10.	11. Nature	
Derivative	sion or	action	Trans- of		of		and Expiration		Amount of		Derivative	of	Owner-	of Indirect
Security	Excercise	Date	action D		Derivative				Underlying		Security	Derivative	ship	Beneficial
	Price of		Code S		Securities		(Month/Day/		Securities		(Instr. 5)	Securities	Form	Ownership
(Instr. 3)	Derivative	(Month/			Acquired		Year)		(Instr. 3 & 4)		I	Beneficially	of Deriv-	(Instr. 4)
	Security	Day/	(Instr.		(A) or Disposed								ative	
	1	Year)	8)										Security:	
		ĺ			of (D)								Direct	
				51 (2)									(D)	
				(Instr. 3, 4								(111301. 4)	or	
			& 5)		_							Indirect		
			C- 1-			Date Expira-		Title Amount				(I)		
			Code	٧	(A)			Expira-		Amount			(Instr. 4)	
								tion		or			, , ,	
								Date		Number				
										of				
			<u> </u>	L			(1)			Shares				
Employee	\$8.23	6/27/02	Α	V	36,000		(1)		Common	36,000		265,000	D	
Stock									Stock					
Option														
(right to														
buy)														
Employee	\$3.76	9/5/02	Α		70,000		(2)		Common	70,000		335,000	D	
Stock									Stock					
Option														
(right to														
buy)														

Explanation of Responses:

**Jane S. Lebkowski**\*\*Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.

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<sup>(1)</sup> The option is exercisable in a series of 48 consecutive monthly installments commencing January 1, 2002 provided the optionee continues to provide services to the company.

<sup>(2)</sup> The option is exercisable in a series of 48 consecutive monthly installments commencing September 5, 2002 provided the optionee continues to provide services to the company.

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).