Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

INTERNATIONAL BUSINESS MACHINES CORP

Form 4 June 09, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * SUTULA STANLEY J III

IBM CORPORATION, ONE NEW

2. Issuer Name and Ticker or Trading

Symbol

INTERNATIONAL BUSINESS MACHINES CORP [IBM]

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 06/07/2015

ORCHARD ROAD

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

Director 10% Owner X_ Officer (give title Other (specify

below)

VP, Controller

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

ARMONK,	NY	10504
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(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities A Transaction Disposed of Code (Instr. 3, 4 and (Instr. 8) (A) or Code V Amount (D)			(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/07/2015		M	221	A	\$ 0	1,007.355	D	
Common Stock	06/07/2015		F	82	D	\$ 167.97	925.355	D	
Common Stock	06/08/2015		M	218	A	\$ 0	1,143.355	D	
Common Stock	06/08/2015		M	227	A	\$ 0	1,370.355	D	
Common Stock	06/08/2015		F	81	D	\$ 166.095	1,289.355	D	

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Common Stock 06/08/2015 F 84 D \$ 1,205.355 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Execution Date, if TransactionDerivative code Securities Month/Day/Year) (Instr. 8) Acquired (A		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		nDerivative Expiration Day Securities (Month/Day/ Acquired (A) or Disposed of (D) (Instr. 3, 4,		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Pr Deri Secu (Inst
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Rst. Stock Unit	\$ 0 (1)	06/07/2015		M(2)		221	<u>(1)</u>	<u>(1)</u>	Common Stock	221	9		
Rst. Stock Unit	\$ 0 (1)	06/08/2015		M(2)		227	<u>(1)</u>	<u>(1)</u>	Common Stock	227	\$		
Rst. Stock Unit	\$ 0 (1)	06/08/2015		M(2)		218	<u>(1)</u>	<u>(1)</u>	Common Stock	218	\$		
Rst. Stock Unit	\$ 0 (3)	06/08/2015		A(3)	1,583		(3)	<u>(3)</u>	Common Stock	1,583	\$		

Reporting Owners

ARMONK, NY 10504

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SUTULA STANLEY J III							
IBM CORPORATION			VP. Controller				
ONE NEW ORCHARD ROAD			vi, Collifoliei				

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Signatures

M. Clemens on behalf of S. J. Sutula III 06/09/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These units were payable in cash or the company's common stock upon the lapse of the restrictions on the transaction date shown.
- (2) Release of restricted stock units.

Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 395 of these

(3) units on 06/08/2016, for 395 of these units on 06/08/2017, for 395 of these units on 06/08/2018, and for the remaining 398 of these units on 06/08/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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