### Edgar Filing: GLENCORE HOLDING AG - Form 4

GLENCOR Form 4	E HOLDING AG	ł										
February 04	. 2009											
									OMB APPROVAL			
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549							MMISSION	OMB 3235-02 Number:				
Check the if no lor subject the Section Form 4 Form 5 obligation	to 16. or Filed put	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								Expires: January 31, 2005 Estimated average burden hours per response 0.5		
may cor <i>See</i> Inst 1(b).	itinue.			•	t Company A	•						
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> GLENCORE INVESTMENT PTY LTD.			21 issuer i tunte und i tener of i tuding					5. Relationship of Reporting Person(s) to Issuer				
								(Check all applicable)				
(Last) LEVEL 4,	3. Date of Earliest Transaction (Month/Day/Year) 02/03/2009					Director    X 10% Owner       Officer (give title     Other (specify below)						
				Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li> Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
PERTH, C	3 6000							X_ Form filed by M erson	ore than One Re	porting		
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative Secu	ırities	Acquir	red, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)			Date, if	3. Transactic Code (Instr. 8)	4. Securities A pror Disposed of (Instr. 3, 4 and	(D)	d (A)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
Common Stock	02/03/2009			Р	13,242,250	А	\$ 4.5	28,062,386	D (1)			
Common Stock								1,047	I <u>(2)</u>	See Footnote $(2)$		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. 6. Date Exer ionNumber Expiration D of (Month/Day, Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>				
	Director	10% Owner	Officer	Other
GLENCORE INVESTMENT PTY LTD. LEVEL 4, 30 THE ESPLANADE PERTH, C3 6000		Х		
GLENCORE INTERNATIONAL AG LEVEL 4, 30 THE ESPLANADE PERTH, C3 6000		Х		
GLENCORE HOLDING AG LEVEL4, 30 THE ESPLANADE PERTH, C3 6000		Х		
Signatures				
/s/ Valarie A. Hing, Attorney-in-fact for G Pty Ltd.	02/03/2009			
<u>**</u> Signature of Reporting Pers	Date			
/s/ Valarie A. Hing, Attorney-in-fact for G AG	02/03/2009			
**Signature of Reporting Pers	on			Date
/s/ Valarie A. Hing, Attorney-in-fact for G	02/03/2009			
<u>**</u> Signature of Reporting Pers	on			Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares of common stock reported in Table I are held directly by Glencore Investment Pty Ltd., a wholly-owned subsidiary of Glencore Investment AG, and indirectly by (i) Glencore International AG, the controlling shareholder of Glencore Investment AG, and

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(ii) Glencore Holding AG, the controlling shareholder of Glencore International AG.

Represents 1,047 shares of restricted common stock issued on June 25, 2008 to Mr. Willy R. Strothotte, a director of the issuer, who

(2) holds such shares as nominee for Glencore Investment. Shares vest in full on the one year anniversary of the grant date, or if earlier, upon Mr. Strothotte's termination of service as a member of the issuer's board of directors due to death or disability

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.