Edgar Filing: COHERENT INC - Form 4

| COHERENT I Form 4 | | | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|------------------------------------------------------------------|---|
| February 28, 20 | Л | | | | | | | | PPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 3235-0287 | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations Statement of the public Utility Holding Company: Ac | | | | | | | nge Act of 1934, | Estimated burden hou response | ours per | |
| may continu See Instruct 1(b). | ie. | | | • | • | npany Act 1y Act of 1 | of 1935 or Secti 940 | on | | |
| (Print or Type Res | sponses) | | | | | | | | | |
| 1. Name and Add KRAUSE L W | | 2. Issuer Name and Ticker or Trading Symbol COHERENT INC [COHR] | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) (I | | 3. Date of Earliest Transaction | | | | | eck all applicabl | e) | |
| 5100 PATRICK HENRY DR. | | | (Month/Day/Year) 02/26/2014 | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | |
| | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| | RA, CA 95054 | | | | | | Person | | 1 8 | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | |
| | Transaction Date Ionth/Day/Year) | 2A. Deeme Execution I any (Month/Day | Date, if | 3. Transactio Code (Instr. 8) Code V | Disposed (Instr. 3, 4 | (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature o Indirect Beneficial Ownership (Instr. 4) | l |
| | . 1 | c 1 1 | c | | | | | | | |
| info requ disp | | | | | | Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02) | | | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired | | |

| | Derivative Security | | | | (A) or Disposed (D) (Instr. 3, and 5) | | | | | |
|------------------------------|------------------------|------------|------|---|---------------------------------------------------|-----|------------------|--------------------|-----------------|--------------------------------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun or Numbe of Shares |
| Restricted Stock Units | \$ 0 | 02/26/2014 | А | | 3,500 | | 02/15/2015(1) | 02/15/2015 | Common Stock | 3,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | | |
|---------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|--|--|--|
| Reporting O when I tunie / Mulless | Director | 10% Owner | Officer | Other | | | | | |
| KRAUSE L WILLIAM 5100 PATRICK HENRY DR. SANTA CLARA, CA 95054 | Х | | | | | | | | |
| Signatures | | | | | | | | | |
| /s/ Bret DiMarco, Pursuant to P Attorney | ower of | | 02/28/2 | 2014 | | | | | |

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person is entitled to receive one share of common stock for each restricted stock unit. The Restricted Stock Units will be fully vested on February 15, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.