

ETHAN ALLEN INTERIORS INC

Form 4

March 26, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
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if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Paccione Tracy

(Last) (First) (Middle)

ETHAN ALLEN DRIVE

(Street)

DANBURY, CT 06811

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
SymbolETHAN ALLEN INTERIORS INC
[ETH]3. Date of Earliest Transaction
(Month/Day/Year)
03/22/20134. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) VP, Merchandising6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Stock Options (Right to Buy)	03/22/2013		M		700	A	\$ 29.55	1,368	D
Stock Options (Right to Buy)	03/22/2013		S		700	D	\$ 31.71	668	D
Stock Options (Right to Buy)	03/22/2013		M		500	A	\$ 25.71	1,168	D

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Stock Options (Right to Buy)	03/22/2013	S	500	D	\$ 31.65	668	D
Stock Options (Right to Buy)	03/22/2013	M	500	A	\$ 17.6	1,168	D
Stock Options (Right to Buy)	03/22/2013	S	500	D	\$ 31.64	668	D
Stock Options (Right to Buy)	03/22/2013	M	1,250	A	\$ 11.74	1,918	D
Stock Options (Right to Buy)	03/22/2013	S	1,250	D	\$ 31.61	668	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options (Right to Buy)	\$ 29.55	03/22/2013		M	700	04/14/2004 04/14/2013	Common Stock	700
	\$ 25.71	03/22/2013		M	500	06/20/2009 06/20/2018		500

Stock Options (Right to Buy)								Common Stock	
Stock Options (Right to Buy)	\$ 17.6	03/22/2013	M	500	11/05/2009	11/05/2018		Common Stock	500
Stock Options (Right to Buy)	\$ 11.74	03/22/2013	M	1,250	11/12/2010	11/12/2019		Common Stock	1,250

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Paccione Tracy ETHAN ALLEN DRIVE DANBURY, CT 06811			VP, Merchandising	

Signatures

Tracy Paccione 03/26/2013

__Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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