#### Edgar Filing: CITIGROUP INC - Form 3

CITIGROUP INC

Form 3 February 09, 2007

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CITIGROUP INC [C] MAHERAS THOMAS G (Month/Day/Year) 02/01/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CITIGROUP INC. (Check all applicable) **CORPORATE LAW** DEPT.. 425 PARK AVENUE 10% Owner Director 2ND FLOOR \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Co-President Citigroup CIB Filing(Check Applicable Line) \_X\_ Form filed by One Reporting NEW YORK, NYÂ 10043 Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Â Common Stock D 2,362,251.01 Common Stock 10 I By Child Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

#### Edgar Filing: CITIGROUP INC - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Right to Buy)	01/01/1999	01/01/2008	Common Stock	79.34 (1)	\$ 25.12	D	Â
Employee Stock Options (Right to Buy)	06/30/1999	06/30/2008	Common Stock	139.4 (1)	\$ 28.65	D	Â
Employee Stock Options (Right to Buy)	06/30/2000	06/30/2009	Common Stock	124.4 (1)	\$ 32.22	D	Â
Employee Stock Options (Right to Buy)	07/13/2007	02/13/2012	Common Stock	26,805.9	\$ 42.11	D	Â
Employee Stock Options (Right to Buy)	06/30/2001	06/30/2010	Common Stock	91.49 (1)	\$ 43.94	D	Â
Employee Stock Options (Right to Buy)	01/15/2004	01/18/2010	Common Stock	22,791	\$ 47.12	D	Â
Employee Stock Options (Right to Buy)	05/03/2004	11/02/2008	Common Stock	58,615	\$ 47.4	D	Â
Employee Stock Options (Right to Buy)	07/18/2005	01/18/2010	Common Stock	23,095	\$ 47.5	D	Â
Employee Stock Options (Right to Buy)	05/02/2001	11/02/2008	Common Stock	58,121.6	\$ 48.2	D	Â
Employee Stock Options (Right to Buy)	07/07/2002	03/25/2008	Common Stock	32,170.3	\$ 48.49	D	Â
Employee Stock Options (Right to Buy)	07/20/2004	01/19/2009	Common Stock	9,160	\$ 49.5	D	Â
Employee Stock Options (Right to Buy)	07/20/2004	01/18/2010	Common Stock	22,106	\$ 49.5	D	Â
Employee Stock Options (Right to Buy)	07/20/2005	01/20/2010	Common Stock	75,000 (2)	\$ 49.5	D	Â
Employee Stock Options (Right to Buy)	07/16/2002	01/16/2011	Common Stock	69,692.9 (1)	\$ 49.55	D	Â
Employee Stock Options (Right to Buy)	08/07/2005	04/18/2010	Common Stock	19,630	\$ 49.78	D	Â
Employee Stock Options (Right to Buy)	07/06/2004	04/18/2010	Common Stock	57,913	\$ 49.79	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
F	Director	10% Owner	Officer	Other		
MAHERAS THOMAS G C/O CITIGROUP INC. CORPORATE LAW DEPT. 425 PARK AVENUE 2ND FLOOR NEW YORK, NY 10043	Â	Â	Co-President Citigroup CIB	Â		

## **Signatures**

Thomas G. Maheras by Glenn S. Gray, Attorney in Fact 02/09/2007

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in five annual installments beginning on the date indicated.
- (2) The options vest in three annual installments beginning on the date indicated.

Â

#### **Remarks:**

This is the first of two Form 3 reports (Initial Statement of Beneficial Ownership of Securities)Â w Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3