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LYKKEN STEVEN J

Form 3

December 04, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement HORMEL FOODS CORP /DE/ [HRL] LYKKEN STEVEN J (Month/Day/Year) 12/04/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1 HORMEL PLACE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person AUSTIN. MNÂ 55912 (give title below) (specify below) Form filed by More than One Senior Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 333.762 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration I (Month/Day/Year)			4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Options (Right to Buy)	(1)	12/02/2018	Common Stock	14,000	\$ 6.315	D	Â
Stock Options (Right to Buy)	(2)	12/01/2019	Common Stock	14,000	\$ 9.5625	D	Â
Stock Options (Right to Buy)	(3)	12/07/2020	Common Stock	14,000	\$ 12.48	D	Â
Stock Options (Right to Buy)	(4)	12/06/2021	Common Stock	16,000	\$ 14.8	D	Â
Stock Options (Right to Buy)	(5)	12/04/2022	Common Stock	16,000	\$ 15.49	D	Â
Stock Options (Right to Buy)	(6)	12/03/2023	Common Stock	10,000	\$ 22.99	D	Â
Stock Options (Right to Buy)	(7)	12/02/2024	Common Stock	9,800	\$ 26.38	D	Â
Stock Options (Right to Buy)	(8)	12/01/2025	Common Stock	7,000	\$ 37.755	D	Â
Stock Options (Right to Buy)	(9)	12/06/2026	Common Stock	10,300	\$ 33.31	D	Â

Reporting Owners

Reporting Owner Name / Address			Relationships			
	Director	10% Owner	Officer	Other		
LYKKEN STEVEN J 1 HORMEL PLACE AUSTIN, MN 55912	Â	Â	Senior Vice President	Â		

Signatures

Steven J. Lykken, by Power of Attorney 12/04/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in four equal annual installments, with the first group vesting on December 2, 2009.
- (2) The option vested in four equal annual installments, with the first group vesting on December 1, 2010.
- (3) The option vested in four equal annual installments, with the first group vesting on December 7, 2011.
- (4) The option vested in four equal annual installments, with the first group vesting on December 6, 2012.
- (5) The option vested in four equal annual installments, with the first group vesting on December 4, 2013.
- (6) The option vested in four equal annual installments, with the first group vesting on December 3, 2014.

Reporting Owners 2

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- (7) The option vests in four equal annual installments, with the first group vesting on December 2, 2015.
- (8) The option vests in four equal annual installments, with the first group vesting on December 1, 2016.
- (9) The option vests in four equal annual installments, with the first group vesting on December 6, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.