### Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

#### INTERNATIONAL BUSINESS MACHINES CORP

Form 4

November 07, 2008

| FORM 4 | UNITED STATES SECURITIES AND EXCHANGE COMMISSION |
|--------|--|
|        | TTT 14 . TO G 40 - 40                            |

**OMB APPROVAL** 

Washington, D.C. 20549 Check this box

**OMB** 3235-0287 Number: January 31, Expires:

2005

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if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average **SECURITIES** 

burden hours per response...

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

HARRELD J BRUCE

Symbol INTERNATIONAL BUSINESS MACHINES CORP [IBM]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

IBM CORPORATION, NEW ORCHARD ROAD

Senior Vice President

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

11/06/2008

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

ARMONK, NY 10504

Derivative

Conversion

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Instr. 3)

(Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned (Instr. 4) Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

number.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of

5. 6. Date Exercisable and (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** 

required to respond unless the form

7. Title and Amount of 8. Price of Underlying Securities Derivative

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| Security<br>(Instr. 3)   | or Exercise<br>Price of<br>Derivative<br>Security | an<br>( <b>M</b> | y<br>Month/Day/Year) | Code<br>(Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | Year)              | (Instr. 3 and   | 4)                                     | Security (Instr. 5) |
|--------------------------|---|------------------|----------------------|--------------------|---|---------------------|--------------------|-----------------|--|---------------------|
|                          |   |                  |                      | Code V             | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |                     |
| Phantom<br>Stock<br>Unit | \$ 0 (1)  | 11/06/2008       |                      | A(2)               | 37  | (3)                 | (3)                | Common<br>Stock | 37                                     | \$ 0                |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARRELD J BRUCE IBM CORPORATION NEW ORCHARD ROAD ARMONK, NY 10504

Senior Vice President

## **Signatures**

D. Cummins for J. B. Harreld by power-of-attorney

11/07/2008

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (2) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- (3) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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