Edgar Filing: AMERICAN SUPERCONDUCTOR CORP /DE/ - Form 4

AMERICAN SUPERCONDUCTOR CORP/DE/

Form 4 May 09, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Print or Type Responses)

Stock

Stock

Common

1. Name and Address of Reporting Person * YUREK GREGORY J			2. Issuer Name and Ticker or Trading Symbol AMERICAN SUPERCONDUCTOR				_	5. Relationship of Reporting Person(s) to Issuer			
				DE/ [AM				(Chec	ck all applicable	()	
(Last) TWO TECH	(First) HNOLOGY DRI	(Middle)	3. Date of (Month/D) 05/07/20	-	ansaction			_X_ Director _X_ Officer (give below)		Owner er (specify	
	(Street)			ndment, Da		1		6. Individual or Jo Applicable Line) _X_ Form filed by C		erson	
WESTBOR	OUGH, MA 015	581						Person	viole than One Ke	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Day (Month/Day/Year) Execution any	med on Date, if Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		Owned Indirect (I) Ov					
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	05/07/2007			S(1)	1,300	D	\$ 14.65	0	D		
Common Stock	05/07/2007			S <u>(1)</u>	2,000	D	\$ 14.6	0	D		
Common Stock	05/07/2007			S(1)	1,900	D	\$ 14.5	200,085 (2)	D		
Common Stock								752 <u>(3)</u>	I	By 401(k)	

Plan

By Family

 $8,340^{(4)}$

I

Edgar Filing: AMERICAN SUPERCONDUCTOR CORP /DE/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nr Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	4	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ction	Number	Expiration Da	ate	Amou	ınt of	Derivative	
Security	or Exercise		any	Code	(of	(Month/Day/	Year)	Under	rlying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	8) 1	Derivative	•		Secur	ities	(Instr. 5)	
	Derivative					Securities			(Instr.	3 and 4)		
	Security				1	Acquired						
	-				((A) or						
]	Disposed						
					(of (D)						
					((Instr. 3,						
					4	4, and 5)						
										Amount		
							Date	Expiration	m: d	or		
							Exercisable	rcisable Date	Title Number			
				G 1		(A) (B)				of		
				Code	V ((A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

10% Owner Officer Other Director

YUREK GREGORY J TWO TECHNOLOGY DRIVE WESTBOROUGH, MA 01581

X

Chairman, President and CEO

Signatures

/s/ Gregory J. 05/09/2007 Yurek

**Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Mr. Yurek on March 8, 2007. The (1) sales were made solely to cover Mr. Yurek's estimated tax liability due to be paid in May 2007 associated with the vesting in May 2007 of a total of 13,000 shares of restricted common stock pursuant to restricted stock awards made to Mr. Yurek in May 2004 and May 2005.
- (2) Following all the transactions reported on this Form 4, the reporting person holds 200,085 shares directly.
- (3) The reporting person holds 752 shares indirectly through the company's 401(k) plan as of March 31, 2007.
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of section 16 or for any other purposes.

Reporting Owners 2

Edgar Filing: AMERICAN SUPERCONDUCTOR CORP /DE/ - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.