

RATCLIFFE GEORGE J

Form 4

March 13, 2003

**FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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|                                                    |                                      |                                                    |                                                                                       |                                                                 |                                                                                             |                                                          |                                                                                                                                                                                             |                                              |
|----------------------------------------------------|--------------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------------|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|
| 1. Name and Address of Reporting Person*           |                                      |                                                    | 2. Issuer Name and Ticker or Trading Symbol                                           |                                                                 |                                                                                             |                                                          | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable)                                                                                                                     |                                              |
| Ratcliffe, Jr. G. Jackson                          |                                      |                                                    | Barnes Group Inc. "B"                                                                 |                                                                 |                                                                                             |                                                          | <input checked="" type="checkbox"/> Director<br><input type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer (give title below)<br><input type="checkbox"/> Other (specify below) |                                              |
| (Last) (First) (Middle)                            |                                      |                                                    | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)         |                                                                 | 4. Statement for Month/Day/Year<br>March 11, 2003                                           |                                                          | <input type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person                                                        |                                              |
| Barnes Group Inc.<br>P.O. Box 489, 123 Main Street |                                      |                                                    |                                                                                       |                                                                 |                                                                                             |                                                          |                                                                                                                                                                                             |                                              |
| (Street)                                           |                                      |                                                    | 5. If Amendment, Date of Original (Month/Day/Year)                                    |                                                                 | 7. Individual or Joint/Group Filing (Check Applicable Line)                                 |                                                          | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person                                             |                                              |
| Bristol, CT 06011-0489                             |                                      |                                                    |                                                                                       |                                                                 |                                                                                             |                                                          |                                                                                                                                                                                             |                                              |
| (City) (State) (Zip)                               |                                      |                                                    | <b>Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b> |                                                                 |                                                                                             |                                                          |                                                                                                                                                                                             |                                              |
| 1. Title of Security (Instr. 3)                    | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8)                                                        | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 & 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                                                                                                                                       |                                              |
| Common Stock, Par Value \$0.01 Per Share           |                                      |                                                    |                                                                                       |                                                                 | 2,000                                                                                       | D                                                        |                                                                                                                                                                                             |                                              |
| Common Stock, Par Value \$0.01 Per Share           | 3/11/03                              |                                                    | A                                                                                     | 56.899                                                          | A                                                                                           | \$21.09                                                  |                                                                                                                                                                                             |                                              |
| Common Stock, Par Value \$0.01 Per Share           | (1)                                  |                                                    | A                                                                                     | 3.0963                                                          | A                                                                                           | (1)                                                      | 386.4779                                                                                                                                                                                    | I                                            |
|                                                    |                                      |                                                    |                                                                                       |                                                                 |                                                                                             |                                                          |                                                                                                                                                                                             | By Non-Employee Director Deferred Stock Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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**FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

# Edgar Filing: RATCLIFFE GEORGE J - Form 4

| 1. Title of<br>Derivative<br>Security<br><br>(Instr. 3) | 2. Conver-<br>sion or<br>Exercise<br>Price of<br>Derivative<br>Security | 3.<br>Trans-<br>action<br>Date<br><br>(Month/<br>Day/<br>Year) | 3A.<br>Deemed<br>Execution<br>Date,<br>if any<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br><br>(Instr.<br>8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br><br>(Instr.<br>3, 4 &<br>5) | 6. Date Exercisable<br>and Expiration<br>Date<br>(Month/Day/<br>Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 & 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Owner-<br>ship<br>Form<br>of Deriv-<br>ative<br>Security:<br>Direct<br>(D)<br>or<br>Indirect<br>(I)<br>(Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|--|
|                                                         |                                                                         |                                                                |                                                                           | Code                                                | V                                                                                                                         | (A)                                                                   | (D)                                                                     | Date<br>Exer-cisable                                | Expira-<br>tion<br>Date                                                                                                    | Title                                                                                                                    | Amount<br>or<br>Number<br>of<br>Shares                             |  |

## Explanation of Responses:

(1) Common stock acquired on March 11, 2003, pursuant to Non-Employee Deferred Stock Plan.

By: /s/ **Signe S. Gates, Attorney-in-Fact**

**March 13, 2003**

Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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