### Edgar Filing: NORTHROP GRUMMAN CORP /DE/ - Form 3

#### NORTHROP GRUMMAN CORP /DE/

Form 3

August 01, 2007

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NORTHROP GRUMMAN CORP /DE/ [NOC]  **RABINOWITZ MARK** (Month/Day/Year) 08/01/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1840 CENTURY PARK EAST (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) LOS ANGELES, CAÂ 90067 Form filed by More than One Corp. VP & Treasurer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D  $12,757 \frac{(1)}{2}$ Common Stock 732,752 I See footnote. (2) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 6. Nature of 1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Indirect Beneficial (Month/Day/Year) Derivative Security or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right-to-Buy)	08/20/2003(3)	08/20/2012	Common Stock	2,100	\$ 57.4	D	Â
Stock Option (Right-to-Buy)	08/20/2004(4)	08/20/2013	Common Stock	3,000	\$ 47.11	D	Â
Stock Option (Right-to-Buy)	06/14/2005(5)	06/14/2014	Common Stock	4,000	\$ 52.485	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>rg</b> = :: ::	Director	10% Owner	Officer	Other	
RABINOWITZ MARK 1840 CENTURY PARK EAST LOS ANGELES, CA 90067	Â	Â	Corp. VP & Treasurer	Â	

## **Signatures**

/s/ Kathleen M. Salmas, Attorney-in-fact for Mark Rabinowitz

08/01/2007

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Total includes 1,800 unvested Restricted Performance Stock Rights (RPSRs) granted under the 2001 Long-Term Incentive Stock Plan (LTISP) on 2/16/05 with a valuation of performance measurement period ("measurement period") ending on 12/31/07; 2,000 unvested

- (1) RPSRs granted under the LTISP on 2/15/06 with a measurement period ending on 12/31/08; 800 unvested RPSRs granted under the LTISP on 6/24/06 with a measurement period ending on 12/31/08; 4,500 unvested RPSRs granded under the LTISP on 2/28/07 with a measurement period ending on 12/31/09; and 1,000 RPSRs granted under the LTISP on 8/1/07 with a measurement period ending on 12/31/09. Grants awarded pursuant to Rule 16b-3(d).
- Held in the Northrop Grumman Savings and Investment Plan, a qualified profit sharing plan, as of July 24, 2007. Share totals with respect to the Plan are based upon unit accounting and therefore may reflect a decrease in units attributable to an individual though no disposition occurred.
- (3) This stock option, granted on 8/20/02, becomes exercisable to the extent of one-fourth (25%) of the optioned shares for each year of employment following the date of grant.
- (4) This stock option, granted on 8/20/03, becomes exercisable to the extent of one-fourth (25%) of the optioned shares for each year of employment following the date of grant.
- (5) This stock option, granted on 6/14/04, becomes exercisable to the extent of one-fourth (25%) of the optioned shares for each year of employment following the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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