Jain Rajat Form 3 December 05, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Jain Rajat

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

12/01/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

INNODATA INC [INOD]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

See Remarks

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

(Street)

C/O INNODATA INC.. 55

**CHALLENGER ROAD** 

**RIDGEFIELD** PARK. NJÂ 07660

(City)

(Instr. 4)

1. Title of Security

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable

Expiration Date

Title

Amount or Number of Shares

Derivative Security

Security: Direct (D) or Indirect

(I)

						(Instr. 5)		
Stock Option (Right to Buy)	(1)	05/01/2018	Common Stock	25,000	\$ 2.59	D	Â	
Stock Option (Right to Buy)	(1)	03/03/2020	Common Stock	14,120	\$ 3.39	D	Â	
Stock Option (Right to Buy)	(1)	04/06/2021	Common Stock	20,000	\$ 2.75	D	Â	
Stock Option (Right to Buy)	(2)	12/30/2025	Common Stock	50,000	\$ 2.85	D	Â	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Jain Rajat						
C/O INNODATA INC.	â	â	See Remarks	â		
55 CHALLENGER ROAD	А	А	A See Remarks	А		
RIDGEFIELD PARK, NJ 07660						

## **Signatures**

/s/ Amy Agress, Attorney-in-Fact for Rajat Jain

12/05/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Currently exercisable
- (2) The options vests in three equal installments on December 31, 2016, December 31, 2017 and December 31, 2018

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#### **Remarks:**

VP-Finance and Principal Financial Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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