

Mooberry Derik
Form 3
October 20, 2011

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Mooberry Derik

(Last) (First) (Middle)

6601 S. BERMUDA ROAD

(Street)

LAS VEGAS,Â NVÂ 89119

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/30/2011

3. Issuer Name **and** Ticker or Trading Symbol

BALLY TECHNOLOGIES, INC. [BYI]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner

X Officer ___ Other

(give title below) (specify below)

SVP, Product & Operations

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting
Person

___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, par value \$.10 per share

16,338 ⁽¹⁾

D

Â

Common Stock, par value \$.10 per share

340

I

Derik J Mooberry and Barbara
Mooberry Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Right to Buy)	08/24/2008	08/24/2015	Common Stock, par value \$.10 per share	2,000	\$ 12.07	D	Â
Employee Stock Options (Right to Buy)	Â <u>(2)</u>	10/14/2015	Common Stock, par value \$.10 per share	10,000	\$ 20.32	D	Â
Employee Stock Options (Right to Buy)	Â <u>(3)</u>	07/21/2016	Common Stock, par value \$.10 per share	7,000	\$ 34.61	D	Â
Employee Stock Options (Right to Buy)	Â <u>(4)</u>	10/25/2016	Common Stock, par value \$.10 per share	6,000	\$ 19.47	D	Â
Employee Stock Options (Right to Buy)	Â <u>(5)</u>	02/26/2017	Common Stock, par value \$.10 per share	12,000	\$ 22.02	D	Â
Employee Stock Options (Right to Buy)	Â <u>(6)</u>	08/16/2017	Common Stock, par value \$.10 per share	7,500	\$ 32.62	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mooberry Derik 6601 S. BERMUDA ROAD LAS VEGAS, NV 89119	Â	Â	Â SVP, Product & Operations	Â

Signatures

/s/Derik
Mooberry

10/20/2011

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- 1,838 restricted shares are vested. The unvested restricted stock vests as follows: (i) 2,500 shares on 12/13/2011, (ii) 2,000 shares on 4/14/2011, (iii) 1,666 shares on 7/21/2011, (iv) 750 shares on 2/10/2012, (v) 2,000 shares 4/14/2012, (vi) 1,667 shares on 7/21/2012, (vii) 750 shares on 2/10/2013, (viii) 1,667 shares on 7/21/2013, (ix) 750 shares on 2/10/2014, and (x) 750 shares on 2/10/2015.
- (2) The options vest as follows: (i) 5,000 on 10/14/2010, (ii) 2,500 on 10/14/2011, and (iii) 2,500 on 10/14/2012.
- (3) The options vest in 4 equal annual installments commencing on 7/21/2009.
- (4) The options vested in 4 equal annual installments commencing on 10/25/2006.
- (5) The options vested in 4 equal annual installments commencing on 2/26/2007.
- (6) The options vest as follows: (i) 3,750 on 8/16/2012, (ii) 1,875 on 8/16/2013, and (iii) 1,875 on 8/16/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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