

ABIOMED INC
Form 4
April 01, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Greenfield Andrew J

(Last) (First) (Middle)

C/O ABIOMED, INC., 22 CHERRY HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ABIOMED INC [ABMD]

3. Date of Earliest Transaction (Month/Day/Year)
03/30/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

VP Healthcare Solutions

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Stock, \$.01 par value | 03/30/2016 | | M ⁽⁵⁾ | | 7,755 ⁽⁵⁾ | A | \$ 22.44 58,941 |
| Common Stock, \$.01 par value | 03/30/2016 | | S ⁽⁵⁾ | | 7,755 ⁽⁵⁾ | D | \$ 94.0654 ⁽⁶⁾ 51,186 |
| Common Stock, \$.01 par value | 03/31/2016 | | M ⁽⁵⁾ | | 7,245 ⁽⁵⁾ | A | \$ 22.44 58,431 |

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| | | | | | | | |
|--|------------|------------------|---------------------|---|-----------------------------|--------|---|
| Common Stock, \$.01 par value | 03/31/2016 | S ⁽⁵⁾ | 7,245 <u>(5)</u> | D | \$ 94.1481 <u>(7)</u> | 51,186 | D |
|--|------------|------------------|---------------------|---|-----------------------------|--------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to buy) ⁽¹⁾ | \$ 11.27 | | | | | 05/30/2008 ⁽²⁾ | 05/30/2017 | Common Stock | 0 |
| Stock Option (right to buy) ⁽¹⁾ | \$ 13.8 | | | | | 05/23/2009 ⁽²⁾ | 05/23/2018 | Common Stock | 0 |
| Stock Option (right to buy) ⁽³⁾ | \$ 22.44 | 03/30/2016 | | M ⁽⁵⁾ | 15,000 <u>(5)</u> | 05/22/2013 ⁽²⁾ | 05/22/2022 | Common Stock | 15,000 |
| Stock Option (right to buy) ⁽³⁾ | \$ 14.39 | | | | | 02/19/2014 ⁽²⁾ | 02/19/2023 | Common Stock | 0 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 23.15 | | | | | 05/14/2014 ⁽²⁾ | 05/14/2023 | Common Stock | 0 |
| Stock Option (right to | \$ 21.55 | | | | | 05/14/2015 ⁽⁴⁾ | 05/14/2024 | Common Stock | 0 |

buy) ⁽³⁾

Stock
Option
(right to
buy) ⁽³⁾

\$ 66.25

05/13/2016⁽⁴⁾ 05/13/2025

Common
Stock

0

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Greenfield Andrew J C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923 | | | VP Healthcare Solutions | |

Signatures

/s/ Stephen C. McEvoy (by power of attorney)

04/01/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options became exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (5) Sale of common stock pursuant to reporting owner's 10b5-1 plan.

(6) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$94.0000 and \$94.1800. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

(7) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$94.0000 and \$94.2800. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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