Haeg Peter O. Form 4 May 16, 2018

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Haeg Peter O.

(Last)

(City)

Symbol FAMOUS DAVES OF AMERICA

(Check all applicable)

**INC [DAVE]** 

(Middle) 3. Date of Earliest Transaction

X\_ Director 10% Owner

(Month/Day/Year)

05/15/2018

Other (specify Officer (give title

3033 EXCELSIOR **BOULEVARD, SUITE 560** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

Applicable Line)

(Zip)

(State)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

MINNEAPOLIS, MN 55416

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Direct (D) Ownership or Indirect **Following** (Instr. 4)

Reported (I) Transaction(s) (Instr. 4)

or (Instr. 3 and 4) Code V Amount (D) Price

(A)

By FS Special 418,169 Ι **Opportunities** 

Common Stock

I, L.P. (1) (2)

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8 1 5 ( |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|---------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |         |
| Stock Options (right to buy)                        | \$ 7.85   | 05/15/2018                           |   | A                                      | 20,000  | <u>(3)</u>   | 05/15/2028         | Common<br>Stock   | 20,000                              |         |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |                             |  |  |
|---|---------------|-----------|---------|-----------------------------|--|--|
| <b>F-</b>   | Director      | 10% Owner | Officer | Other                       |  |  |
| Haeg Peter O.<br>3033 EXCELSIOR BOULEVARD<br>SUITE 560<br>MINNEAPOLIS, MN 55416               | X             |           |         |                             |  |  |
| FS SPECIAL OPPORTUNITIES I, L.P.<br>3300 EXCELSIOR BLVD<br>SUITE 560<br>MINNEAPOLIS, MN 55416 |               |           |         | Member of a 10% owner group |  |  |
| Cianoturoo  |               |           |         |                             |  |  |

# **Signatures**

| /s/ Peter O. Haeg   | 05/16/2018 |
|---|------------|
| **Signature of Reporting Person                                   | Date       |
| /s/ Peter O. Haeg, President of Farnam Street Capital, Inc., G.P. | 05/16/2018 |
| **Signature of Reporting Person                                   | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Held by FS Special Opportunities I, L.P. ("FS I") and indirectly by Farnam Street Capital, Inc. as General Partner of FS I and Peter Haeg as President and Secretary of Farnam Street Capital, Inc. ("Farnam Street"). Farnam Street and Mr. Haeg disclaim beneficial ownership of these securities except to the extent of his pecuniary interest therein. Mr. Haeg was elected to the Board of Directors at the direction of FS I; therefore, FS I and Farnam Street may be considered directors of the issuer by deputization.

As reported in the Schedule 13D filed by the Reporting Persons on November 20, 2017, the Reporting Persons share beneficial ownership of the 418,169 shares with another group that includes PW Partners, LLC (the "PW Group"). Because of their shared beneficial

(2) ownership of the shares, the Reporting Persons and PW Group may together be considered a "group" for purposes of Rule 13d-1. the Reporting Persons disclaim beneficial ownership of any shares of the issuer held by PW Group other than the 418,169 shares and disclaims the existence of any such Section 13(d) "group."

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(3) The option vests in five annual installments beginning on the first anniversary on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.