

GONZALEZ RICHARD A

Form 4

August 04, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
GONZALEZ RICHARD A

(Last) (First) (Middle)

1 N. WAUKEGAN ROAD

(Street)

NORTH CHICAGO, IL 60064

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AbbVie Inc. [ABBV]

3. Date of Earliest Transaction
(Month/Day/Year)
08/03/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman of the Board and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common stock, \$0.01 par value	08/03/2017		M	65,861 A	\$ 51.42 342,353	D	
Common stock, \$0.01 par value	08/04/2017		M	22,038 A	\$ 51.42 364,391	D	
Common stock, \$0.01 par value	08/03/2017		S	65,826 D	\$ 71 298,565	D	

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Common stock, \$0.01 par value	08/03/2017	S	35	D	\$ 71.005	298,530	D	
Common stock, \$0.01 par value	08/04/2017	S	101	D	\$ 71	298,429	D	
Common stock, \$0.01 par value	08/04/2017	S	15,600	D	\$ 71.06	282,829	D	
Common stock, \$0.01 par value	08/04/2017	S	3,000	D	\$ 71.07	279,829	D	
Common stock, \$0.01 par value	08/04/2017	S	1,900	D	\$ 71.08	277,929	D	
Common stock, \$0.01 par value	08/04/2017	S	500	D	\$ 71.105	277,429	D	
Common stock, \$0.01 par value	08/04/2017	S	200	D	\$ 71.11	277,229	D	
Common stock, \$0.01 par value	08/04/2017	S	737	D	\$ 71.13	276,492	D	
Common stock, \$0.01 par value						4,600 ⁽¹⁾	I	By spouse
Common stock, \$0.01 par value						450 ⁽¹⁾	I	By step-daughter
Common stock, \$0.01 par value						450 ⁽¹⁾	I	By step-son

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy) ⁽²⁾	\$ 51.42	08/03/2017		M		65,861		02/20/2017	02/19/2024	Common stock	65,861
Option (right to buy) ⁽²⁾	\$ 51.42	08/04/2017		M		22,038		02/20/2017	02/19/2024	Common stock	22,038

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GONZALEZ RICHARD A 1 N. WAUKEGAN ROAD NORTH CHICAGO, IL 60064	X		Chairman of the Board and CEO	

Signatures

Steven L. Scrogam, attorney-in-fact for Richard A. Gonzalez

08/04/2017

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person disclaims beneficial ownership of these securities.

(2) Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3.

Remarks:

These transactions were made pursuant to a previously adopted plan complying with Rule 10b5-1(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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